# REGISTER

#### **INSIDE THIS ISSUE:**

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- Public Assistance (PA) Earned Income and Work Expense Disregards and Income Tests for PA Eligibility

#### **Notice of Availability of State and Federal Funds**

State agencies must specify in each notice which proposes a rule the last date on which they will accept public comment. Agencies must always accept public comment: for a minimum of 60 days following publication in the *Register* of a Notice of Proposed Rule Making, or a Notice of Emergency Adoption and Proposed Rule Making; and for 45 days after publication of a Notice of Revised Rule Making, or a Notice of Emergency Adoption and Revised Rule Making in the *Register*. When a public hearing is required by statute, the hearing cannot be held until 60 days after publication of the notice, and comments must be accepted for at least 5 days after the last required hearing. When the public comment period ends on a Saturday, Sunday or legal holiday, agencies must accept comment through the close of business on the next succeeding workday.

#### For notices published in this issue:

- the 60-day period expires on April 2, 2023
- the 45-day period expires on March 18, 2023
- the 30-day period expires on March 3, 2023

#### KATHY HOCHUL **GOVERNOR**

#### ROBERT J. RODRIGUEZ SECRETARY OF STATE

#### NEW YORK STATE DEPARTMENT OF STATE

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#### Be a part of the rule making process!

The public is encouraged to comment on any of the proposed rules appearing in this issue. Comments must be made in writing and must be submitted to the agency that is proposing the rule. Address your comments to the agency representative whose name and address are printed in the notice of rule making. No special form is required; a handwritten letter will do. Individuals who access the online *Register* (www.dos.ny.gov) may send public comment via electronic mail to those recipients who provide an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings.

To be considered, comments should reach the agency before expiration of the public comment period. The law provides for a minimum 60-day public comment period after publication in the *Register* of every Notice of Proposed Rule Making, and a 45-day public comment period for every Notice of Revised Rule Making. If a public hearing is required by statute, public comments are accepted for at least five days after the last such hearing. Agencies are also required to specify in each notice the last date on which they will accept public comment.

When a time frame calculation ends on a Saturday or Sunday, the agency accepts public comment through the following Monday; when calculation ends on a holiday, public comment will be accepted through the following workday. Agencies cannot take action to adopt until the day after expiration of the public comment period.

The Administrative Regulations Review Commission (ARRC) reviews newly proposed regulations to examine issues of compliance with legislative intent, impact on the economy, and impact on affected parties. In addition to sending comments or recommendations to the agency, please do not hesitate to transmit your views to ARRC:

Administrative Regulations Review Commission State Capitol Albany, NY 12247 Telephone: (518) 455-5091 or 455-2731

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KEY: (P) Proposal; (RP) Revised Proposal; (E) Emergency; (EP) Emergency and Proposal; (A) Adoption; (AA) Amended Adoption; (W) Withdrawal

Individuals may send public comment via electronic mail to those recipients who provided an e-mail address in Notices of Proposed Rule Making. This includes Proposed, Emergency Proposed, Revised Proposed and Emergency Revised Proposed rule makings. Choose pertinent issue of the *Register* and follow the procedures on the website (www.dos.ny.gov)

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# RULE MAKING ACTIVITIES

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AAM -the abbreviation to identify the adopting agency

o1 -the *State Register* issue number

96 -the year

on the Department of State number, assigned upon

receipt of notice.

E -Emergency Rule Making—permanent action

not intended (This character could also be: A for Adoption; P for Proposed Rule Making; RP for Revised Rule Making; EP for a combined Emergency and Proposed Rule Making; EA for an Emergency Rule Making that is permanent and does not expire 90 days after filing.)

and does not expire 90 days after filing.)

Italics contained in text denote new material. Brackets indicate material to be deleted.

#### **Department of Financial Services**

#### NOTICE OF ADOPTION

Disclosure Requirements for Certain Providers of Commercial Financing Transactions

**I.D. No.** DFS-42-21-00011-A

Filing No. 56

**Filing Date:** 2023-01-12 **Effective Date:** 2023-02-01

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of Part 600 to Title 23 NYCRR.

Statutory authority: Financial Services Law, sections 102, 201, 301, 302 and 801-811

**Subject:** Disclosure requirements for certain providers of commercial financing transactions.

Purpose: To provide disclosure rules for small business financings.

**Substance of final rule:** Section 600.0 describes the statutory basis for the regulation and the statutory authority of the Department of Financial Services to promulgate disclosure forms and calculations.

Section 600.1 contains definitions of terms that are used in Part 600. Section 600.2 specifically defines the finance charges for particular types of commercial financing.

Section 600.3 sets requirements for the Annual Percentage Rate ("APR") disclosures for commercial financing transactions.

Section 600.4 discusses permissible deviations in the calculation of APRs and the allowed tolerances for these deviations.

Section 600.5 provides general formatting instructions for any disclosure document provided to a prospective borrower.

Section 600.6 provides more specific formatting instructions for disclosures concerning sales-based financings provided in accordance with Financial Services Law section 803.

Section 600.7 provides specific instructions concerning the estimation and calculation of payments, finance charges and APRs, among other items, for sales-based financings.

Section 600.8 provides special rules for sales-based financing that apply the "historical method" described in Financial Services Law section 803.

Section 600.9 sets forth special rules for sales-based financings that use the "opt-in method" described in Financial Services law section 803. Providers who utilize this method must conduct annual audits to compare their original estimates with the annual rates actually charged on this type of financing.

Section 600.10 specifies the disclosure formatting to be used for closed-

Section 600.10 specifies the disclosure formatting to be used for closedend commercial financings in accordance with Financial Services Law section 804.

Section 600.11 specifies the disclosure formatting to be used for openend commercial financings in accordance with Financial Services Law section 805.

Section 600.12 specifies the disclosure formatting to be used for factoring transactions in accordance with Financial Services Law section 806.

Section 600.13 states an assumption to be used when calculating required disclosures for factoring transactions.

Section 600.14 specifies the disclosure formatting for lease financing transactions.

Section 600.15 specifies the disclosure formatting for asset-backed lending transactions in accordance with Financial Services Law section 807

Section 600.16 specifies the disclosure formatting for all other commercial financing transactions to be provided in accordance with Financial Services Law section 807. This general category is applicable if other more specific categories of financing stated above are not applicable.

Section 600.17 sets forth requirements and additional disclosure concerning the funding that a prospective recipient will receive after itemized adjustments for various fees are deducted from the gross amount of the financing.

Section 600.18 specifies the signature requirements for recipients to acknowledge their receipt of required disclosures.

Section 600.19 provides a calculation method to be used to determine if a particular financing is exempt from the scope of the regulation. Financings in excess of \$2,500,000 are exempt from Part 600. This section describes how to measure the aggregate financing provided by financing

Section 600.20 provides general rules for hybrid financings that do not neatly fall within the other categories stated above.

Section 600.21 sets forth the general duties of financers and brokers during the underwriting process and the financiers' document retention requirements.

Section 600.22 sets forth the required annual reports that financers must file with the Department of Financial Services if they elect to use the opt-in method for calculating APRs for a sales-based financing. This is the sole reporting requirement for regulated entities under Part 600.

Section 600.23 states that recipients may pay their original obligor before they receive formal notice of an assignment of their financing contract.

Section 600.24 provides a jurisdictional nexus that states when New York law is applicable. This regulation now is only applicable to recipients with businesses principally directed or managed from New York.

Section 600.25 provides an effective date for the regulation. Financers and brokers shall comply with disclosure requirements six months after the effective date.

Final rule as compared with last published rule: Nonsubstantive changes were made in sections 600.1-600.3, 600.5-600.8, 600.10-600.12, 600.14-600.16, 600.17, 600.18, 600.21, 600.22, 600.23 and 600.24.

Revised rule making(s) were previously published in the State Register on September 14, 2022.

Text of rule and any required statements and analyses may be obtained from: George Bogdan, Esq., Department of Financial Services, One State Street, New York, NY 10004, (212) 480-4758, email: george.bogdan@dfs.ny.gov

#### Revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

A revised Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement are not required for the adoption of the amendment because the non-substantive revisions to the regulation do not require a change to the previously published Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement.

#### Initial Review of Rule

As a rule that requires a RFA, RAFA or JIS, this rule will be initially reviewed in the calendar year 2026, which is no later than the 3rd year after the year in which this rule is being adopted.

#### Assessment of Public Comment

The Department has considered every comment received and has made several revisions to Part 600. This Assessment provides an overview of these revisions and, where applicable, the reasons requested revisions were not made. The Department will not address arguments previously discussed in the Assessment of Public Comments for its original or first revised proposed regulation unless the Department has changed its previous position.

(1) Comment: Numerous commenters criticized Section 600.24's application of Financial Services Law ("FSL") sections 801-812 (the "CFDL") to all financings extended from New York providers, regardless of the location of the recipient. Commenters asserted that that New York does not have a compelling interest in protecting recipients in other states and cite conflicts of law caselaw for this proposition. They also argue that an attempt by New York to impose its disclosure laws on firms doing business in other states would violate the Dormant Commerce Clause of the United States Constitution. Several commenters also pointed out that New York firms doing business in other states might face a commercial disadvantage when competing with local firms that did not face the burden and costs of compliance with the CFDL.

Response: The CFDL does not specify any jurisdictional nexus. Absent clear legislative intent to apply the CFDL to financings made to out-of-state recipients, the Department has revised Section 600.24 to apply the CFDL only where a recipient's business is principally managed or directed from the state of New York or where the recipient (if a natural person) is a legal resident of the state of New York.

(2) Comment: Multiple commenters requested exemptions for subsidiaries and affiliates of exempted organizations. A bank chartered by the Department commented that its subsidiaries were created pursuant to explicit provisions in the New York Banking Law or with the Department's explicit permission pursuant to the New York Banking Law. The Department supervises the bank and all its subsidiaries as an integrated enterprise, and so, the bank argued, subsidiaries should not be treated differently than the parent bank. A banking trade association made similar arguments in its first comment on the original proposed text, and stated that it still believes such an exemption is prudent when commenting on the revised text. Response: The Department has reconsidered its position and clarified

Response: The Department has reconsidered its position and clarified that the term "financial institution" in FSL Section 801(f) includes all majority owned subsidiaries of banks and credit unions. The CFDL does not discuss subsidiaries or affiliates of exempted entities. The Department understands that banks and credit unions were exempted from the requirements of the CFDL because they are already subject to oversight. The Department believes the change in the definition of "financial institution" is consistent with the legislative intent because they are subject to consolidated oversight. Further, the subsidiaries of foreign banks, federally-chartered banks, banks chartered by other states, and all federally-chartered and state-chartered credit unions are also exempt based upon the new definition of "financial institution" stated in new Section 600.01(r). Federally-chartered and foreign bank affiliates requested this type of exemption in earlier stages of the regulatory process, and the Department wishes to treat all exempted financial institutions equally.

(3) Comment: A company licensed by the Small Business Administration ("SBA") as a Small Business Lending Company ("SBLC") asked that it be deemed a "financial institution" within the meaning of FSL Section 801(f). It argued that SBLCs offer loans under the SBA's 7(a) program and are required to make disclosures specified by the SBA. It believes an additional disclosure under Part 600 would be duplicative and possibly inconsistent. It claims regulation by the SBA is stringent enough for them to also receive an exemption.

Response: The Department will not use recently adopted policies of the

SBA to define the meaning of "financial institution." The exemptions in FSL Section 802 clearly only apply to entities subject to supervision by bank regulators. The Legislature could have exempted entities subject to the SBA from the requirements of the CFDL, but did not do so.

(4) Comment: Multiple commenters strongly objected to the new Section 600.23 regarding a "notice of transfer of servicing" included in the first revised proposal. They claimed that the Department was adding a provision not authorized by the CFDL itself. They also objected that the prior notice provision required a recipient to receive notice of an assignment 15 days prior to the effective date of the transfer which, they argued, would interfere with the assignability of contracts while providing the recipients with minimal additional transparency. In the alternative, a trade association asked the Department to consider modelling any disclosure language on the provisions of Section 9-406 of the Uniform Commercial Code ("UCC").

Response: The Department accepts both these arguments and the proposed solution. The revised Section 600.23 states that a recipient, consistent with UCC section 9-406, may continue to make payments to its original obligor before receiving notice of an assignment.

(5) Comments: In its first revised proposal, the Department revised the disclosure forms to include disclosures on broker compensation. Three commenters assert that the disclosure forms the Department proposed were not authorized by the CFDL and were unworkable. These objections include the following:

(i) The purpose of the CFDL is to explain to the recipient the cost of the financing, and the disclosures do that – breaking out the broker fee adds no useful information;

(ii) Broker fees can be paid by means other than either the recipient or the financer (sometimes they are paid by both), and the proposed disclosures do not capture that nuance;

(iii) The proposed broker fee disclosure did not cover fixed fee arrangements; and

(iv) Most brokerage commissions are paid out of the funded amount, not deducted from the funded amount.

Response: The Department attempted in its first revised proposal to adjust its disclosure forms to accommodate the various types of compensation that brokers receive but it is difficult to craft forms that address all of the relevant issues. The Department has removed broker compensation from the disclosure forms entirely. Now, Section 600.21(f) only states that providers (i.e., financers) should disclose the compensation paid to brokers in writing. This general provision does not specify a form of disclosure. Financers have the discretion to explain broking fees, in writing, so long as their disclosures are accurate.

The Department does not accept the claim that brokers cannot be covered at all by Part 600 because brokerage is not mentioned explicitly in the CFDL. The Department must interpret the term "provider" in FSL Section 801(h), and that definition includes, "a person who solicits and presents specific offers of commercial financing on behalf of a third party." That is typically what a broker does.

Further, FSL Section 811 states: "The superintendent is hereby autho-

Further, FSL Section 811 states: "The superintendent is hereby authorized and empowered to promulgate such rules and regulations as may in the judgment of the superintendent be consistent with the purposes of this article, or appropriate for the effective administration of this article...." While FSL Section 811 enumerates four specific topics that new regulations should cover, this list is not exclusive. Brokerage compensation can cost recipients money, and even if financers provide all the brokers' compensation, the recipient should still be aware of the broker's interest in the transaction.

Accordingly, financers and brokers still have duties to observe under Section 600.21. Most of these duties fall upon the financers. Brokers mainly have a duty to deliver documents as specified in Section 600.21. Please note that the document retention provisions in Section 600.21 only apply to financers.

(6) Comment: One commenter asked the Department to clarify the "separateness" requirement in Section 600.17(c)(1) in the context of electronic disclosures by changing the wording to "separate document" and cross-referencing to Section 600.5(e). Further, this commenter requested adjustments to Section 600.5(j) and 600.18(a) to allow electronic signatures to be captured with a check box or button. The commenter generally wanted the Department to provide more flexibility in the conduct of online commerce.

Response: The Department accepted these comments. The Department revised Sections 600.5 and 600.18 concerning digital signatures. The New York Electronic Signatures and Records Act ("ESRA") recognizes that the technology and practices of internet commerce are evolving rapidly. The Department accepts that there are many ways for a recipient to acknowledge receipt of a required disclosure and sign such a document. The Department does not seek to predict future changes in Internet commerce or prescribe rigid forms for acknowledgement and acceptance of disclosure terms. Financers should consult caselaw and interpretations of the ESRA

if they have any concerns about acceptable practices for digital signatures in the future.

The Department also adjusted the "separateness" requirement in Section 600.17 to accommodate digital disclosures. A "separate document" can be a discrete digital file provided to a recipient with a series of other digital files related to a proposed financing.

(7) Comment: An attorney for clients who provide "floor plan financing" for auto dealers commented that his clients want to use their own customized Truth in Lending Act ("TILA") forms as a substitute for the forms mandated by Part 600. The attorney stated that his clients presently provide TILA disclosures to all borrowers, individual or commercial, even if not required by TILA, for the sake of simplicity and consistency. The attorney requested a change in 600.1(m) to give his clients this option. A trade association also made the same comment.

Response: The Department cannot accept this comment. While the CDFL does not apply to transactions covered by TILA, the CFDL does not authorize the Department to exempt transactions not covered by TILA from the provisions of the CFDL because they use TILA-compliant disclosures. The CFDL mandates a consistent set of disclosures for non-bank financers providing financing to small businesses. Allowing providers to choose which forms to use for such transactions would defeat much of the purpose of the CFDL.

(8) Comment: A trade association asked the Department to redefine the term "factoring transaction" set forth in FSL Section 801(a) to include only transactions in which there is an actual advance of funds to the recipient. The association claims that factors often only provide ledgering and collection services and do not advance any money to the recipient.

Response: The Department will not make the requested change because it is inconsistent with the text of the statute.

If a vendor is truly not advancing funds to a recipient, then it is merely a professional service provider not subject to the CFDL. However, if the provider is ultimately providing financing in a related transaction, then it is doing more than just providing services for a fee. The financing component is still subject to the CFDL.

(9) Comment: In its first revised proposed regulation, the Department clarified the timing of the obligation to provide disclosures based on APR. The Department accepted that APR would be impossible to calculate unless and until the provider had obtained specific information about the borrower and the proposed terms, so it revised Part 600 to make clear that providers only had to include the statutorily required APR disclosure when the provider quotes a specific offer of commercial financing. A small business advocacy organization requested that Part 600 should explicitly require APR to be stated when any rates are stated after there has already been a quotation of a specific commercial financing offer.

Response: This change is consistent with the intent of providing clear disclosures to recipients. The Department adjusted Section 600.1(f) and Section 600.3(b) accordingly.

Commenters and interested parties should closely compare the adopted text with the prior revised proposal concerning specific details.

# Office for People with Developmental Disabilities

#### EMERGENCY RULE MAKING

#### **Training Flexibilities**

**I.D. No.** PDD-10-22-00010-E

Filing No. 58

**Filing Date:** 2023-01-13 **Effective Date:** 2023-01-13

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following action:

Action taken: Addition of section 633.27 to Title 14 NYCRR.

Statutory authority: Mental Hygiene Law, sections 13.07, 13.09(b) and 16.00

*Finding of necessity for emergency rule:* Preservation of public health, public safety and general welfare.

*Specific reasons underlying the finding of necessity:* The emergency addition of section 14 NYCRR 633.27 that authorizes the Commissioner to permit abbreviated trainings and extend recertification deadlines for direct

support professionals during periods of extreme staffing shortages for Article 16 facilities, is necessary to protect the health, safety, and welfare of individuals who receive these services. This regulation must be issued by emergency regulation to allow OPWDD the ability to increase staff available to properly take care of service recipients. OPWDD serves a vulnerable population and as such has a duty to ensure the services provided meet public health and safety standards which includes safe and appropriate staffing.

Subject: Training Flexibilities.

**Purpose:** To provide flexibilities in training requirements.

**Text of emergency rule:** A new section 633.27 is added to read as follows: 633.27 Training Flexibilities

Notwithstanding the requirements set forth in sections 633.8, 633.14, 633.16 and 633.17 of this Part, during periods of extreme staffing shortages, as determined by the commissioner, the commissioner may permit abbreviated training and/or approve the extension of recertification deadlines, as applicable, for direct support professionals employed in programs and facilities certified pursuant to Article 16 of the Mental Hygiene law.

This notice is intended to serve only as a notice of emergency adoption. This agency intends to adopt the provisions of this emergency rule as a permanent rule, having previously submitted to the Department of State a notice of proposed rule making, I.D. No. PDD-10-22-00010-EP, Issue of March 9, 2022. The emergency rule will expire March 13, 2023.

Text of rule and any required statements and analyses may be obtained from: Mary Beth Babcock, Office for People With Developmental Disabilities, 44 Holland Ave., Albany, NY 12209, (518) 474-7700, email: rau.unit@opwdd.ny.gov

Additional matter required by statute: Pursuant to the requirements of the State Environmental Quality Review Act, OPWDD, as lead agency, has determined that the action described herein will have no effect on the environment and an E.I.S. is not needed.

#### Regulatory Impact Statement

1. Statutory authority:

- a. The Office for People With Developmental Disabilities (OPWDD) has the statutory responsibility to provide and encourage the provision of appropriate programs, supports, and services in the areas of care, treatment, habilitation, rehabilitation, and other education and training of persons with intellectual and developmental disabilities, as stated in the New York State (NYS) Mental Hygiene Law (MHL) Section 13.07.
- b. OPWDD has the statutory authority to adopt rules and regulations necessary and proper to implement any matter under its jurisdiction as stated in the NYS MHL Section 13.09(b).
- c. OPWDD has the statutory authority to adopt regulations concerned with the operation of programs and the provision of services, as stated in the NYS MHL Section 16.00. The regulation also ensures compliance by OPWDD certified and operated residences with the proper provision of services.
- d. OPWDD has the statutory authority to provide for the oversight of facilities and providers of services holding operating certificates, as stated in the NYS MHL Section 16.11.
- 2. Legislative objectives: The proposed regulations further legislative objectives embodied in MHL sections 13.07, 13.09(b), and 16.00. The proposed regulation adds Title 14 NYCRR Section 633.27 to authorize the Commissioner to permit abbreviated trainings and extend recertification deadlines for direct support professionals during periods of extreme staffing shortages as determined by the Commissioner.
- 3. Needs and benefits: The proposed regulation adds Title 14 NYCRR Section 633.27 to authorize the Commissioner to permit abbreviated trainings and extend recertification deadlines for direct support professionals during periods of extreme staffing shortages. This regulation is necessary to protect the health, safety, and welfare of individuals who receive services from providers that are certified or operated by OPWDD. This regulation is being proposed due to the increasing need of services as well as staffing shortages during the ongoing COVID-19 epidemic. OPWDD serves a vulnerable population and as such has a duty to ensure the services provided meet public health and safety standards. Given the rise in covid cases throughout the pandemic OPWDD has had challenges meeting minimum staffing requirements for the many locations certified or operated across the state. By allowing more individuals to work in these Article 16 facilities, individuals being provided services will not have interruptions in their services.
  - 4. Costs:
- a. Costs to the agency and to the State and its local governments: There is no anticipated impact on Medicaid expenditures as a result of the proposed regulations, as the entities that provide these services will only be providing such services to individual's already receiving Medicaid, in accordance with existing OPWDD policies and processes.

These regulations will not have any fiscal impact on local governments, as the contribution of local governments to Medicaid has been capped. Chapter 58 of the Laws of 2005 places a cap on the local share of Medicaid costs and local governments are already paying for Medicaid at the capped

There are no anticipated costs to OPWDD in its role as a provider of services to comply with the new requirements.

b. Costs to private regulated parties: There will not be any anticipated costs to regulated providers to comply with the proposed regulations. The regulation authorizes the Commissioner to facilitate more staff when there are periods of extreme staffing shortages. Therefore, there are no new

anticipated costs.

5. Local government mandates: This rule would not apply to local government units. There are no new requirements imposed by the rule on any other county, city, town, village; or school, fire, or other special

6. Paperwork: Providers will not experience an increase in paperwork

as a result of the proposed regulations.

7. Duplication: The proposed regulations do not duplicate any existing

State or Federal requirements on this topic.
8. Alternatives: OPWDD did not consider any other alternatives to the proposed regulations. These changes are necessary to protect public health and individuals receiving services from providers certified or operated by

9. Federal standards: The proposed amendments do not exceed any minimum standards of the federal government for the same or similar subject areas.

10. Compliance schedule: OPWDD plans to adopt the regulations as an emergency regulation effective upon filing. Following the comment period OPWDD plans to adopt the regulation as permanent. OPWDD expects that providers will be in compliance with the proposed requirements at the time of their effective date(s).

#### Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis for the proposed regulation is not being submitted because it is apparent from the nature and purpose of the regulation that it will not have a substantial adverse impact on small businesses or local governments.

The proposed regulation adds Title 14 NYCRR Section 633.27 to authorize the Commissioner to permit abbreviated trainings and extend recertification deadlines for direct support professionals during periods of extreme staffing shortages for Article 16 facilities. The regulation will not result in new compliance requirements or additional professional services for providers. There is also no additional paperwork required by the amendment. The regulation is designed to protect individuals when staffing shortages prevent the ability to safely care for an individual receiving services. A Regulatory Flexibility Analysis for the proposed regulation is not being submitted because it is apparent from the nature and purpose of the regulation that it will not have a substantial adverse impact on small businesses and/or local governments.

#### Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis for these amendments is not being submitted because the regulation will not impose any adverse impact or significant reporting, record keeping or other compliance requirements on public or private entities in rural areas. There are no professional services, capital, or other compliance costs imposed on public or private entities in rural areas as a result of the proposed regulation.

The proposed regulation adds Title 14 NYCRR Section 633.27 in order to authorize the Commissioner to permit abbreviated trainings and extend recertification deadlines for direct support professionals during periods of extreme staffing shortages for Article 16 facilities. The regulation will not result in an adverse impact on rural communities because the regulation applies to all Article 16 clinics who are certified or operated by OPWDD. The proposed regulation will not result in costs for regulated parties. Therefore, the amendments will not have any adverse effects on providers in rural areas and local governments.

#### Job Impact Statement

A Job Impact Statement for the proposed regulation is not being submitted because it is apparent from the nature and purpose of the regulation that it will not have a substantial adverse impact on jobs and/or employment opportunities.

The proposed regulation adds Title 14 NYCRR Section 633.27 in order to allow the Commissioner to permit abbreviated trainings and extend recertification deadlines for direct support professionals during periods of extreme staffing shortages for Article 16 facilities. The regulation will not result in new compliance requirements for providers. The regulation is designed to protect individuals when staffing shortages prevent the ability to safely care for an individual receiving services. The regulation is only to be used in cases of extreme staffing shortages. Thus, the regulation will not have a substantial impact on jobs or employment opportunities in New York State.

#### Assessment of Public Comment

The agency received no public comment.

#### **Public Service Commission**

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Thermal Energy Network Pilot Proposal

I.D. No. PSC-05-23-00001-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: The Commission is considering the Joint Utility Thermal Energy Network Pilot Proposal filings provided by Central Hudson Gas & Electric Corporation on January 9, 2023.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and

Subject: Thermal Energy Network Pilot Proposal.

Purpose: To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposed Utility Thermal Energy Network Pilot Proposal joint filing by Central Hudson Gas & Electric Corporation (Central Hudson) on January 9, 2023 pursuant to the Commission's Order on Developing Thermal Energy Networks Pursuant to the Utility Thermal Energy Network and Jobs Act issued in Case 22-M-0429.

The pilot project proposal includes, but is not limited to, the following elements: implementation plan, site selection, budgeting and cost, cost recovery mechanism, performance metric, project timeline, feasibility study, customer outreach and education, customer protection plan, and other unique characteristics specific to this particular proposal.

Evaluation of the pilot projects filed by Central Hudson may be based without limitation on the elements noted above and other factors, some of which are identified in the Act. Commission action on this pilot proposal may include a determination about an appropriate rate structure and cost recovery from ratepayers, although the utility is encouraged to also identify funding sources that are independent from

For example, the Act requires the Commission consider whether a proposed pilot project: (1) will "develop information useful for the commission's promulgation of regulations governing thermal energy networks"; (2) "furthers the climate justice and/or emissions reduction mandates of the climate leadership and community protection act"; (3) "advances financial and technical approaches to equitable and affordable building electrification"; and (4) "creates benefits to customers and society at large.

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this

#### Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SP9)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Thermal Energy Network Pilot Proposal

I.D. No. PSC-05-23-00002-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the Utility Thermal Energy Network Pilot Proposal filings provided by the Rochester Gas and Electric Corporation on January 9, 2023.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Thermal Energy Network Pilot Proposal.

**Purpose:** To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposed Utility Thermal Energy Network Pilot Proposal joint filing by the Rochester Gas and Electric Corporation (RG&E) on January 9, 2023 pursuant to the Commission's Order on Developing Thermal Energy Networks Pursuant to the Utility Thermal Energy Network and Jobs Act issued in Case 22-M-0429.

The pilot project proposal includes, but is not limited to, the following elements: implementation plan, site selection, budgeting and cost, cost recovery mechanism, performance metric, project timeline, feasibility study, customer outreach and education, customer protection plan, and other unique characteristics specific to this particular proposal.

Evaluation of the pilot projects filed by the RG&E may be based without limitation on the elements noted above and other factors, some of which are identified in the Act. Commission action on this pilot proposal may include a determination about an appropriate rate structure and cost recovery from ratepayers, although the utility is encouraged to also identify funding sources that are independent from rates

For example, the Act requires the Commission consider whether a proposed pilot project: (1) will "develop information useful for the commission's promulgation of regulations governing thermal energy networks"; (2) "furthers the climate justice and/or emissions reduction mandates of the climate leadership and community protection act"; (3) "advances financial and technical approaches to equitable and affordable building electrification"; and (4) "creates benefits to customers and society at large."

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SP8)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Electric Utilities' 2023 Electric Emergency Response Plans

I.D. No. PSC-05-23-00003-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the 2023 Electric Emergency Response Plans filed in December 2022 by Consolidated Edison Company of New York, Inc.

*Statutory authority:* Public Service Law, sections 5(1)(b), 25-a and 66(21) *Subject:* Electric utilities' 2023 Electric Emergency Response Plans.

*Purpose:* To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.

Substance of proposed rule: The Public Service Commission (Commission) is considering the 2023 Electric the Emergency Response Plan filed by Consolidated Edison Company of New York, Inc. in December 2022.

Section 66(21) of the Public Service Law (PSL) requires each electric utility subject to the provisions of PSL § 25-a to file an electric emergency response plan (plan) on or before December 15th of each year for Commission review and approval. PSL § 66(21)(a) and 16 NYCRR Part 105 specify the content and information that each utility must include in its plan. Each plan must identify personnel responsible for managing utility operations during an emergency and communicating with customers, government agencies, and the media. Each plan must also comply with additional requirements related to communications with customers that use life support equipment (LSE customers) and provide other critical services. Furthermore, each emergency plan must include procedures by which the utility would undertake the following activities during a storm event: (i) deploy company and mutual assistance crews to work assignment areas; (ii) identify and obtain additional supplies and equipment; and (iii) identify appropriate safety precautions to promptly respond to electrical hazards and downed wires. Finally, each plan must provide procedures by which the utility is to drill or practice in preparation for an actual emergency.

The full text of the Emergency Response Plans and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle, L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (22-E-0678SP2)

# PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### **Thermal Energy Network Pilot Proposal**

I.D. No. PSC-05-23-00004-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the Joint Utility Thermal Energy Network Pilot Proposal filings provided by Consolidated Edison Company of New York, Inc. on January 9, 2023.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Thermal Energy Network Pilot Proposal.

**Purpose:** To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposed Utility Thermal Energy Network Pilot Proposal joint filing by Consolidated Edison Company of New York, Inc. (Con Edison) on January 9, 2023 pursuant to the Commission's Order on Developing Thermal Energy Networks Pursuant to the Utility Thermal Energy Network and Jobs Act issued in Case 22-M-0429.

The pilot project proposal includes, but is not limited to, the following elements: implementation plan, site selection, budgeting and cost, cost recovery mechanism, performance metric, project timeline, feasibility study, customer outreach and education, customer protection plan, and other unique characteristics specific to this particular proposal.

Evaluation of the pilot projects filed by Con Edison may be based without limitation on the elements noted above and other factors, some of which are identified in the Act. Commission action on this pilot proposal may include a determination about an appropriate rate structure and cost recovery from ratepayers, although the utility is encouraged to also identify funding sources that are independent from rates

For example, the Act requires the Commission consider whether a proposed pilot project: (1) will "develop information useful for the commission's promulgation of regulations governing thermal energy networks"; (2) "furthers the climate justice and/or emissions reduction mandates of the climate leadership and community protection act"; (3) "advances financial and technical approaches to equitable and affordable building electrification"; and (4) "creates benefits to customers and society at large."

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (22-M-0429SP5)

# PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Thermal Energy Network Pilot Proposal

I.D. No. PSC-05-23-00005-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the Joint Utility Thermal Energy Network Pilot Proposal filings provided by National Fuel Gas Distribution Corporation on January 9, 2023.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Thermal Energy Network Pilot Proposal.

**Purpose:** To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposed Utility Thermal Energy Network Pilot Proposal joint filing by National Fuel Gas Distribution Corporation (NFG) on January 9, 2023 pursuant to the Commission's Order on Developing Thermal Energy Networks Pursuant to the Utility Thermal Energy Network and Jobs Act issued in Case 22-M-0429.

The pilot project proposal includes, but is not limited to, the following elements: implementation plan, site selection, budgeting and cost, cost recovery mechanism, performance metric, project timeline, feasibility study, customer outreach and education, customer protection plan, and other unique characteristics specific to this particular proposal.

Evaluation of the pilot projects filed by NFG may be based without

limitation on the elements noted above and other factors, some of which are identified in the Act. Commission action on this pilot proposal may include a determination about an appropriate rate structure and cost recovery from ratepayers, although the utility is encouraged to also identify funding sources that are independent from rates.

For example, the Act requires the Commission consider whether a proposed pilot project: (1) will "develop information useful for the commission's promulgation of regulations governing thermal energy networks"; (2) "furthers the climate justice and/or emissions reduction mandates of the climate leadership and community protection act"; (3) "advances financial and technical approaches to equitable and affordable building electrification"; and (4) "creates benefits to customers and society at large."

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (22-M-0429SP10)

# PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Thermal Energy Network Pilot Proposal

I.D. No. PSC-05-23-00006-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the Utility Thermal Energy Network Pilot Proposal filings provided by KeySpan Gas East Corporation d/b/a National Grid & the Long Island Power Authority on January 9, 2023.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Thermal Energy Network Pilot Proposal.

**Purpose:** To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposed Utility Thermal Energy Network Pilot Proposal joint filing by KeySpan Gas East Corporation d/b/a National Grid (KEDLI) and the Long Island Power Authority (LIPA) on January 9, 2023 pursuant to the Commission's Order on Developing Thermal Energy Networks Pursuant to the Utility Thermal Energy Network and Jobs Act issued in Case 22-M-0429.

The pilot project proposal includes, but is not limited to, the following elements: implementation plan, site selection, budgeting and cost, cost recovery mechanism, performance metric, project timeline, feasibility study, customer outreach and education, customer protection plan, and other unique characteristics specific to this particular proposal.

Evaluation of the pilot projects filed by KEDLI and LIPA may be based without limitation on the elements noted above and other factors, some of which are identified in the Act. Commission action on this pilot proposal may include a determination about an appropriate rate structure and cost recovery from ratepayers, although the utility is encouraged to also identify funding sources that are independent from rates.

For example, the Act requires the Commission consider whether a proposed pilot project: (1) will "develop information useful for the commission's promulgation of regulations governing thermal energy networks"; (2) "furthers the climate justice and/or emissions reduction mandates of the climate leadership and community protection act"; (3) "advances financial and technical approaches to equitable and affordable building electrification"; and (4) "creates benefits to customers and society at large."

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters. Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SP2)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Electric Utilities' 2023 Electric Emergency Response Plans

I.D. No. PSC-05-23-00007-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the 2023 Electric Emergency Response Plans filed in December 2022 by Rochester Gas and Electric Corporation.

*Statutory authority:* Public Service Law, sections 5(1)(b), 25-a and 66(21) *Subject:* Electric utilities' 2023 Electric Emergency Response Plans.

**Purpose:** To consider the adequacy of the proposed 2023 Electric Emergency Response Plans

Substance of proposed rule: The Public Service Commission (Commission) is considering the 2023 Electric the Emergency Response Plan filed by Rochester Gas and Electric Corporation in December 2022.

Section 66(21) of the Public Service Law (PSL) requires each electric utility subject to the provisions of PSL § 25-a to file an electric emergency response plan (plan) on or before December 15th of each year for Commission review and approval. PSL § 66(21)(a) and 16 NYCRR Part 105 specify the content and information that each utility must include in its plan. Each plan must identify personnel responsible for managing utility operations during an emergency and communicating with customers, government agencies, and the media. Each plan must also comply with additional requirements related to communications with customers that use life support equipment (LSE customers) and provide other critical services. Furthermore, each emergency plan must include procedures by which the utility would undertake the following activities during a storm event: (i) deploy company and mutual assistance crews to work assignment areas; (ii) identify and obtain additional supplies and equipment; and (iii) identify appropriate safety precautions to promptly respond to electrical hazards and downed wires. Finally, each plan must provide procedures by which the utility is to drill or practice in preparation for an actual emergency.

The full text of the Emergency Response Plans and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle, L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (22-E-0678SP6)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Thermal Energy Network Pilot Proposal

I.D. No. PSC-05-23-00008-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the Joint Utility Thermal Energy Network Pilot Proposal filings provided by the state's seven largest utilities on January 9, 2023.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Thermal Energy Network Pilot Proposal.

*Purpose:* To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Substance of proposed rule: The Public Service Commission (Commission) is considering the Joint Utility Thermal Energy Network Pilot Proposal filings provided by the state's seven largest utilities on January 9, 2023, in accordance with the Commission's September 15, 2022, Order on Developing Thermal Energy Networks Pursuant to the Utility Thermal Energy Network and Jobs Act issued in Case 22-M-0429.

The utilities submitting proposals are Consolidated Edison, Inc., Orange and Rockland Utilities, Inc., New York State Electric & Gas Corporation, Rochester Gas and Electric Corporation, KeySpan Gas East Corporation d/b/a National Grid jointly with Long Island Power Authority, The Brooklyn Union Gas Company d/b/a National Grid NY, Niagara Mohawk Power Corporation d/b/a National Grid, Central Hudson Gas & Electric Corporation, and National Fuel Gas Distribution Company.

The pilot project proposals include, but are not limited to, the following elements: implementation plans, site selection, budgeting and costs, cost recovery mechanisms, performance metrics, project timelines, feasibility studies, customer outreach and education, customer protection plans, and other unique characteristics specific to a particular proposal. The Commission's evaluation of the pilot projects across urban, suburban, and rural communities, may be based without limitation on the elements noted above and other factors, some of which are identified in the Act. For example, the Act requires the Commission consider whether a proposed pilot project: (1) will "develop information useful for the commission's promulgation of regulations governing thermal energy networks"; (2) "furthers the climate justice and/or emissions reduction mandates of the climate leadership and community protection act"; (3) "advances financial and technical approaches to equitable and affordable building electrification"; and (4) "creates benefits to customers and society at large." Commission action on the pilot proposals may include a determination about appropriate rate structures and cost recovery from ratepayers for both these limited-scale projects, and broader thermal energy network construction, although utilities are encouraged to also identify funding sources that are independent from rates.

The full text of the proposals and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the actions proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SP1)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Thermal Energy Network Pilot Proposal

I.D. No. PSC-05-23-00009-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the Utility Thermal Energy Network Pilot Proposal filings provided by the New York State Electric & Gas Corporation on January 9, 2023.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Thermal Energy Network Pilot Proposal.

**Purpose:** To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposed Utility Thermal Energy Network Pilot Proposal joint filing by New York State Electric & Gas Corporation (NYSEG) on January 9, 2023 pursuant to the Commission's Order on Developing Thermal Energy Networks Pursuant to the Utility Thermal Energy Network and Jobs Act issued in Case 22-M-0429.

The pilot project proposal includes, but is not limited to, the following elements: implementation plan, site selection, budgeting and cost, cost recovery mechanism, performance metric, project timeline, feasibility study, customer outreach and education, customer protection plan, and other unique characteristics specific to this particular proposal.

Evaluation of the pilot projects filed by NYSEG may be based without limitation on the elements noted above and other factors, some of which are identified in the Act. Commission action on this pilot proposal may include a determination about an appropriate rate structure and cost recovery from ratepayers, although the utility is encouraged to also identify funding sources that are independent from rates

For example, the Act requires the Commission consider whether a proposed pilot project: (1) will "develop information useful for the commission's promulgation of regulations governing thermal energy networks"; (2) "furthers the climate justice and/or emissions reduction mandates of the climate leadership and community protection act"; (3) "advances financial and technical approaches to equitable and affordable building electrification"; and (4) "creates benefits to customers and society at large."

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SP7)

# PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Electric Utilities' 2023 Electric Emergency Response Plans

I.D. No. PSC-05-23-00010-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the 2023 Electric Emergency Response Plans filed in December 2022 by New York State Electric and Gas Corporation.

*Statutory authority:* Public Service Law, sections 5(1)(b), 25-a and 66(21) *Subject:* Electric utilities' 2023 Electric Emergency Response Plans.

*Purpose:* To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.

*Substance of proposed rule:* The Public Service Commission (Commission) is considering the 2023 Electric the Emergency Response Plan filed by New York State Electric and Gas Corporation in December 2022.

Section 66(21) of the Public Service Law (PSL) requires each electric utility subject to the provisions of PSL § 25-a to file an electric emergency response plan (plan) on or before December 15th of each year for Commission review and approval. PSL § 66(21)(a) and 16 NYCRR Part 105 specify the content and information that each utility must include in its plan. Each plan must identify personnel responsible for managing utility operations during an emergency and communicating with customers, government agencies, and the media. Each plan must also comply with additional requirements related to communications with customers that use life support equipment (LSE customers) and provide other critical services. Furthermore, each emergency plan must include procedures by which the utility would undertake the following activities during a storm event: (i) deploy company and mutual assistance crews to work assignment areas; (ii) identify and obtain additional supplies and equipment; and (iii) identify appropriate safety precautions to promptly respond to electrical hazards and downed wires. Finally, each plan must provide procedures by which the utility is to drill or practice in preparation for an actual emergency.

The full text of the Emergency Response Plans and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle, L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-E-0678SP3)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Electric Utilities' 2023 Electric Emergency Response Plans

I.D. No. PSC-05-23-00011-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the 2023 Electric Emergency Response Plans filed in December 2022 by Orange and Rockland Utilities, Inc.

*Statutory authority:* Public Service Law, sections 5(1)(b), 25-a and 66(21) *Subject:* Electric utilities' 2023 Electric Emergency Response Plans.

*Purpose:* To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.

Substance of proposed rule: The Public Service Commission (Commission) is considering the 2023 Electric the Emergency Response Plan filed by Orange and Rockland Utilities, Inc. in December 2022.

Section 66(21) of the Public Service Law (PSL) requires each electric utility subject to the provisions of PSL § 25-a to file an electric emergency response plan (plan) on or before December 15th of each year for Commission review and approval. PSL § 66(21)(a) and 16 NYCRR Part 105 specify the content and information that each utility must include in its plan. Each plan must identify personnel responsible for managing utility operations during an emergency and communicating with customers, government agencies, and the media. Each plan must also comply with additional requirements related to communications with customers that use life support equipment (LSE customers) and provide other critical services. Furthermore, each emergency plan must include procedures by which the utility would undertake the following activities during a storm event: (i) deploy company and mutual assistance crews to work assignment areas; (ii) identify and obtain additional supplies and equipment; and (iii) identify appropriate safety precautions to promptly respond to electrical hazards and downed wires. Finally, each plan must provide procedures by which the utility is to drill or practice in preparation for an actual emergency.

The full text of the Emergency Response Plans and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle, L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (22-E-0678SP5)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Thermal Energy Network Pilot Proposal

I.D. No. PSC-05-23-00012-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the Utility Thermal Energy Network Pilot Proposal filings provided by Brooklyn Union Gas Company d/b/a National Grid NY on January 9, 2023.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Thermal Energy Network Pilot Proposal.

**Purpose:** To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposed Utility Thermal Energy Network Pilot Proposal joint filing by The Brooklyn Union Gas Company d/b/a National Grid NY (KEDNY) on January 9, 2023 pursuant to the Commission's Order on Developing Thermal Energy Networks Pursuant to the Utility Thermal Energy Network and Jobs Act issued in Case 22-M-0429.

The pilot project proposal includes, but is not limited to, the following elements: implementation plan, site selection, budgeting and cost, cost recovery mechanism, performance metric, project timeline, feasibility study, customer outreach and education, customer protection plan, and other unique characteristics specific to this particular proposal.

Evaluation of the pilot projects filed by KEDNY may be based without limitation on the elements noted above and other factors, some of which are identified in the Act. Commission action on this pilot proposal may include a determination about an appropriate rate structure and cost recovery from ratepayers, although the utility is encouraged to also identify funding sources that are independent from rates.

For example, the Act requires the Commission consider whether a proposed pilot project: (1) will "develop information useful for the commission's promulgation of regulations governing thermal energy networks"; (2) "furthers the climate justice and/or emissions reduction mandates of the climate leadership and community protection act"; (3) "advances financial and technical approaches to equitable and affordable building electrification"; and (4) "creates benefits to customers and society at large."

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (22-M-0429SP3)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Electric Utilities' 2023 Electric Emergency Response Plans

I.D. No. PSC-05-23-00013-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the 2023 Electric Emergency Response Plans filed in December 2022 by Central Hudson Gas and Electric Corporation.

*Statutory authority:* Public Service Law, sections 5(1)(b), 25-a and 66(21) *Subject:* Electric utilities' 2023 Electric Emergency Response Plans.

*Purpose:* To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.

Substance of proposed rule: The Public Service Commission (Commission) is considering the 2023 Electric the Emergency Response Plan filed by Central Hudson Gas and Electric Corporation in December 2022.

Section 66(21) of the Public Service Law (PSL) requires each electric utility subject to the provisions of PSL § 25-a to file an electric

emergency response plan (plan) on or before December 15th of each year for Commission review and approval. PSL § 66(21)(a) and 16 NYCRR Part 105 specify the content and information that each utility must include in its plan. Each plan must identify personnel responsible for managing utility operations during an emergency and communicating with customers, government agencies, and the media. Each plan must also comply with additional requirements related to communications with customers that use life support equipment (LSE customers) and provide other critical services. Furthermore, each emergency plan must include procedures by which the utility would undertake the following activities during a storm event: (i) deploy company and mutual assistance crews to work assignment areas; (ii) identify and obtain additional supplies and equipment; and (iii) identify appropriate safety precautions to promptly respond to electrical hazards and downed wires. Finally, each plan must provide procedures by which the utility is to drill or practice in preparation for an actual emergency.

The full text of the Emergency Response Plans and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

## Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-E-0678SP1)

## PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### **Thermal Energy Network Pilot Proposal**

I.D. No. PSC-05-23-00014-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the Utility Thermal Energy Network Pilot Proposal filings provided by Orange & Rockland Utilities, Inc. on January 9, 2023.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Thermal Energy Network Pilot Proposal.

*Purpose:* To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposed Utility Thermal Energy Network Pilot Proposal joint filing by Orange and Rockland Utilities, Inc. (O&R) on January 9, 2023 pursuant to the Commission's Order on Developing Thermal Energy Networks Pursuant to the Utility Thermal Energy Network and Jobs Act issued in Case 22-M-0429.

The pilot project proposal includes, but is not limited to, the following elements: implementation plan, site selection, budgeting and cost, cost recovery mechanism, performance metric, project timeline, feasibility study, customer outreach and education, customer protection plan, and other unique characteristics specific to this particular proposal.

Evaluation of the pilot projects filed by O&R may be based without limitation on the elements noted above and other factors, some of which are identified in the Act. Commission action on this pilot proposal may include a determination about an appropriate rate structure and cost recovery from ratepayers, although the utility is encouraged to also identify funding sources that are independent from rates.

For example, the Act requires the Commission consider whether a proposed pilot project: (1) will "develop information useful for the commission's promulgation of regulations governing thermal energy networks"; (2) "furthers the climate justice and/or emissions reduction mandates of the climate leadership and community protection act"; (3) "advances financial and technical approaches to equitable and affordable building electrification"; and (4) "creates benefits to customers and society at large."

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act. (22-M-0429SP6)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Thermal Energy Network Pilot Proposal

I.D. No. PSC-05-23-00015-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the Utility Thermal Energy Network Pilot Proposal filings provided by Niagara Mohawk Power Corporation d/b/a National Grid on January 9, 2023.

Statutory authority: Public Service Law, sections 4(1), 65(1), 66(1) and 66-t

Subject: Thermal Energy Network Pilot Proposal.

*Purpose:* To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Substance of proposed rule: The Public Service Commission (Commission) is considering a proposed Utility Thermal Energy Network Pilot Proposal joint filing by Niagara Mohawk Power Corporation d/b/a National Grid (National Grid) on January 9, 2023 pursuant to the Commission's Order on Developing Thermal Energy Networks Pursuant to the Utility Thermal Energy Network and Jobs Act issued in Case 22-M-0429.

The pilot project proposal includes, but is not limited to, the following elements: implementation plan, site selection, budgeting and cost, cost recovery mechanism, performance metric, project timeline, feasibility study, customer outreach and education, customer protection plan, and other unique characteristics specific to this particular proposal.

Evaluation of the pilot projects filed by National Grid may be based without limitation on the elements noted above and other factors, some of which are identified in the Act. Commission action on this pilot proposal may include a determination about an appropriate rate structure and cost recovery from ratepayers, although the utility is encouraged to also identify funding sources that are independent from rates.

For example, the Act requires the Commission consider whether a proposed pilot project: (1) will "develop information useful for the commission's promulgation of regulations governing thermal energy networks"; (2) "furthers the climate justice and/or emissions reduction mandates of the climate leadership and community protection act"; (3) "advances financial and technical approaches to equitable and affordable building electrification"; and (4) "creates benefits to customers and society at large."

The full text of the proposal and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject, or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

*Data, views or arguments may be submitted to:* Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-M-0429SP4)

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

#### Electric Utilities' 2023 Electric Emergency Response Plans

I.D. No. PSC-05-23-00016-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** The Commission is considering the 2023 Electric Emergency Response Plans filed in December 2022 by Niagara Mohawk Power Corporation d/b/a National Grid.

Statutory authority: Public Service Law, sections 5(1)(b), 25-a and 66(21) Subject: Electric Utilities' 2023 Electric Emergency Response Plans.

*Purpose:* To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.

Substance of proposed rule: The Public Service Commission (Commission) is considering the 2023 Electric the Emergency Response Plan filed by Niagara Mohawk Power Corporation d/b/a National Grid in December 2022

Section 66(21) of the Public Service Law (PSL) requires each electric utility subject to the provisions of PSL § 25-a to file an electric emergency response plan (plan) on or before December 15th of each year for Commission review and approval. PSL § 66(21)(a) and 16 NYCRR Part 105 specify the content and information that each utility must include in its plan. Each plan must identify personnel responsible for managing utility operations during an emergency and communicating with customers, government agencies, and the media. Each plan must also comply with additional requirements related to communications with customers that use life support equipment (LSE customers) and provide other critical services. Furthermore, each emergency plan must include procedures by which the utility would undertake the following activities during a storm event: (i) deploy company and mutual assistance crews to work assignment areas; (ii) identify and obtain additional supplies and equipment; and (iii) identify appropriate safety precautions to promptly respond to electrical hazards and downed wires. Finally, each plan must provide procedures by which the utility is to drill or practice in preparation for an actual emergency.

The full text of the Emergency Response Plans and the full record of the proceeding may be reviewed online at the Department of Public Service web page: www.dps.ny.gov. The Commission may adopt, reject or modify, in whole or in part, the action proposed and may resolve related matters.

Text of proposed rule and any required statements and analyses may be obtained by filing a Document Request Form (F-96) located on our website http://www.dps.ny.gov/f96dir.htm. For questions, contact: John Pitucci, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 486-2655, email: john.pitucci@dps.ny.gov

Data, views or arguments may be submitted to: Michelle L. Phillips, Secretary, Public Service Commission, 3 Empire State Plaza, Albany, New York 12223-1350, (518) 474-6530, email: secretary@dps.ny.gov

Public comment will be received until: 60 days after publication of this notice.

Regulatory Impact Statement, Regulatory Flexibility Analysis, Rural Area Flexibility Analysis and Job Impact Statement

Statements and analyses are not submitted with this notice because the proposed rule is within the definition contained in section 102(2)(a)(ii) of the State Administrative Procedure Act.

(22-E-0678SP4)

#### **Department of State**

#### PROPOSED RULE MAKING HEARING(S) SCHEDULED

#### **Certification and Training of Code Enforcement Personnel**

I.D. No. DOS-05-23-00018-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

**Proposed Action:** Amendment of sections 1208-1.2(c), (d), 1208-2.1(b), (c), 1208-2.2(a), (b), 1208-3.2(a), (d), 1208-3.3(b), 1208-3.5(d) and (e); addition of sections 1208-3.5(f) and 1208-5.5 to Title 19 NYCRR.

Statutory authority: Executive Law, sections 376-a and 381(1)

Subject: Certification and training of code enforcement personnel.

**Purpose:** To amend the existing certification and training regulations to comply with recent amendments to Executive Law section 376-a.

Public hearing(s) will be held at: 10:00 a.m., April 5, 2023 at Department of State, 99 Washington Ave., Rm. 505, Albany, NY.

*Interpreter Service:* Interpreter services will be made available to hearing impaired persons, at no charge, upon written request submitted within reasonable time prior to the scheduled public hearing. The written request must be addressed to the agency representative designated in the paragraph below.

Accessibility: All public hearings have been scheduled at places reasonably accessible to persons with a mobility impairment.

Substance of proposed rule (Full text is posted at the following State website: https://dos.ny.gov/notice-proposed-rule-making): This rule making would amend Part 1208 of Title 19 of the NYCRR as follows:

19 NYCRR section 1208-1.2(c) and (d):

Amended the definitions of the following:

(c) Certified building safety inspector.

(d) Certified code enforcement official.

19 NYCRR section 1208-2.1 (b) and (c):

Added references to new section 1208-5.5. 19 NYCRR section 1208-2.2 (a):

Added reference to new section 1208-5.5.

19 NYCRR section 1208-2.2(b)(1):

- (1) A person who has successfully completed at least one-third of the required building safety inspector basic training program described in section 1208-3.2(b) of this Part may, during such person's basic training period (as that term is defined in paragraph (3) of this subdivision), perform building safety inspector enforcement activities on behalf of the local government, county, or State agency by which such person is employed, provided that:
- (i) such local government, county, or State agency designates such person to perform building safety inspector enforcement activities on behalf of such local government, county, or State agency; and
- (ii) such person is progressing toward completion of the building safety inspector basic training program at a rate which, in the judgment of the local government, county, or State agency by which such person is employed, will assure that such person will complete such program within such person's basic training period.

If such person fails to complete the building safety inspector basic training program within their basic training period, they shall not be permitted to perform any building safety inspector enforcement activities until and unless a waiver is granted pursuant to section 1208-3.2(d) of this Part.

19 NYCRR section 1208-2.2 (b)(2):

(2) A person who has successfully completed at least one-sixth of the required code enforcement official basic training program described in section 1208-3.2(c) of this Part may, during the such person's basic training period (as that term is defined in paragraph (3) of this subdivision), perform building safety inspector enforcement activities on behalf of the local government, county, or State agency by which such person is employed; and a person who has completed at least two-thirds of the

required code enforcement official basic training program described in section 1208-3.2(c) of this Part may, during the such person's basic training period (as that term is defined in paragraph (3) of this subdivision), perform code enforcement official enforcement activities on behalf of the local government, county, or State agency by which such person is employed, provided that:

(i) such local government, county, or State agency designates such

person to perform code enforcement official enforcement activities on

behalf of such local government, county, or State agency; and

(ii) such person is progressing toward completion of the code enforcement official basic training program at a rate which, in the judgment of the local government, county, or State agency by which such person is employed, will assure that such person will complete such program within such person's basic training period.

If such person fails to complete the code enforcement official basic training program within their basic training period, they shall not be permitted to perform any code enforcement official enforcement activities or building safety inspector enforcement activities until and unless a waiver is granted pursuant to section 1208-3.2(d) of this Part.

19 NYČRR section 1208-3.2(a):

Added reference to new section 1208-5.5.

19 NYCRR section 1208-3.2(d):

(d) Time within which basic training program must be completed. (1) For building safety inspectors, a person must complete the entire basic training program applicable to building safety inspectors within six months of the date on which such person attended the first training course included in that basic training program. However, a person who is or who becomes employed as a building safety inspector by a local government, county, or State agency must complete that basic training program within six months from the date of appointment.

(2) For code enforcement officials, a person must complete the entire basic training program applicable to code enforcement officials within 12 months of the date on which such person attended the first training course included in that basic training program. However, a person who is or who becomes employed as a code enforcement official by a local government, county, or State agency must complete that basic training program within

12 months from the date of appointment.

Failure to complete a basic training program within the time period established in this subdivision shall result in the forfeiture of any and all accrued basic code enforcement training credit. The time period established in this subdivision for the completion of a basic training program may be extended by the secretary for good cause shown. An application for such an extension shall set forth the reason(s) supporting such a grant of an extension. Any such extension shall be in writing, signed by the secretary or the secretary's designee, and shall be subject to such terms and conditions as the secretary may prescribe.

19 NYCRR section 1208-3.3(b)(1):

(1) Code enforcement and administration - including changes in law, advancements in construction techniques, or detection and remediation of common violations of the uniform code and/or energy code (suggested sub-topics include, but are not limited to):

(i) code enforcement practices and organization (suggested sub-topics include, but are not limited to: inspection techniques; building system technology; legal issues affecting the building safety inspector; and the

building safety inspector and diplomacy);

(ii) public policy considerations affecting building construction and maintenance (suggested sub-topics include, but are not limited to: historic preservation; handicap accessibility issues; energy conservation; temporary structures; fire inspections and fire protection features; review of the reference standards; and means of egress issues);

(iii) occupancy classification and hazard recognition (suggested subtopics include, but are not limited to: occupancy classification; fire safety issues; hazardous materials storage; solid fuel-burning equipment; and

unvented heaters); and

- (iv) code interpretations and application (suggested sub-topics include, but are not limited to: Uniform Code update; legal interpretations, and technical bulletins).
  - 19 NYCRR section 1208-3.5(d):

(d) Change in status for individuals with an inactive certification status for three or more consecutive years.

To be reclassified with active certification as a building safety inspector or as a code enforcement official following an inactive certification status pursuant to subdivision (a) of this section for a period of three or more consecutive years, a person must repeat, and successfully complete, the applicable basic training program described in section 1208-3.2 of this Subpart within the time period determined pursuant to section 1208-3.2(d) of this Subpart. The requirement that the basic training program be repeated may be waived, at the discretion of the secretary, if the person seeking re-certification takes an examination for each basic training course for the desired category of certification and achieves a passing score on each such examination.

- 19 NYCRR section 1208-3.5(e):
- (e) Re-certification after revocation.
- To be re-certified as a certified building safety inspector or as a certified code enforcement official following revocation of certification pursuant to subdivision (b) of this section, a person must repeat, and successfully complete, the applicable basic training program described in section 1208-3.2 of this Subpart within the time period determined pursuant to section 1208-3.2(d) of this Subpart. The requirement that the basic training program be repeated may be waived, at the discretion of the secretary, if the person seeking re-certification takes an examination for each basic training course for the desired category of certification and achieves a passing score on each such examination.

19 ŇYCRR 1208-3.5(f):

- (f) An individual whose certification has been classified as inactive or revoked pursuant to this section shall not be permitted to perform any building safety inspector enforcement activities or any code enforcement official enforcement activities until and unless such individual has satisfied the reclassification or recertification requirements of this section. Pursuant to Executive Law § 376-a(2)(j), nothing in this section shall be deemed to diminish the rights, privileges, or remedies of any applicant or current or former employee under any other law or regulation or under any collective bargaining agreement or employment contract.
  - 19 NYCRR section 1208-5.5:

1208-5.5 Nationally Recognized Certification Alternatives.

- (a) Nationally Recognized Certification Alternatives to Building Safety Inspector Basic Training.
- (1) A person may be certified as a certified building safety inspector if thev
- (i) possess a current nationally recognized certification approved by the secretary, or their designee, as an equivalent to the basic training program included in section 1208-3.2(b) of this Part; and
- (ii) have successfully taken and passed the New York State Code Enforcement Principles and Practice Exam as identified in subdivision (c) of this section.
- (2) To maintain certification granted pursuant to this subdivision, the person receiving such certification must satisfy the building safety inspector in-service training requirements described in section 1208-3.3(b) of this Part for each calendar year, starting with the calendar year following the initial approval and continuing every calendar year thereafter, and any and all building safety inspector advanced in-service training requirements made applicable pursuant to section 1208-3.4 of this Part. Maintenance of the approved alternative nationally recognized certification shall not be required for continued certification as a building safety inspector.
- (3) The department shall maintain a list of approved nationally recognized certifications which may be amended from time to time as deemed necessary by the secretary or their designee.
- (b) Nationally Recognized Certification Alternatives to Code Enforcement Official Basic Training.
- (1) A person may be certified as a certified code enforcement official if
- (i) possess a current nationally recognized certification approved by the secretary, or their designee, as an equivalent to the basic training program included in section 1208-3.2(c) of this Part; and
- (ii) have successfully taken and passed the New York State Code Enforcement Principles and Practice Exam as identified in subdivision (c) of this section.
- (2) To maintain certification granted pursuant to this subdivision, the person receiving such certification must satisfy the code enforcement official in-service training requirements described in section 1208-3.3(c) of this Part for each calendar year, starting with the calendar year following the initial approval and continuing every calendar year thereafter, and any and all code enforcement official advanced in-service training requirements made applicable pursuant to section 1208-3.4 of this Part. Maintenance of the approved alternative nationally recognized certification shall not be required for continued certification as a code enforcement official.
- (3) The department shall maintain a list of approved nationally recognized certifications which may be amended from time to time as deemed necessary by the secretary or their designee.
  - (c) New York State Code Enforcement Principles and Practice Exam.
- (1) Any person seeking to obtain certification as a building safety inspector or a code enforcement official pursuant to this section shall be required to take and pass a New York State Code Enforcement Principles and Practice Exam. Said exam shall be developed by the department and include New York specific administrative, technical, and legal topics.
- (2) Applications for the New York State Code Enforcement Principles and Practice Exam shall be in accordance with section 1208-5.1 of this Part. Upon receipt of an application and following the department's approval of the application, the person shall be permitted to take the exam. Upon achievement of a passing score on the exam, the department shall issue a certificate.

(d) Except where otherwise specifically provided in this Part, any person seeking to obtain certification as a building safety inspector or a code enforcement official pursuant to this section shall not be permitted to perform any enforcement activity until and unless they have obtained their certification from the department.

Text of proposed rule and any required statements and analyses may be obtained from: Jeanne Rice, Department of State, 99 Washington Ave., Suite 1160, Albany, NY 12231, (518) 473-2265, email: code.development@dos.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: Five days after the last scheduled public hearing.

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

Regulatory Impact Statement

. STAŤUTÔRY AUTHORITY:

Executive Law § 376-a authorizes the Secretary of State to promulgate rules and regulations relating to training of personnel charged with enforcement of the Uniform Fire Prevention and Building Code (the Uniform Code) and the State Energy Conservation Construction Code (the Energy Code). Those rules and regulations are currently set forth in 19

This proposed rule would amend 19 NYCRR Part 1208 to include provisions addressing Executive Law § 376-a, as amended by Chapter 797 of the Laws of 2022 and as will be amended by a Chapter of the Laws of 2023, as indicated in Approval Memorandum No. 82. The amendments to Executive Law § 376-a would require the minimum basic training requirements to be completed within six months from the date of appointment for building safety inspectors (BSIs) and within twelve months from the date of appointment for code enforcement officials (CEOs), unless there is a written extension obtained from the Secretary of State for good cause shown. In addition, a BSI must complete at least one-third of required basic training courses prior to performing BSI enforcement activities and a CEO must complete at least one-sixth of required basic training courses prior to performing BSI enforcement activities and must complete twothirds of required basic training courses prior to performing CEO enforce-

The proposed rule would also amend 19 NYCRR Part 1208 to allow the Department of State (DOS) to accept nationally recognized certifications as approved by the Secretary, or their designee, and after successful completion of the New York State Code Enforcement Principles and Practice Exam, in lieu of completing the basic training program, in accordance with the Governor's State of the State proposal.

19 NYCRR Part 1208 already establishes basic training and examination requirements for code enforcement personnel, and provides for certification of persons who satisfy those requirements. This rule amends Part 1208 to implement the amendments to Executive Law § 376-a.

LEGISLATIVE OBJECTIVES:

This rule will shorten the timeframe in which code enforcement personnel must complete their basic training program and require minimum training before code enforcement personnel may perform enforcement activities. This will further the legislative objective of ensuring that administration and enforcement of the Uniform Code be conducted in a manner that satisfies the minimum standards established by the rules and regulations promulgated by the Secretary of State pursuant to Executive Law §§ 381 and 376-a.

#### NEEDS AND BENEFITS:

Prior to the enactment of Chapter 797 of the Laws of 2022, Executive Law § 376-a and 19 NYCRR Part 1208 allows for BSIs and CEOs to perform code enforcement activities prior to completing the basic training requirements if the individual has commenced, but not completed, the basic training program, and provided that (i) such local government, county, or State agency designates such person to perform activities on behalf of the local government, county, or State agency, and (ii) such person is progressing toward completion of the basic training program at a rate which, in the judgment of the local government, county, or State agency by which such person is employed, will assure that such person will complete such program within such person's basic training period. Executive Law § 376-a, as amended by Chapter 797 of the Laws of 2022 and as will be amended by a Chapter of the Laws of 2023, as indicated in Approval Memorandum No. 82, requires minimum training before individuals may perform enforcement activities and shortens the time within which BSIs and CEOs must complete their basic training program. This proposed rule implements these amendments.

The proposed rule would also add another option for individuals seeking to become certified as code enforcement officials or building safety inspectors that possess nationally recognized certifications approved by the Secretary, or their designee, and who have successfully completed the New York State Code Enforcement Principles and Practice Exam, in lieu of completing the basic training program. This will allow such individuals to obtain certification from DOS more quickly.

(a) Costs to Regulated Parties. This rule does not change the existing training requirements. Therefore, this rule will not impose any new or additional costs on persons wishing to take the basic training required for initial certification. The proposed rule would add another option available to individuals seeking to become certified by DOS as a code enforcement official or building safety inspector through obtaining a nationally recognized certification that could range between \$300 and \$800, depending on the certification level achieved. However, this cost is not mandatory as this is an alternative to taking and completing the basic training program developed by DOS that is free of charge.

(b) Costs to the Department of State and the State of New York. This rule will not impose any new or additional costs on the DOS as basic train-

ing is already developed and presented by DOS.

(c) Costs to local governments. This rule will require a local government to potentially find alternative code enforcement personnel to perform activities during the time within which a new BSI or CEO is being trained. However, while Part 1208 specifies the minimum training requirements for persons who perform enforcement activities and local governments, counties, and/or State agencies may impose more stringent training requirements for their BSIs or CEOs. Accordingly, there are currently many local governments, counties, and/or State agencies that require full certification as a BSI or CEO before the individual may perform any enforcement activities. In accordance with the amendments to Executive Law § 376-a, the proposed rule would prohibit the performance of enforcement activities until a portion of the basic training courses were completed. Based on the tentative basic training program schedule for 2023, basic training programs are offered between every 2 to 15 weeks. Therefore, individuals will be eligible to perform BSI enforcement activities approximately 3 to 16 weeks after enrolling in the training program and individuals will be eligible to perform CEO enforcement activities approximately 8 to 17 weeks after enrolling in the training program. Therefore, any additional cost due to delay in performing enforcement activities is estimated to be minimal.

PAPERWORK:

This rule will not require any additional paperwork. 6. LOCAL GOVERNMENT MANDATES:

Counties, cities, towns, and villages that are responsible for administration and enforcement of the Uniform Code are already required by existing law to do so "in due and proper manner so as to extend to the public protection from the hazards of fire and inadequate building construction." See 19 NYCRR § 1203.2(d). In the opinion of DOS, the obligation to administer and enforce the Uniform Code in a "due and proper manner" already includes the obligation to monitor the performance of code enforcement personnel and ensure that such individuals are qualified to perform code enforcement activities. Accordingly, the requirements expressly imposed on counties, cities, towns, and villages by this rule are not entirely new, but represent a codification and formalization of a portion of the existing "due and proper manner" requirements.

This rule will impose no requirement on any school district, fire district, or other special district.

7. DUPLICATION:

The rule does not duplicate any existing Federal or State requirement. 8. ALTERNATIVES:

The alternative of making more extensive changes to existing Part 1208 was considered, but rejected because DOS did not believe that more extensive changes could be fully developed and considered by DOS, and by other interested parties, prior to the effective date of Chapter 797 of the Laws of 2022.

9. FEDERAL STANDARDS:

There are no standards of the Federal Government which address the subject matter of the rule.

10. COMPLIANCE SCHEDULE:

Regulated persons will be required to comply with the rule following publication of the Notice of Adoption, which is planned to coincide with the April 27, 2023 effective date of Chapter 797 of the Laws of 2022.

1 See page 258 of the 2023 State of the State book: https:// www.governor.ny.gov/sites/default/files/2023-01/2023SOTSBook.pdf

Each basic training program course is approximately one week with a required exam to be completed the following week.

#### Regulatory Flexibility Analysis

1. EFFÉCT OF RÚLE:

Executive Law § 376-a authorizes the Secretary of State to promulgate

<sup>&</sup>lt;sup>2</sup> International Code Council (ICC) Certified Building Official certification is \$570, ICC Fire Inspector One certification is \$290 and ICC Fire Inspector Two certification is \$290, National Fire Protection Association (NFPA) Fire Inspector One certification is \$399 and NFPA Fire Inspector Two certification is \$399.

rules and regulations relating to training of personnel charged with enforcement of the Uniform Fire Prevention and Building Code (the Uniform Code) and the State Energy Conservation Construction Code (the Energy Code). Those rules and regulations are currently set forth in 19 NYCRR Part 1208.

This proposed rule would amend 19 NYCRR Part 1208 to include provisions addressing Executive Law § 376-a, as amended by Chapter 797 of the Laws of 2022 and as will be amended by a Chapter of the Laws of 2023, as indicated in Approval Memorandum No. 82. The amendments to Executive Law § 376-a would require the minimum basic training requirements to be completed within six months from the date of appointment for building safety inspectors (BSIs) and within twelve months from the date of appointment for code enforcement officials (CEOs), unless there is a written extension obtained from the Secretary of State for good cause shown. In addition, a BSI must complete at least one-third of required bashown. In adultion, a BSI must complete at least one-time of required basic training courses prior to performing BSI enforcement activities and a CEO must complete at least one-sixth of required basic training courses prior to performing BSI enforcement activities and must complete twothirds of required basic training courses prior to performing CEO enforcement activities

The proposed rule would also amend 19 NYCRR Part 1208 to allow the Department to accept nationally recognized certifications as approved by the Secretary, or their designee, and after successful completion of the New York State Code Enforcement Principles and Practice Exam, in lieu of completing the basic training program, in accordance with the Governor's State of the State proposal.

19 NYCRR Part 1208 already establishes basic training and examination requirements for code enforcement personnel, and provides for certification of persons who satisfy those requirements. This rule amends Part 1208 to implement the amendments to Executive Law § 376-a.

This rule will not impose any reporting or recordkeeping requirements on small businesses, and this rule will not require small businesses to undertake any other affirmative acts. This rule will have no effect on small

The Department of State (DOS) estimates that approximately 1,500 to 1,600 local governments are responsible for administration and enforcement of the Uniform Code and Energy Code.

#### 2. COMPLIANCE REQUIREMENTS:

This rule will not impose any reporting or recordkeeping requirements on small businesses or local governments, and this rule will not require small businesses or local governments to undertake any other affirmative

#### 3. PROFESSIONAL SERVICES:

This rule will not impose any reporting or recordkeeping requirements on small businesses, and this rule will not require small businesses to undertake any other affirmative acts. Therefore, small businesses will not be required to use any professional services to comply with this rule.

#### 4. COMPLIANCE COSTS:

This rule will not impose any reporting or recordkeeping requirements on small businesses, and this rule will not require small businesses to undertake any other affirmative acts. Therefore, small businesses will not incur any compliance costs.

The proposed rule would add another option available to individuals seeking to become certified by the Department as a code enforcement official or building safety inspector through obtaining a nationally recognized certification that could range between \$300 and \$800, depending on the certification level achieved. However, this cost is not mandatory as this is an alternative to taking and completing the basic training program developed by the Department that is free of charge.

This rule will require a local government to potentially find alternative code enforcement personnel to perform activities during the time within which a new BSI or CEO is being trained. However, while Part 1208 specifies the minimum training requirements for persons who perform enforcement activities and local governments, counties, and/or State agencies may impose more stringent training requirements for their BSIs or CEOs. Accordingly, there are currently many local governments, counties, and/or State agencies that require full certification as a BSI or CEO before the individual may perform any enforcement activities. In accordance with the amendments to Executive Law § 376-a, the proposed rule would prohibit the performance of enforcement activities until a portion of the basic training courses were completed. Based on the tentative basic training program schedule for 2023, basic training programs are offered between every 2 to 15 weeks.<sup>3</sup> Therefore, individuals will be eligible to perform BSI enforcement activities approximately 3 to 16 weeks after enrolling in the training program and individuals will be eligible to perform CEO enforcement activities approximately 8 to 17 weeks after enrolling in the training program. Therefore, any additional cost due to delay in performing enforcement activities is estimated to be minimal.

#### 5. ECONOMIC AND TECHNOLOGICAL FEASIBILITY:

As small businesses are not regulated by the rule, the economic and technical feasibility of their compliance with the rule is not a factor.

Local governments will be required to comply with this rule. However, this rule imposes no initial capital costs on local governments, and no new technology need be developed for compliance with this rule. Consequently, it is economically and technologically feasible for local governments to comply with the rule.

6. MINIMIZING ADVERSE IMPACT:

As small businesses and local governments are not subject to provisions of this rule, it will have no adverse economic impact on small businesses or local governments
7. SMALL B

BUSINESS AND LOCAL GOVERNMENT PARTICIPATION:

DOS will post a notice on DOS's website and publish a notice in Building New York, an electronic news bulletin covering topics related to the Uniform Code and the construction industry, which is prepared by DOS and currently distributed to over 10,000 subscribers, including local governments, design professionals and others involved in all aspects of the construction industry in all areas of the State.

DOS will post the full text of this rule on DOS's website.

See page 258 of the 2023 State of the State book: https:// www.governor.ny.gov/sites/default/files/2023-01/2023SOTSBook.pdf

- <sup>2</sup> International Code Council (ICC) Certified Building Official Certification is \$570, ICC Fire Inspector One certification is \$290 and ICC Fire Inspector Two certification is \$290, National Fire Protection Association (NFPA) Fire Inspector One certification is \$399 and NFPA Fire Inspector Two certification is \$399.
- Each basic training program course is approximately one week with a required exam to be completed the following week.

#### Rural Area Flexibility Analysis

#### 1. TYPES AND EŠTIMĀTED NUMBERS OF RURAL AREAS:

Executive Law § 376-a authorizes the Secretary of State to promulgate rules and regulations relating to training of personnel charged with enforcement of the Uniform Fire Prevention and Building Code (the Uniform Code) and the State Energy Conservation Construction Code (the Energy Code). Those rules and regulations are currently set forth in 19 NYCRR Part 1208.

This proposed rule would amend 19 NYCRR Part 1208 to include provisions addressing Executive Law § 376-a, as amended by Chapter 797 of the Laws of 2022 and as will be amended by a Chapter of the Laws of 2023, as indicated in Approval Memorandum No. 82. The amendments to Executive Law § 376-a would require the minimum basic training requirements to be completed within six months from the date of appointment for building safety inspectors (BSIs) and within twelve months from the date of appointment for code enforcement officials (CEOs), unless there is a written extension obtained from the Secretary of State for good cause shown. In addition, a BSI must complete at least one-third of required basic training courses prior to performing BSI enforcement activities and a CEO must complete at least one-sixth of required basic training courses prior to performing BSI enforcement activities and must complete twothirds of required basic training courses prior to performing CEO enforcement activities.

The proposed rule would also amend 19 NYCRR Part 1208 to allow the Department to accept nationally recognized certifications as approved by the Secretary, or their designee, and after successful completion of the New York State Code Enforcement Principles and Practice Exam, in lieu of completing the basic training program, in accordance with the Governor's State of the State proposal

19 NYCRR Part 1208 already establishes basic training and examination requirements for code enforcement personnel, and provides for certification of persons who satisfy those requirements. This rule amends Part 1208 to implement the amendments to Executive Law § 376-a.

The Department of State (DOS) estimates that approximately 1,500 to 1,600 local governments are responsible for administration and enforcement of the Uniform Code and Energy Code. This rule will apply to all local governments located in rural areas of the State.

2. REPORTING, RECORDKEEPING, AND OTHER COMPLIANCE REQUIREMENTS; AND PROFESSIONAL SERVICES:

This proposed rule will have no significant impact on reporting and record-keeping requirements in rural areas or elsewhere in New York.

3. COMPLIANCE COSTS:

This rule will require a local government to potentially find alternative code enforcement personnel to perform activities during the time within which a new BSI or CEO is being trained. However, while Part 1208 specifies the minimum training requirements for persons who perform enforcement activities and local governments, counties, and/or State agencies may impose more stringent training requirements for their BSIs or CEOs. Accordingly, there are currently many local governments, counties, and/or State agencies that require full certification as a BSI or CEO before the individual may perform any enforcement activities. In accordance with the

amendments to Executive Law § 376-a, the proposed rule would prohibit the performance of enforcement activities until a portion of the basic training courses were completed. Based on the tentative basic training program schedule for 2023, basic training programs are offered between every 2 to 15 weeks. Therefore, individuals will be eligible to perform BSI enforcement activities approximately 3 to 16 weeks after enrolling in the training program and individuals will be eligible to perform CEO enforcement activities approximately 8 to 17 weeks after enrolling in the training program. Therefore, any additional cost due to delay in performing enforcement activities is estimated to be minimal.

The proposed rule would add another option available to individuals seeking to become certified by the Department as a code enforcement of-ficial or building safety inspector through obtaining a nationally recognized certification that could range between \$300 and \$800, depending on the certification level achieved.<sup>3</sup> However, this cost is not mandatory as this is an alternative to taking and completing the basic training program developed by the Department that is free of charge.

#### 4. MINIMIZING ADVERSE IMPACT:

The economic impact of this rule on local governments in rural areas will be no greater than the economic impact of this rule on local governments in non-rural areas. The objectives of the authorizing statute cannot be achieved by imposing lower standards on local governments located in rural areas. Consequently, the rule cannot be designed to further minimize any economic impact on local governments in rural areas, and the approaches for minimizing adverse economic impact suggested in SAPA § 202-bb(2)(b) were not considered as such alternatives would not be appropriate.

#### 5. RURAL AREA PARTICIPATION:

DOS will notify interested parties throughout the State, including interested parties in rural areas, of the proposed adoption of this rule by means of a notice published in Building New York, a monthly electronic news bulletin covering topics related to the Uniform Code, the Energy Code, and the construction industry which is prepared by DOS and which is currently distributed to approximately 10,000 subscribers, including local governments, design professionals and others involved in all aspects of the construction industry in all areas of the State.

DOS will post the full text of this rule on the Department's website.

See page 258 of the 2023 State of the State book: https:// www.governor.ny.gov/sites/default/files/2023-01/2023SOTSBook.pdf

Each basic training program course is approximately one week with a required exam to be completed the following week.

International Code Council (ICC) Certified Building Official certification is \$570, ICC Fire Inspector One certification is \$290 and ICC Fire Inspector Two certification is \$290, National Fire Protection Association (NFPA) Fire Inspector One certification is \$399 and NFPA Fire Inspector Two certification is \$399.

#### Job Impact Statement

The Department of State has determined that it is apparent from the nature and purpose of the proposed rule making that it will not have a substantial adverse impact on jobs and employment opportunities.

This proposed rule would amend 19 NYCRR Part 1208 to include provisions addressing Executive Law § 376-a, as amended by Chapter 797 of the Laws of 2022 and as will be amended by a Chapter of the Laws of 2023, as indicated in Approval Memorandum No. 82. The amendments to Executive Law § 376-a would require the minimum basic training requirements to be completed within six months from the date of appointment for building safety inspectors (BSIs) and within twelve months from the date of appointment for code enforcement officials (CEOs), unless there is a written extension obtained from the Secretary of State for good cause shown. In addition, a BSI must complete at least one-third of required basic training courses prior to performing BSI enforcement activities and a CEO must complete at least one-sixth of required basic training courses prior to performing BSI enforcement activities and must complete twothirds of required basic training courses prior to performing CEO enforcement activities.

Currently, Part 1208 allows for BSIs and CEOs to perform code enforcement activities prior to completing the basic training requirements if the individual has commenced, but not completed, the basic training program, and provided that (i) such local government, county, or State agency designates such person to perform activities on behalf of the local government, county, or State agency, and (ii) such person is progressing toward completion of the basic training program at a rate which, in the judgment of the local government, county, or State agency by which such person is employed, will assure that such person will complete such program within such person's basic training period. However, while Part 1208 specifies the minimum training requirements for persons who perform enforcement activities and local governments, counties, and/or State agencies may impose more stringent training requirements for their

BSIs or CEOs. Accordingly, there are currently many local governments, counties, and/or State agencies that require full certification as a BSI or CEO before the individual may perform any enforcement activities.

In accordance with the amendments to Executive Law § 376-a, the proposed rule would prohibit the performance of enforcement activities until a portion of the basic training courses were completed. Based on the tentative basic training program schedule for 2023, basic training programs are offered between every 2 to 15 weeks. Therefore, individuals will be eligible to perform BSI enforcement activities approximately 3 to 16 weeks after enrolling in the training program and individuals will be eligible to perform CEO enforcement activities approximately 8 to 17 weeks after enrolling in the training program.

The proposed rule would also amend 19 NYCRR Part 1208 to allow the

The proposed rule would also amend 19 NYCRR Part 1208 to allow the Department to accept nationally recognized certifications as approved by the Secretary, or their designee, and after successful completion of the New York State Code Enforcement Principles and Practice Exam, in lieu of completing the basic training program, in accordance with the Governor's State of the State proposal.<sup>2</sup>

The proposed rule would also add another option for individuals seek-

ing to become certified as code enforcement officials or building safety inspectors that possess nationally recognized certifications approved by the Secretary, or their designee, and who have successfully completed the New York State Code Enforcement Principles and Practice Exam, in lieu of completing the basic training program. This will allow such individuals to obtain certification from the Department more quickly.

As a consequence, the Department of State concludes that this rule will not have a substantial adverse impact on jobs and employment opportunities in New York State.

Each basic training program course is approximately one week with a required exam to be completed the following week.

See page 258 of the 2023 State of the State book: https:// www.governor.ny.gov/sites/default/files/2023-01/2023SOTSBook.pdf

### Office of Temporary and **Disability Assistance**

#### PROPOSED RULE MAKING NO HEARING(S) SCHEDULED

Public Assistance (PA) Earned Income and Work Expense Disregards and Income Tests for PA Eligibility

I.D. No. TDA-05-23-00017-P

PURSUANT TO THE PROVISIONS OF THE State Administrative Procedure Act, NOTICE is hereby given of the following proposed rule:

Proposed Action: Amendment of sections 352.17(b)(1), 352.19(a), 352.20(b)-(e); repeal of section 352.18 of Title 18 NYCRR

Statutory authority: Social Services Law, sections 20(3)(d), 34(3)(f), 131(1), 131-a(8)(a)(ii)-(iii), 355(3); L. 2022, ch. 56, part U

Subject: Public Assistance (PA) earned income and work expense disregards and income tests for PA eligibility.

Purpose: To update State regulations pertaining to the above-referenced consistent with part U of chapter 56 of the Laws of 2022.

Text of proposed rule: Subparagraphs (i) - (ii) of paragraph (1) of subdivision (b) of § 352.17 of Part 352 of Title 18 NYCRR is amended to read as

(b) Computation of net applicable income. (1) In computing the amount of net applicable income to be applied against the estimate of needs, the following must be applied sequentially

(i) the [prescribed work expense disregard] applicable amount of exempt earned income; and

(ii) the [applicable amount of exempt earned income] prescribed work expense disregard.

The net amount remaining must be applied against public assistance needs in determining the amount of the assistance payment.

Section 352.18 of Part 352 of Title 18 NYCRR is REPEALED and reserved.

Subdivision (a) of § 352.19 of Part 352 of Title 18 NYCRR is amended to read as follows:

(a) [The first \$90] \$150 of earned income for each individual engaged in employment must be disregarded after applying the earned income disregard percentage to the gross income.

Subdivisions (b) - (e) of § 352.20 of Part 352 of Title 18 NYCRR are amended to read as follows:

(b) All of the earned income of an FA or SNA dependent child who is a full-time or part-time student shall be exempt and disregarded [for purposes of the gross income test(s) contained in section 352.18 of this

Part and in determining eligibility and degree of need].

(c) For all [FA households or any SNA household] public assistance households, [which contains a pregnant woman or a dependent child who meets the FA age requirements found at section 369.2(c) of this Title, after work expense disregards have been applied, 42] 50 percent of [the remainder of] the recipient's monthly earned income is exempt and must be disregarded as income or resources in determining eligibility and degree of need; provided, however, that the percentage amount must be adjusted in June of each year [beginning in 1998] to reflect changes in the most recently issued poverty guidelines of the United States Bureau of the Census, so that a household of three without special needs and without unearned income, living in a heated apartment in the City of New York, would become ineligible for assistance if gross earnings equal the poverty level. This disregard will be allowed only if such person had not:

(d) An applicant's eligibility for [FA or SNA] public assistance must be determined without taking into consideration the earned income exemption specified in subdivision (c) of this section, unless, after applying the \$150 work expense disregard, the applicant is determined eligible, or the applicant's needs were met in whole or in part by [FA or SNA] public assistance payments for any one of the four preceding months the earned income disregard must be applied to the remaining earned income.

(e) The earned income exemption specified in subdivision (c) of this section shall be applied against the earnings of a legally responsible caretaker relative whose needs are not included in the public assistance grant in determining the amount of such relative's earnings which are available to such relative's [FA or SNA] public assistance dependents. When such dependents are applicants for [FA or SNA] public assistance, the earned income of the nonapplying, legally responsible caretaker relative shall be treated in accordance with conditions set forth in subdivision

Text of proposed rule and any required statements and analyses may be obtained from: Richard P. Rhodes, Jr., Office of Temporary and Disability Assistance, 40 North Pearl Street, 16-C, Albany, NY 12243-0001, (518) 486-7503, email: richard.rhodesjr@otda.ny.gov

Data, views or arguments may be submitted to: Same as above.

Public comment will be received until: 60 days after publication of this

This rule was not under consideration at the time this agency submitted its Regulatory Agenda for publication in the Register.

#### Regulatory Impact Statement

1. Statutory authority:

Social Services Law (SSL) § 20(3)(d) authorizes the Office of Temporary and Disability Assistance (OTDA) to promulgate regulations to carry out its powers and duties.

SSL § 34(3)(f) requires the Commissioner of OTDA to establish regulations for the administration of public assistance (PA) within the State.

SSL § 131(1) requires social services districts (districts), insofar as funds are available, to provide adequately for those unable to maintain themselves, in accordance with the provisions of the SSL.

SSL §§ 131-a(8)(a)(ii-iii), as amended by Part U of Chapter 56 of the Laws of 2022, sets forth the methodology for determining the need for aid provided pursuant to PA programs, specifically, by exempting and disregarding certain monthly income earned by PA applicants and

SSL § 355(3) provides that OTDA make regulations necessary for the administration of aid to dependent children, to the end that allowances may be granted and the provisions of Title 10 of the SSL be administered uniformly throughout the State.

Part U of Chapter 56 of the Laws of 2022, which was enacted on April 9, 2022, amended SSL §§ 131-a(8)(a)(ii)–(iii) as set forth above, and repealed SSL § 131-a(10), both effective on October 1, 2022.

Legislative objectives:

It was the intent of the legislature in enacting the above statutes that the Office establish rules, regulations, and policy so that adequate provision is made for those persons unable to provide for themselves so that, whenever possible, such persons can be restored to a condition of self-sufficiency.

Needs and benefits:

Part U of Chapter 56 of the Laws of 2022, which was enacted on April 9, 2022, impacts income tests used to ascertain PA eligibility. Specifically, it amends SSL §§ 131-a(8)(a)(ii)–(iii), pertaining to the Poverty Level Test (PLT), and repealed SSL § 131-a(10), pertaining to the Gross Income Test (GIT), two tests applied to PA recipients' incomes to calculate their eligibility for PA. Part U of Chapter 56 of the Laws of 2022 also decreases the earned income disregard (EID) to 50 percent of the earned monthly

income of any PA recipient - applicable to all PA households, instead of just those receiving family assistance - increases the work disregard to \$150, and provides that the EID will be applied prior to the work disregard when calculating the need for PA. These statutory amendments became effective on October 1, 2022. OTDA promulgates the proposed regulatory amendments to 18 NYCRR §§ 352.17(b)(1), 352.19(a) and 352.20(b)-(e) and proposed repeal of 18 NYCRR § 352.18 in order to update the current State regulations to reflect the statutory changes to SSL § 131-a(8)(a)(ii)–(iii) effectuated in the SFY 2022–2023 New York State Budget via Part U of Chapter 56 of the Laws of 2022. Adoption of the proposed regulatory amendments would address the current inconsistency between the statutory amendments, embodied in Part U of Chapter 56 of the Laws of 2022, and the current State regulations. Applying an EID percentage plus \$150 EID for all PA cases would immediately increase benefit amounts across all case types with earnings. The changes to the EID and income eligibility tests would also allow families and singles with earned income to retain their benefits longer as their earnings continue to increase. These changes would help reduce the "benefit cliff" for many households, which, in turn, would encourage more recipients to enter the workforce and/or increase their earnings in order to transition from PA to self-sufficiency.

4. Costs:

The proposed regulatory amendments are intended merely to update the current State regulations to reflect the statutory changes effectuated in the SFY 2022–2023 New York State Budget via Part U of Chapter 56 of the Laws of 2022, and would impose no additional fiscal impact.

Local government mandates:

The proposed regulatory amendments are intended merely to update the current State regulations to reflect the statutory changes effectuated in the SFY 2022-2023 New York State Budget via Part U of Chapter 56 of the Laws of 2022, and would impose no additional district mandates.

6. Paperwork:

The proposed regulatory amendments are intended merely to update the current State regulations to reflect the statutory changes effectuated in the SFY 2022-2023 New York State Budget via Part U of Chapter 56 of the Laws of 2022, and would impose no additional paperwork requirements.

7. Duplication:

The proposed regulatory amendments would not duplicate, overlap, or conflict with any existing federal or State law or regulation.

8. Alternatives:

An alternative to the proposed regulatory amendments would be to refrain from adopting them. However, if the proposed regulatory amendments are not adopted, there will be resulting inconsistencies between the SSL, as amended by the enactment of Part U of Chapter 56 of the Laws of 2022, and the current State regulations. The resulting inconsistencies would be contrary to law. Consequently, OTDA does not consider such inaction to represent a viable alternative to adopting the proposed regulatory amendments.
9. Federal standards:

The proposed regulatory amendments would not conflict with federal standards for PA.

10. Compliance schedule:

The proposed regulatory amendments are clarifying in nature, designed merely to update State regulations consistent with the statutory amendments made to SSL § 131-a(8)(a)(ii)-(iii) and the repeal of SSL § 131a(10) pursuant to Part U of Chapter 56 of the Laws of 2022, enacted on April 9, 2022, and effective on October 1, 2022. Insofar as Part U of Chapter 56 of the Laws of 2022 became effective on October 1, 2022, OTDA anticipates that districts would be in compliance with the proposed regulatory amendments upon the effective date of the proposed regulatory amendments.

#### Regulatory Flexibility Analysis

A Regulatory Flexibility Analysis is not required for the proposed regulatory amendments because the proposed regulatory amendments would neither have an adverse impact upon, nor impose reporting, recordkeeping, or other compliance requirements upon small businesses or local governments. The purpose of the proposed regulatory amendments is merely to update State regulations consistent with the statutory amendments made to Social Services Law §§ 131-a(8)(a)(ii)-(iii) and the repeal of SSL § 131-a(10) pursuant to Part U of Chapter 56 of the Laws of 2022, enacted on April 9, 2022 and effective on October 1, 2022. As it was evident from the proposed regulatory amendments that they would not have an adverse impact or impose reporting, recordkeeping, or other compliance requirements, no further measures were needed to ascertain those facts and, consequently, none were taken.

#### Rural Area Flexibility Analysis

A Rural Area Flexibility Analysis is not required for the proposed regulatory amendments because the proposed regulatory amendments would neither have an adverse impact upon, nor impose reporting, recordkeeping, or other compliance requirements upon public or private entities in rural areas. The purpose of the proposed regulatory amendments is merely to update State regulations consistent with the statutory amendments made to Social Services Law §§ 131-a(8)(a)(ii)–(iii) and the repeal of SSL § 131-a(10) pursuant to Part U of Chapter 56 of the Laws of 2022, enacted on April 9, 2022 and effective on October 1, 2022. As it was evident from the proposed regulatory amendments that they would not have an adverse impact upon or impose reporting, recordkeeping, or other compliance requirements upon public or private entities in rural areas, no further measures were needed to ascertain those facts and, consequently, none were taken.

#### Job Impact Statement

A Job Impact Statement is not required for the proposed regulatory amendments because the proposed regulatory amendments would not have a substantial adverse impact on jobs and employment opportunities in the public or private sectors of the State. The purpose of the proposed regulatory amendments is merely to update State regulations consistent with the statutory amendments made to Social Services Law §§ 131-a(8)(a)(ii)–(iii) and the repeal of SSL § 131-a(10) pursuant to Part U of Chapter 56 of the Laws of 2022, enacted on April 9, 2022 and effective on October 1, 2022. The proposed regulatory amendments would not substantively affect the jobs or employment opportunities in the private sector or at the State or social services district (district) levels, nor would the proposed regulatory amendments impose any new annual costs or administrative burdens upon the districts.

# HEARINGS SCHEDULED FOR PROPOSED RULE MAKINGS

Agency I.D. No.	Subject Matter	Location—Date—Time
•	Subject Watter	Escation Bate Time
Education Department EDU-04-23-00007-P	Special Education Due Process System	Via Zoom—March 29, 2023, 10:00 a.m.
LDC-04-25-00007-1	Procedures	Link: https://us06web.zoom.us/j/ 84909970747?pwd=TGQwczFjaVpBRHpTN 1hhYUpMR2Rsdz09
		Meeting ID: 849 0997 0747, Passcode: 323234, Call In: +1 646 558 8656 US (New York)
		Via Zoom—March 29, 2023, 6:00 p.m.
		Link: https://us06web.zoom.us/j/ 88666486287?pwd=VEJaN1IQSnpHdzlw QkNOZGhzZVFnUT09
		Meeting ID: 886 6648 6287, Passcode: 542062, Call In: +1 646 558 8656 US (New York)
		Education Department, 89 Washington Ave., Albany, NY—March 30, 2023, 4:00 p.m.
		Pre-registration is required at stakeholderDPU@nysed.gov or (518) 473-0170
		Sign-in with Security on 1st Fl., Washington Ave. Entrance
<b>Environmental Conservation, Departmen</b>		
ENV-52-22-00015-EP	Advanced Clean Car (ACC) Standards	Virtual via Webex—March 1, 2023, 1:00 p.m.
ENV-52-22-00016-EP	Medium– and Heavy-Duty Diesel Vehicle Emission Standards	Virtual via Webex—February 28, 2023, 1:00 p.m.
LPA-51-22-00007-P	Time of Day Rates for Residential Customers	H. Lee Dennison Bldg., 100 Veterans Memorial Hwy., Hauppauge, NY—February 20, 2023, 10:00 a.m. Refer to schedule on: www.lipower.org
		Long Island Power Authority, 333 Earle Ovington Blvd., Suite 403, Uniondale, NY— February 20, 2023, 6:00 p.m. Refer to schedule on: www.lipower.org
Public Service Commission		
PSC-02-23-00025-P	Proposed Major Rate Increase in Con Edison's Annual Revenues by \$137 million	Department of Public Service, 19th Fl. Board Rm., Three Empire State Plaza, Albany, NY—May 2, 2023 and continuing daily as needed, 10:30 a.m. (Evidentiary Hearing)*
		*On occasion, the evidentiary hearing date may be rescheduled or postponed. In that event, notification of any subsequent scheduling changes will be available at the DPS website (www.dps.ny.gov) under Case No. 22-S-0659.
State, Department of		
DOS-03-23-00003-P	New York State Uniform Fire Prevention and Building Code (Uniform Code)	Department of State, 99 Washington Ave., Albany, NY—March 22, 2023, 10:00 a.m.
DOS-05-23-00018-P	Certification and Training of Code Enforcement Personnel	Department of State, 99 Washington Ave., Rm. 505, Albany, NY—April 5, 2023, 10:00 a.m.

# ACTION PENDING INDEX

The action pending index is a list of all proposed rules which are currently being considered for adoption. A proposed rule is added to the index when the notice of proposed rule making is first published in the *Register*. A proposed rule is removed from the index when any of the following occur: (1) the proposal is adopted as a permanent rule; (2) the proposal is rejected and withdrawn from consideration; or (3) the proposal's notice expires.

Most notices expire in approximately 12 months if the agency does not adopt or reject the proposal within that time. The expiration date is printed in the second column of the action pending index. Some notices, however, never expire. Those notices are identified by the word "exempt" in the second column. Actions pending for one year or more are preceded by an asterisk(\*).

For additional information concerning any of the proposals

listed in the action pending index, use the identification number to locate the text of the original notice of proposed rule making. The identification number contains a code which identifies the agency, the issue of the *Register* in which the notice was printed, the year in which the notice was printed and the notice's serial number. The following diagram shows how to read identification number codes.

Agency	Issue	Year	Serial	Action
code	number	published	number	Code
AAM	01	12	00001	Р

Action codes: P — proposed rule making; EP — emergency and proposed rule making (expiration date refers to proposed rule); RP — revised rule making

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
AGING, OFFICE FO	OR THE		
AGE-43-22-00001-P	10/26/23	Service provision under the Expanded Inhome Services for the Elderly Program and Home-Delivered Meals.	To provide flexibility in strict compliance with certain requirements relating to client assessments when not practicable.
AGE-02-23-00020-P	01/11/24	Nutrition Program	The purpose of this rule is to update the regulations governing the Nutrition Program
ALCOHOLISM ANI	D SUBSTANCE AB	USE SERVICES, OFFICE OF	
ASA-33-22-00001-RP	08/17/23	Requirements for the establishment, incorporation and certification of providers of addiction services	To update outdated and stigmatizing language and to clarify processes of the certification process for providers and applicants
AUDIT AND CONT	ROL, DEPARTMEN	T OF	
AAC-01-23-00003-P	01/04/24	Deceased Owner	To clarify that the confirmed date of death of ar owner begins the applicable dormancy period as provided in law.
CANNABIS MANA	GEMENT, OFFICE	OF	
OCM-10-22-00017-RP	03/09/23	Part 113 - Medical Cannabis	The proposed rule established the framework for the medical cannabis program in New York State
OCM-24-22-00013-RP	06/15/23	Packaging, Labeling, Marketing and Advertising of Adult-Use Cannabis	Establishing parameters for the packaging, labeling, marketing, and advertising of adultuse cannabis products, creating requirements for protecting the health and safety of consumers, and preventing targeting cannabis products to youth
OCM-24-22-00014-RP	06/15/23	Permitting and regulating Cannabis Laboratories	Regulating and permitting laboratories, analyzing adult-use and medical cannabis, providing results to the Office and licensees, and aiding in the determination that cannabis products reflect potency and meet limits for contaminants

Agency I.D. No.	Expires	Subject Matter	Purpose of Action			
CANNABIS MANAGEMENT, OFFICE OF						
OCM-49-22-00024-EP	12/07/23	Violations, Hearings and Enforcement	The proposed rule establishes parameters around violations, hearings, and enforcement creating requirements intended to further protect public health, safety, and welfare by preventing unlawful cannabis or unsafe practices from entering the marketplace			
OCM-50-22-00010-P	12/14/23	Adult-Use Cannabis	To regulate, control, and tax adult-use cannabis, generate significant new revenue, invest in communities and people most impacted by cannabis criminalization, reduce participation in the unlawful market, create new industries, and increase employment			
CIVIL SERVICE, D	EPARTMENT OF					
CVS-41-22-00003-P	10/12/23	Jurisdictional Classification	To classify a position in the non-competitive class			
CVS-41-22-00004-P	10/12/23	Jurisdictional Classification	To delete positions from and to classify positions in the exempt class.			
CVS-41-22-00005-P	10/12/23	Jurisdictional Classification	To classify positions in the exempt class.			
CVS-41-22-00006-P	10/12/23	Jurisdictional Classification	To delete positions from and classify a position in the non-competitive class			
CVS-41-22-00007-P	10/12/23	Jurisdictional Classification	To classify positions in the exempt class.			
CVS-41-22-00008-P	10/12/23	Jurisdictional Classification	To delete a subheading and positions from and to add a subheading and classify positions in the exempt class			
CVS-41-22-00009-P	10/12/23	Jurisdictional Classification	To classify a position in the non-competitive class			
CVS-41-22-00010-P	10/12/23	Jurisdictional Classification	To classify positions in the non-competitive class			
CVS-41-22-00011-P	10/12/23	Jurisdictional Classification	To classify a position in the exempt class.			
CVS-41-22-00012-P	10/12/23	Jurisdictional Classification	To classify a position in the exempt class and to classify positions in the non-competitive class			
CVS-41-22-00013-P	10/12/23	Jurisdictional Classification	To classify positions in the exempt class and to classify positions from the non-competitive class			
CVS-41-22-00014-P	10/12/23	Jurisdictional Classification	To classify positions in the exempt class.			
CVS-41-22-00015-P	10/12/23	Jurisdictional Classification	To classify positions in the exempt class.			
CVS-45-22-00001-P	11/09/23	Jurisdictional Classification	To classify positions in the non-competitive class			
CVS-45-22-00002-P	11/09/23	Jurisdictional Classification	To classify a position in the exempt class.			
CVS-45-22-00003-P	11/09/23	Jurisdictional Classification	To classify a position in the non-competitive class			

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, E	DEPARTMENT OF		
CVS-45-22-00004-P	11/09/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-45-22-00005-P	11/09/23	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-45-22-00006-P	11/09/23	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-45-22-00007-P	11/09/23	Jurisdictional Classification	To classify a position in the exempt class.
CVS-45-22-00008-P		Jurisdictional Classification	To delete a position from the exempt class.
CVS-45-22-00009-P	11/09/23	Jurisdictional Classification	To classify a position in the exempt class and to classify positions in the non-competitive class
CVS-45-22-00010-P	11/09/23	Jurisdictional Classification	To delete positions from and to classify positions in the exempt class.
CVS-45-22-00011-P	11/09/23	Jurisdictional Classification	To delete positions from and to classify positions in the exempt class.
CVS-45-22-00012-P	11/09/23	Jurisdictional Classification	To classify a position in the exempt class.
CVS-45-22-00013-P	11/09/23	Jurisdictional Classification	To classify positions in the exempt class and to delete from and to classify positions in the non-competitive class
CVS-45-22-00014-P	11/09/23	Jurisdictional Classification	To classify positions in the exempt and non-competitive classes.
CVS-45-22-00015-P	11/09/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-45-22-00016-P	11/09/23	Jurisdictional Classification	To classify a position in the exempt class.
CVS-49-22-00005-P	12/07/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-22-00006-P	12/07/23	Jurisdictional Classification	To classify a position in the exempt class.
CVS-49-22-00007-P	12/07/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-22-00008-P	12/07/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-49-22-00009-P	12/07/23	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-49-22-00010-P	12/07/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-22-00011-P	12/07/23	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-49-22-00012-P	12/07/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-49-22-00013-P	12/07/23	Jurisdictional Classification	To classify positions in the non-competitive class

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CIVIL SERVICE, D	EPARTMENT OF		
CVS-49-22-00014-P	12/07/23	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-49-22-00015-P	12/07/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-49-22-00016-P	12/07/23	Jurisdictional Classification	To classify positions in the exempt class.
CVS-02-23-00001-P	01/11/24	Jurisdictional Classification	To classify a position in the exempt class and to classify a position in the non-competitive class
CVS-02-23-00002-P	01/11/24	Jurisdictional Classification	To classify positions in the exempt class.
CVS-02-23-00003-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-02-23-00004-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-02-23-00005-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-02-23-00006-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-02-23-00007-P	01/11/24	Jurisdictional Classification	To classify a position in the exempt class
CVS-02-23-00008-P	01/11/24	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-02-23-00009-P	01/11/24	Jurisdictional Classification	To classify a position in the exempt class.
CVS-02-23-00010-P	01/11/24	Jurisdictional Classification	To classify a position in the exempt class.
CVS-02-23-00011-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-02-23-00012-P	01/11/24	Jurisdictional Classification	To classify a position in the exempt class.
CVS-02-23-00013-P	01/11/24	Jurisdictional Classification	To classify a position in the non-competitive class
CVS-02-23-00014-P	01/11/24	Jurisdictional Classification	To classify positions in the non-competitive class
CVS-02-23-00015-P	01/11/24	Jurisdictional Classification	To delete positions from and to classify positions in the non-competitive class
CVS-02-23-00016-P	01/11/24	Jurisdictional Classification	To classify positions in the exempt class.
CVS-02-23-00017-P	01/11/24	Jurisdictional Classification	To classify positions in the exempt class.
CVS-02-23-00019-P	01/11/24	Jurisdictional Classification	To add subheadings and to classify positions in the non-competitive class
CORRECTION, ST	ATE COMMISSION	OF	
CMC-43-22-00002-EP	10/26/23	Medication assisted treatment.	Set minimum standards for a program of medication assisted treatment in jails.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
CORRECTIONS AN	ND COMMUNITY SI	JPERVISION, DEPARTMENT OF	
CCS-08-22-00007-ERP	05/24/23	Disposition for violations of the conditions of release	To bring Board regulations into compliance with recent amendments to the Executive Law
CCS-16-22-00003-ERP	04/20/23	Definitions, Standards of Incarcerated Individual Behavior, Special Housing Units, and Institutional Programs	To revise regulations to be in compliance with the new HALT legislation and applicable laws
CCS-31-22-00002-P	08/03/23	Privileged Correspondence	To update law changes regarding correpsondence from CANY
CCS-39-22-00001-P	09/28/23	Closed Facilities	To repeal the rules establishing facilities that have closed
CRIMINAL JUSTIC	E SERVICES, DIVIS	SION OF	
CJS-47-22-00001-P	11/23/23	Part 349 Interstate, Intrastate, Conflict of Interest Transfer and Temporary Probation Supervision for Adults and Juveniles	Update the existing rule to provide a framework for consistent statewide practices in the intrastate transfer of individuals
CJS-47-22-00002-P	11/23/23	Intake for Article 7 (PINS)	Update existing Rule to reflect services which will be performed by Probation departments.
ECONOMIC DEVE	LOPMENT, DEPAR	TMENT OF	
EDV-42-22-00001-P	10/19/23	Excelsior Jobs Program	To update the additional administrative process of this tax credit program
EDUCATION DEPA	ARTMENT		
*EDU-48-21-00008-P	02/17/23	Special education impartial hearing officers and the special education due process system procedures	To address volume of special education due process complaints in the New York City due process system
EDU-42-22-00004-P	10/19/23	Student teaching requirements for registered teacher preparation programs and through the individual evaluation pathway.	To extend for one year the timeline for programs to implement the new student teaching requirements
EDU-42-22-00005-P	10/19/23	The definition of "prospective school employee."	To include individuals who will reasonably be expected to have telephonic communication or interaction with students.
EDU-42-22-00006-EP	10/19/23	Restarting the Accountability System Using 2021-2022 School Year Results	To align the commissioner's regulations with approved State plan addendum
EDU-42-22-00007-P	10/19/23	Deferment of the declaration of a major by matriculated students for state financial aid purposes	To allow students who matriculate with college credits to have additional time
EDU-42-22-00008-EP	10/19/23	The practice of registered dental assisting.	To conform the Commissioner's regulations with Chapter 512 of the Laws of 2022 and Chapter 390 of the Laws of 2019.
EDU-42-22-00009-EP	10/19/23	Requirements for members of the standing committee for the Regents examination appeals process.	To provide flexibility on who may serve as a member of the standing committee for the Regents examination appeals process.
EDU-48-22-00008-EP	11/30/23	Technical amendment relating to licensure in the profession of public accountancy.	To fix a clerical error for amendments to section 52.13 of the Commissioner's adopted in September 2022.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
EDUCATION DEP	ARTMENT		
EDU-48-22-00009-EP	11/30/23	Central library services aid and state aid for library construction.	To align the Commissioner's regulations with recent amendments to sections 273, 273-a of the Education Law.
EDU-48-22-00010-P	11/30/23	Experience requirement for professional school building leader certification.	To remove the requirement that one year of such experience be as a school building leader.
EDU-52-22-00005-P		The individual arts assessment pathway to graduation	To establish the Individual Arts Assessment Pathway to graduation
EDU-52-22-00006-P	12/28/23	Extensions for coordinators of work-based learning programs.	To create a new uniform "Coordinator of Work- Based Learning Programs" extension
EDU-52-22-00007-P	12/28/23	Continuing education requirements for the profession of architecture.	To implement Chapter 578 of the Laws of 2021.
EDU-52-22-00008-EP	12/28/23	Licensure as a clinical laboratory technologist or cytotechnologist and certification as a clinical labortory technician or histological technician	To implement Chapter 446 of the Laws of 2022.
EDU-52-22-00009-P	12/28/23	Indigenous Names, Mascots, and Logos	To prohibit the use of Indigenous names, mascots, and logos by public schools.
EDU-04-23-00004-EP	01/25/24	Continuing education requirements for licensed optometrists certified to prescribe and use therapeutic pharmaceutical agents.	To implement section 9 of Chapter 506 of the Laws of 2021.
EDU-04-23-00005-P	01/25/24	Educator certification for candidates from another state or territory of the Untied States or the District of Columbia.	To streamline the endorsement & comparable program pathways providing additional flexibility & increasing the pool of candidates
EDU-04-23-00006-EP	01/25/24	Removes requirement that a postdoctoral general practice or specialty dental residency program experience be clinically based	To implement Chapter 613 of the Laws of 2022.
EDU-04-23-00007-EP	03/29/24	Special education due process system procedures.	To implement the Department's and the New York City Department of Education's Memorandum of Agreement with New York City's Office of Administrative Trials and Hearings to establish an administrative team of ull-time impartial hearing officers
ELECTIONS, STA	TE BOARD OF		
SBE-30-22-00004-EP	07/27/23	Providing conforming signature collection requirements in regulation to conform with statute	To conform regulatory provisions to statutory provisions related to signature requirements
SBE-30-22-00005-EP	07/27/23	Providing revised deadline for designation of poll sites and new thresholds for number of early voting sites requried	To conform regulatory provisions to canvassing provisions provided for by chapter 781 of Laws of 2021
ENVIRONMENTAL	_ CONSERVATION,	DEPARTMENT OF	
*ENV-51-21-00003-P	04/07/23	Environmental Remediation Programs	To amend 6 NYCRR Part 375, Environmental Remediation Programs

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ENVIRONMENTAL	CONSERVATION,	DEPARTMENT OF	
ENV-20-22-00003-P	07/19/23	Solid Waste Management Regulations	Amend the rules that implement the solid waste program in New York State to incorporate changes in law and implementation
ENV-22-22-00016-P	06/01/23	SPDES Incorporation by Reference	Update SPDES Incorporation by Reference
ENV-23-22-00007-P	08/17/23	Prohibition of glyphosate use by state departments, state agencies, and public benefit corporations on state property	Prohibit the use of glyphosate by state agencies, state departments, public benefit corporations unless exempted
ENV-28-22-00011-P	09/13/23	Forest Tax Law	Improving and sustainably managing New York's forest resources and lessening the administrative burden on participants/DEC staff
ENV-33-22-00004-P	10/20/23	Amendments to the regulations (6 NYCRR Part 621) that implement ECL article 70 (Uniform Procedures Act) and related changes	DEC is proposing the amendments as a general update to Part 621, with conforming changes to Parts 421 and 601
ENV-45-22-00020-P	11/09/23	Neversink River Riparian Corridor	To protect public health, safety and natural resources on the Neversink River Riparian Corridor
ENV-45-22-00021-P	01/11/24	Adding Aerosol Cans and Paint to NYS Universal Waste Rule.	Add Federal universal waste provisions relating to aerosol cans and allow waste paint to be managed as a universal waste.
ENV-46-22-00004-P	01/18/24	Chemical Bulk Storage (CBS)	To repeal existing 6 NYCRR Parts 596, 598 ,599 and replace with new Part 598; and amend existing Part 597; for the CBS program
ENV-46-22-00005-P	01/18/24	Petroleum Bulk Storage (PBS)	To amend the PBS regulations, 6 NYCRR Part 613
ENV-47-22-00005-P	01/24/24	Heavy-Duty Diesel Vehicle Inspection and Maintenance Program requirements	To update Heavy-Duty Diesel Vehicle Inspection and Maintenance Program requirements
ENV-52-22-00003-P	12/28/23	Rush Oak Openings Unique Area	Protection of public safety and natural resources
ENV-52-22-00015-EP	02/29/24	Advanced Clean Car (ACC) Standards	To adopt California's Advanced Clean Cars II (ACC II) Program regulations
ENV-52-22-00016-EP	02/28/24	Medium- and Heavy-Duty Diesel Vehicle emission standards	To update Medium and- Heavy-Duty Diesel Vehicle emission standards and adopt CA's Heavy-Duty Omnibus and Phase 2 GHG regulations
ETHICS AND LOB	BYING IN GOVERN	IMENT, COMMISSION ON	
ELG-43-22-00010-EP	10/26/23	Adjudicatory proceedings and appeals procedures for matters under the Commission's jurisdiction	To streamline and advance the investigative process and facilitate the expeditious and efficient performance of the Commission on Ethics and Lobbying in Government's investigative and enforcement duties as set forth in Section 94 of the Executive Law
ELG-45-22-00024-EP	11/09/23	Adjudicatory proceedings and appeals procedures for matters under the Commission's jurisdiction.	To conform Part 941 to the new Executive Law Section 94 established by the Ethics Commission Reform Act of 2022.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
ETHICS AND LOB	BYING IN GOVERN	IMENT, COMMISSION ON	
ELG-48-22-00011-EP	11/30/23	Amending references to the Commission and citations to the Executive Law to conform to the Ethics Commission Reform Act of 2022	To conform the Commission's regulations to new Executive Law § 94 established by the Ethics Commission Reform Act of 2022
ELG-01-23-00006-EP	01/04/24	Ethics training for lobbyists and clients.	To establish requirements related to ethics training for lobbyists and clients mandated by law.
FINANCIAL SERVI	CES, DEPARTMEN	T OF	
*DFS-17-16-00003-P	exempt	Plan of Conversion by Commercial Travelers Mutual Insurance Company	To convert a mutual accident and health insurance company to a stock accident and health insurance company
*DFS-25-18-00006-P	exempt	Plan of Conversion by Medical Liabilty Mutual Insurance Company	To convert a mutual property and casualty insurance company to a stock property and casualty insurance company
*DFS-44-21-00015-RP	02/01/23	Compliance With Community Reinvestment Act Requirements	To provide new rules concerning data collection on extension of credit to women-owned and minority-owned businesses
*DFS-50-21-00016-RP	03/15/23	Debt Collection by Third-Party Debt Collectors and Debt Buyers	To clarify and modify standards for debt collection practices in New York
DFS-41-22-00021-P	10/12/23	Charges for Professional Health Services	To establish schedules of maximum permissible charges for professional health services payable as no-fault insurance benefits
DFS-45-22-00023-P	11/09/23	Consolidated rulemaking regarding peer-to- peer car sharing	To ensure consumers have appropriate insurance protection when using or operating a vehicle through a car sharing program.
DFS-45-22-00025-P	11/09/23	Cybersecurity Requirements for Financial Services Companies	To ensure that DFS-regulated entities most effectively address new and evolving cybersecurity threats.
DFS-49-22-00001-P	12/07/23	Principle-Based Reserving	To adopt the 2022 Valuation Manual and amend the scope of section 103.4 to include certain group term life insurance.
DFS-03-23-00002-P	01/18/24	Virtual Currency Licensee Assessments	To set forth the basis for allocating costs and expenses attributable to virtual currency businesses for FSL assessments
GAMING COMMIS	SION, NEW YORK	STATE	
SGC-29-22-00010-P	07/20/23	Comprehensive regulations for interactive fantasy sports	To regulate interactive fantasy sports in New York.
SGC-50-22-00008-P	12/14/23	Lottery courier service advertising	To regulate advertising by lottery courier services in order to protect consumers
SGC-50-22-00009-P	12/14/23	Purchase location requirements for lottery courier services	To facilitate the proper sale of lottery tickets to generate revenue for education
GAMING FACILITY	LOCATION BOAR	D, NEW YORK	
GFB-04-23-00001-P	01/25/24	Minimum capital investment for additional gaming facility	To establish a minimum capital investment amount for additional gaming facilities

Agency I.D. No. Expires Subject Matter Purpose of Active GAMING FACILITY LOCATION BOARD, NEW YORK  GFB-04-23-00002-P	U
HEALTH, DEPARTMENT OF  **HLT-14-94-00006-P**	tion
HEALTH, DEPARTMENT OF  "HLT-14-94-00006-P exempt outpatient services or voluntient services or voluntient services  HLT-07-22-00010-P 02/16/23 Clinical Staffing in General Hospitals Requires general hospitals to staffing committees and creat plans  HLT-10-22-00009-P 03/09/23 Updated Retention Standards for Adult Care Facilities  HLT-12-22-00001-P 03/23/23 Clinical Laboratories and Blood Banks To ensure admission and rate for adult care facilities are conformed with Instabilities Active Americans with Disabilities Active Americans with	
*HLT-14-94-00006-P exempt outpatient methodology for HIV/AIDS outpatient services  *Introduction of Services  To expand the current paymen pricing for services  To expand the current paymen pricing for services  Requires general hospitals to staffing committees and creat plans  HLT-10-22-00009-P 03/09/23 Updated Retention Standards for Adult Care Facilities  **To ensure admission and rete for adult care facilities are confunctions with Disabilities Activities  **To allow for remote supervisite provide concordance with NY: qualifications of technical personal Protective Equipment (PPE) Requirements  **To allow for remote supervisite provide concordance with NY: qualifications of technical personal Protective Equipment (PPE) Requirements  **To allow for remote supervisite provide concordance with NY: qualifications of technical personal Protective Equipment (PPE) Requirements  **To allow for remote supervisite provide concordance with NY: qualifications of technical personal Protective Equipment (PPE) Requirements  **To ensure that all general hos homes maintain a 60-day sup the COVID-19 emergency  HLT-26-22-00003-P  **O6/08/23** Repeal of Limits on Administrative Expenses and Executive Compensation  HLT-39-22-00004-P  **O9/28/23** Source Plasma Donation Centers  **To distinguish source plasma as a separate regulations and state to provide additional clarificati  **HLT-40-22-00002-P  **Introductive Compensation Program  **To conform existing program federal regulations and state to provide additional clarificati  **HLT-40-22-00002-P  **Introductive Compensation Program  **To adopt Maximum Contaminant Levels (MCLs)  **To adopt Maximum Contaminant Program Procedure of Nursing Home  **To clarify and update the nursing Procedure of Nursing Home  **To clarify and update the nursing Procedure of Nursing Home  **To clarify and update the nursing Procedure of Nursing Home  **To clarify and update the nursing Procedure of Nursing Home  **To clarify and update the nursing Procedure of Nursing Home  **To clarify and update	additional gaming
HLT-07-22-00010-P  02/16/23 Clinical Staffing in General Hospitals  Requires general hospitals to staffing committees and creat plans  HLT-10-22-00009-P  03/09/23 Updated Retention Standards for Adult Care Facilities  To ensure admission and rete for adult care facilities are commercians with Disabilities Acommercians with Disabilities Aco	
HLT-10-22-00009-P  03/09/23 Updated Retention Standards for Adult Care Facilities  To ensure admission and rete for adult care facilities are conformericans with Disabilities Are conformericans and Blood Banks  To allow for remote supervision provide conformericans prov	nt to incorporate
Facilities for adult care facilities are cor Americans with Disabilities Ac Ph. Facilities and Blood Banks To allow for remote supervisic provide concordance with NY: qualifications of technical pers Ph. To require clinicians to report HLT-12-22-00002-P	
HLT-12-22-00002-P 03/23/23 Reporting of Acute HIV Infection To require clinicians to report HIV within 24 hours of diagnot Protective Equipment (PPE) Requirements To ensure that all general hos homes maintain a 60-day sup the COVID-19 emergency.  HLT-26-22-00003-P 06/29/23 Repeal of Limits on Administrative Expenses and Executive Compensation Repeal of Collection of Source Plasma Repeal of Collection of Source Plasma Repeal of Collection of Source Plasma as a separate regulatory entity banks.  HLT-39-22-00004-P 09/28/23 Source Plasma Donation Centers To distinguish source plasma as a separate regulatory entity banks.  HLT-39-22-00002-P 11/07/23 Early Intervention Program To conform existing program federal regulations and state at to provide additional clarification.  HLT-40-22-00002-P 10/05/23 Maximum Contaminant Levels (MCLs) To adopt Maximum Contaminational polyfluoroalkyl substances (PI	nsistent with the
HLT-23-22-00001-P  06/08/23 Hospital and Nursing Home Personal Protective Equipment (PPE) Requirements  To ensure that all general hos homes maintain a 60-day sup the COVID-19 emergency  HLT-26-22-00003-P  06/29/23 Repeal of Limits on Administrative Expenses and Executive Compensation  Repeal of Limits on Administrative Expenses and Executive Compensation  Repeal of Collection of Source Plasma  To distinguish source plasma as a separate regulatory entity banks  HLT-39-22-00020-P  11/07/23 Early Intervention Program  To conform existing program of federal regulations and state is to provide additional clarification.  HLT-40-22-00002-P  10/05/23 Maximum Contaminant Levels (MCLs)  To adopt Maximum Contamination (MCLs) for four (4) additional polyfluoroalkyl substances (Plasma)  To clarify and update the nursing the polyfluoroalkyl substances (Plasma)  To clarify and update the nursing the process of the provide additional update the nursing the process of the provide additional update the nursing the process of the provide additional update the nursing the process of the provide additional update the nursing the process of the provide additional update the nursing the process of the provide additional update the nursing the process of the provide additional update the nursing the process of the provide additional update the nursing the process of the provide additional update the nursing the provide additional update	SED law for
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HLT-43-22-00003-EP	
HLT-46-22-00003-P	s which are no
HLT-48-22-00001-P	

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
HEALTH, DEPART	MENT OF		
HLT-49-22-00002-P	12/07/23	State Aid for Public Health Services: Counties and Cities	To increase Article 6 base funding to local health departments.
HLT-51-22-00006-P	12/21/23	Adult Care Facilities	To ensure consistency with various policy interpretations & compliance with the federal home&community based settings final rule
HOUSING AND CO	OMMUNITY RENEW	AL, DIVISION OF	
HCR-35-22-00004-P	11/15/23	The City Rent and Eviction Regulations governing rent control in New York City.	To implement changes required or informed by the Housing Stability and Tenant Protection Act of 2019.
HCR-35-22-00005-P	11/15/23	The Emergency Tenant Protection Regulations regulating residential rents and evictions.	To implement changes required or informed by the Housing Stability and Tenant Protection Act of 2019.
HCR-35-22-00006-P	11/15/23	The State Rent and Eviction Regulations governing statewide rent control.	To implement changes required or informed by the Housing Stability and Tenant Protection Act of 2019.
HCR-35-22-00007-P	11/15/23	The Rent Stabilization Code regulating residential rents and evictions.	To implement changes required or informed by the Housing Stability and Tenant Protection Act of 2019.
HCR-01-23-00002-P	01/04/24	Amendments to Regulations of the Affordable Home Ownership Development Program	Comport with above cited statutory amendments.
LABOR, DEPART	MENT OF		
*LAB-51-21-00007-P	02/09/23	Workplace Safety Committees	To comply with Labor Law 27-d(8) which requires that the Department adopt regulations
LAB-37-22-00004-P	09/14/23	Prevailing Wage for Aggregate Hauling	To clarify the application Labor Law § 220(3-a)(f)
LAB-41-22-00024-P	10/12/23	Overtime Thresholds for Farm Laborers	To implement incremental reductions in overtime pay thresholds for farm laborers
LAB-44-22-00001-P	11/02/23	Public Employees Occupational Safety and Health Standards	To incorporate by reference updates to OSHA standards into the State Public Employee Occupational Safety and Health Standards
LAKE GEORGE P	ARK COMMISSION		
LGP-36-22-00006-P	11/09/23	Addition of Waste Water Management Regulations within the Lake George Park	The proposed regulations are intended to protect the waters of Lake George from wastewater pollution
LONG ISLAND PO	OWER AUTHORITY		
*LPA-08-01-00003-P	exempt	Pole attachments and related matters	To approve revisions to the authority's tariff
*LPA-41-02-00005-P	exempt	Tariff for electric service	To revise the tariff for electric service
*LPA-04-06-00007-P	exempt	Tariff for electric service	To adopt provisions of a ratepayer protection plan

Agency I.D. No.	Expires	Subject Matter	Purpose of Action		
LONG ISLAND PO	WER AUTHORITY				
*LPA-03-10-00004-P	exempt	Residential late payment charges	To extend the application of late payment charges to residential customers		
*LPA-15-18-00013-P	exempt	Outdoor area lighting	To add an option and pricing for efficient LED lamps to the Authority's outdoor area lighting		
*LPA-37-18-00013-P	exempt	The net energy metering provisions of the Authority's Tariff for Electric Service	To implement PSC guidance increasing eligibility for value stack compensation to larger projects		
*LPA-37-18-00017-P	exempt	The treatment of electric vehicle charging in the Authority's Tariff for Electric Service	To effectuate the outcome of the Public Service Commission's proceeding on electric vehicle supply equipment		
*LPA-37-18-00018-P	exempt	The treatment of energy storage in the Authority's Tariff for Electric Service	To effectuate the outcome of the Public Service Commission's proceeding on the NY Energy Storage Roadmap		
*LPA-09-20-00010-P	exempt	To update and implement latest requirements for ESCOs proposing to do business within the Authority's service territory	To strengthen customer protections and be consistent with Public Service Commission orders on retail energy markets		
*LPA-28-20-00033-EP	exempt	LIPA's late payment charges, reconnection charges, and low-income customer discount enrollment	To allow waiver of late payment and reconnection charges and extend the grace period for re-enrolling in customer bill discounts		
*LPA-37-20-00013-EP	exempt	The terms of deferred payment agreements available to LIPA's commercial customers	To expand eligibility for and ease the terms of deferred payment agreements for LIPA's commercial customers		
*LPA-12-21-00011-P	exempt	LIPA's Long Island Choice (retail choice) tariff	To simplify and improve Long Island Choice based on stakeholder collaborative input		
LPA-17-22-00012-P	exempt	COVID-19 arrears forgiveness and low- income customer discount eligibility	To implement an arrears forgiveness program and expand low-income customer discount eligibility		
LPA-17-22-00014-P	exempt	LIPA's delivery service adjustment cost recovery rider	To ensure recovery of T&D property tax expenses consistent with the LIPA Reform Act, at the lowest cost to LIPA customers		
LPA-51-22-00007-P	exempt	Time of Day rates for residential customers.	To give customers bill savings opportunities, lower system costs, and support New York's clean energy transition.		
LONG ISLAND RAILROAD COMPANY					
LIR-50-22-00004-EP	12/14/23	Aligning the rule of conduct re: carrying firearms and other weapons in public transit with New York Law	Safeguard public safety by amending a rule to comply with NY Law re: the carrying of firearms and weapons in public transit		
MENTAL HEALTH, OFFICE OF					
OMH-46-22-00012-P	11/16/23	Administrative Compensation	To Repeal Part 513 as Executive Order 38 has sunset		

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
METRO-NORTH C	OMMUTER RAILRO	DAD	
MCR-50-22-00005-EP	12/14/23	Aligning the rule of conduct re: carrying firearms and other weapons in public transit with New York law	Safeguard public safety by amending a rule to comply with NY Law re: the carrying of firearms and weapons in public transit
METROPOLITAN T	TRANSPORTATION	AGENCY	
MTA-16-22-00008-EP	04/20/23	Requiring mask wearing when mandated for indoor facilities and conveyances of the MTA & ts affiliates and subsidiaries	To safeguard the public health and safety by adding a rule requiring the use of masks in facilities & conveyances when mandated
MTA-50-22-00002-EP	12/14/23	Aligning the rule of conduct re: carrying firearms and other weapons in public transit with New York Law	Safeguard public safety by amending a rule to comply with NY Law re: the carrying of firearms and weapons in public transit
MTA-02-23-00018-EP	01/11/24	Rules of conduct for persons entering and using Grand Central Madison Terminal and its related facilities	To facilitate the proper use of Grand Central Madison Terminal and ensure the safety of employees, customers and the public
NEW YORK CITY	TRANSIT AUTHORI	ТҮ	
NTA-50-22-00001-EP	12/14/23	Aligning the rule of conduct re: carrying firearms and other weapons in public transit with New York Law	Safeguard public safety by amending a rule to comply with NY Law re: the carrying of firearms and weapons in public transit
NIAGARA FALLS	WATER BOARD		
*NFW-04-13-00004-EP	exempt	Adoption of Rates, Fees and Charges	To pay for the increased costs necessary to operate, maintain and manage the system, and to achieve covenants with bondholders
*NFW-13-14-00006-EP	exempt	Adoption of Rates, Fees and Charges	To pay for increased costs necessary to operate, maintain and manage the system and to achieve covenants with the bondholders
NFW-52-22-00004-EP	exempt	Adoption of Rates, Fees, and Charges	To pay for increased costs necessary to operate, maintain, and manage the system, and to meet covenants with the bondholders.
OGDENSBURG BE	RIDGE AND PORT	AUTHORITY	
*OBA-33-18-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
*OBA-07-19-00019-P	exempt	Increase in Bridge Toll Structure	To increase bridge toll revenue in order to become financially self-supporting. Our bridge operations are resulting in deficit
PARKS, RECREAT	TON AND HISTORI	C PRESERVATION, OFFICE OF	
PKR-30-22-00001-P	07/27/23	Listing of state parks, parkways, recreation facilities and historic sites (facilities) and address/contact updates	To update the listing of state parks, parkways, recreation facilities and historic sites and the address/contact information
PEOPLE WITH DE	VELOPMENTAL DI	SABILITIES, OFFICE FOR	
PDD-07-22-00004-EP	02/16/23	Certification of the Facility Class Known as Individualized Residential Alternative	To increase IRA capacity in cases of emergent circumstances

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PEOPLE WITH DE	EVELOPMENTAL DI	SABILITIES, OFFICE FOR	
PDD-07-22-00005-EP	02/16/23	,	To increase IRA capacity in cases of emergent circumstances
PDD-10-22-00010-EP	03/09/23	Training Flexibilities	To provide flexibility in training requirements
PDD-26-22-00005-P	06/29/23	Gender Identity and Expression	To ensure people are treated with dignity and respect
PDD-46-22-00002-P	11/16/23	Family Care Homes for People With Developmental Disabilities	To clarify terminology and limit the number of individuals residing in family care homes
PDD-49-22-00004-P	12/07/23	Protection of Individuals Receiving Services	To add clarity and consistency
POWER AUTHOR	ITY OF THE STATE	OF NEW YORK	
*PAS-01-10-00010-P	exempt	Rates for the sale of power and energy	Update ECSB Programs customers' service tariffs to streamline them/include additional required information
PAS-03-23-00005-P	exempt	Rates for the Sale of Power and Energy	Maintain system's fiscal integrity. This increase in rates does not result from Power Authority's rate increase to the Village
PUBLIC EMPLOYI	MENT RELATIONS	BOARD	
PRB-30-22-00003-P	07/27/23	Rules and regulations to effectuate the purposes of the State Employment Relations Act (Labor Law art. 20)	To enact procedures for the Farm Laborers Fair Laborers Practice Act, which amended the State Employment Relations Act
PUBLIC SERVICE	COMMISSION		
*PSC-09-99-00012-P	exempt	Transfer of books and records by Citizens Utilities Company	To relocate Ogden Telephone Company's books and records out-of-state
*PSC-15-99-00011-P	exempt	Electronic tariff by Woodcliff Park Corp.	To replace the company's current tariff with an electronic tariff
*PSC-12-00-00001-P	exempt	Winter bundled sales service election date by Central Hudson Gas & Electric Corporation	To revise the date
*PSC-44-01-00005-P	exempt	Annual reconciliation of gas costs by Corning Natural Gas Corporation	To authorize the company to include certain gas costs
*PSC-07-02-00032-P	exempt	Uniform business practices	To consider modification
*PSC-36-03-00010-P	exempt	Performance assurance plan by Verizon New York	To consider changes
*PSC-40-03-00015-P	exempt	Receipt of payment of bills by St. Lawrence Gas Company	To revise the process
*PSC-41-03-00010-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-41-03-00011-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries	To consider filings of various LDCs and municipalities
*PSC-44-03-00009-P	exempt	Retail access data between jurisdictional utilities	To accommodate changes in retail access market structure or commission mandates

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-02-04-00008-P	exempt	Delivery rates for Con Edison's customers in New York City and Westchester County by the City of New York	To rehear the Nov. 25, 2003 order
*PSC-06-04-00009-P	exempt	Transfer of ownership interest by SCS Energy LLC and AE Investors LLC	To transfer interest in Steinway Creek Electric Generating Company LLC to AE Investors LLC
*PSC-10-04-00005-P	exempt	Temporary protective order	To consider adopting a protective order
*PSC-10-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and VIC-RMTS-DC, L.L.C. d/b/a Verizon Avenue	To amend the agreement
*PSC-14-04-00008-P	exempt	Submetering of natural gas service to industrial and commercial customers by Hamburg Fairgrounds	To submeter gas service to commercial customers located at the Buffalo Speedway
*PSC-15-04-00022-P	exempt	Submetering of electricity by Glenn Gardens Associates, L.P.	To permit submetering at 175 W. 87th St., New York, NY
*PSC-21-04-00013-P	exempt	Verizon performance assurance plan by Metropolitan Telecommunications	To clarify the appropriate performance level
*PSC-22-04-00010-P	exempt	Approval of new types of electricity meters by Powell Power Electric Company	To permit the use of the PE-1250 electronic meter
*PSC-22-04-00013-P	exempt	Major gas rate increase by Consolidated Edison Company of New York, Inc.	To increase annual gas revenues
*PSC-22-04-00016-P	exempt	Master metering of water by South Liberty Corporation	To waive the requirement for installation of separate water meters
*PSC-25-04-00012-P	exempt	Interconnection agreement between Frontier Communications of Ausable Valley, Inc., et al. and Sprint Communications Company, L.P.	To amend the agreement
*PSC-27-04-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-27-04-00009-P	exempt	Interconnection agreement between Verizon New York Inc. and various Verizon wireless affiliates	To amend the agreement
*PSC-28-04-00006-P	exempt	Approval of loans by Dunkirk & Fredonia Telephone Company and Cassadaga Telephone Corporation	To authorize participation in the parent corporation's line of credit
*PSC-31-04-00023-P	exempt	Distributed generation service by Consolidated Edison Company of New York, Inc.	To provide an application form
*PSC-34-04-00031-P	exempt	Flat rate residential service by Emerald Green Lake Louise Marie Water Company, Inc.	To set appropriate level of permanent rates
*PSC-35-04-00017-P	exempt	Application form for distributed generation by Orange and Rockland Utilities, Inc.	To establish a new supplementary application form for customers
*PSC-43-04-00016-P	exempt	Accounts recievable by Rochester Gas and Electric Corporation	To include in its tariff provisions for the purchase of ESCO accounts recievable

Agency I.D. No.	Expires	Subject Matter	Purpose of Action		
PUBLIC SERVICE COMMISSION					
*PSC-46-04-00012-P	exempt	Service application form by Consolidated Edison Company of New York, Inc.	To revise the form and make housekeeping changes		
*PSC-46-04-00013-P	exempt	Rules and guidelines governing installation of metering equipment	To establish uniform statewide business practices		
*PSC-02-05-00006-P	exempt	Violation of the July 22, 2004 order by Dutchess Estates Water Company, Inc.	To consider imposing remedial actions against the company and its owners, officers and directors		
*PSC-09-05-00009-P	exempt	Submetering of natural gas service by Hamlet on Olde Oyster Bay	To consider submetering of natural gas to a commercial customer		
*PSC-14-05-00006-P	exempt	Request for deferred accounting authorization by Freeport Electric Inc.	To defer expenses beyond the end of the fiscal year		
*PSC-18-05-00009-P	exempt	Marketer Assignment Program by Consolidated Edison Company of New York, Inc.	To implement the program		
*PSC-20-05-00028-P	exempt	Delivery point aggregation fee by Allied Frozen Storage, Inc.	To review the calculation of the fee		
*PSC-25-05-00011-P	exempt	Metering, balancing and cashout provisions by Central Hudson Gas & Electric Corporation	To establish provisions for gas customers taking service under Service Classification Nos. 8, 9 and 11		
*PSC-27-05-00018-P	exempt	Annual reconciliation of gas costs by New York State Electric & Gas Corporation	To consider the manner in which the gas cost incentive mechanism has been applied		
*PSC-41-05-00013-P	exempt	Annual reconciliation of gas expenses and gas cost recoveries by local distribution companies and municipalities	To consider the filings		
*PSC-45-05-00011-P	exempt	Treatment of lost and unaccounted gas costs by Corning Natural Gas Corporation	To defer certain costs		
*PSC-46-05-00015-P	exempt	Sale of real and personal property by the Brooklyn Union Gas Company d/b/a KeySpan Energy Delivery New York and Steel Arrow, LLC	To consider the sale		
*PSC-47-05-00009-P	exempt	Transferral of gas supplies by Corning Natural Gas Corporation	To approve the transfer		
*PSC-50-05-00008-P	exempt	Long-term debt by Saratoga Glen Hollow Water Supply Corp.	To obtain long-term debt		
*PSC-04-06-00024-P	exempt	Transfer of ownership interests by Mirant NY-Gen LLC and Orange and Rockland Utilities, Inc.	To approve of the transfer		
*PSC-06-06-00015-P	exempt	Gas curtailment policies and procedures	To examine the manner and extent to which gas curtailment policies and procedures should be modified and/or established		
*PSC-07-06-00009-P	exempt	Modification of the current Environmental Disclosure Program	To include an attributes accounting system		
*PSC-22-06-00019-P	exempt	Hourly pricing by National Grid	To assess the impacts		

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-22-06-00020-P	exempt	Hourly pricing by New York State Electric & Gas Corporation	To assess the impacts
*PSC-22-06-00021-P	exempt	Hourly pricing by Rochester Gas & Electric Corporation	To assess the impacts
*PSC-22-06-00022-P	exempt	Hourly pricing by Consolidated Edison Company of New York, Inc.	To assess the impacts
*PSC-22-06-00023-P	exempt	Hourly pricing by Orange and Rockland Utilities, Inc.	To assess the impacts
*PSC-24-06-00005-EP	exempt	Supplemental home energy assistance benefits	To extend the deadline to Central Hudson's low-income customers
*PSC-25-06-00017-P	exempt	Purchased power adjustment by Massena Electric Department	To revise the method of calculating the purchased power adjustment and update the factor of adjustment
*PSC-34-06-00009-P	exempt	Inter-carrier telephone service quality standards and metrics by the Carrier Working Group	To incorporate appropriate modifications
*PSC-37-06-00015-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-37-06-00017-P	exempt	Procedures for estimation of customer bills by Rochester Gas and Electric Corporation	To consider estimation procedures
*PSC-43-06-00014-P	exempt	Electric delivery services by Strategic Power Management, Inc.	To determine the proper mechanism for the rate-recovery of costs
*PSC-04-07-00012-P	exempt	Petition for rehearing by Orange and Rockland Utilities, Inc.	To clarify the order
*PSC-06-07-00015-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for electric service
*PSC-06-07-00020-P	exempt	Meter reading and billing practices by Central Hudson Gas & Electric Corporation	To continue current meter reading and billing practices for gas service
*PSC-11-07-00010-P	exempt	Investigation of the electric power outages by the Consolidated Edison Company of New York, Inc.	To implement the recommendations in the staff's investigation
*PSC-11-07-00011-P	exempt	Storm-related power outages by Consolidated Edison Company of New York, Inc.	To modify the company's response to power outages, the timing for any such changes and other related matters
*PSC-17-07-00008-P	exempt	Interconnection agreement between Verizon New York Inc. and BridgeCom International, Inc.	To amend the agreement
*PSC-18-07-00010-P	exempt	Existing electric generating stations by Independent Power Producers of New York, Inc.	To repower and upgrade existing electric generating stations owned by Rochester Gas and Electric Corporation
*PSC-20-07-00016-P	exempt	Tariff revisions and making rates permanent by New York State Electric & Gas Corporation	To seek rehearing

Agency I.D. No.	Expires	Subject Matter	Purpose of Action		
PUBLIC SERVICE COMMISSION					
*PSC-21-07-00007-P	exempt	Natural Gas Supply and Acquisition Plan by Corning Natural Gas Corporation	To revise the rates, charges, rules and regulations for gas service		
*PSC-22-07-00015-P	exempt	Demand Side Management Program by Consolidated Edison Company of New York, Inc.	To recover incremental program costs and lost revenue		
*PSC-23-07-00022-P	exempt	Supplier, transportation, balancing and aggregation service by National Fuel Gas Distribution Corporation	To explicitly state in the company's tariff that the threshold level of elective upstream transmission capacity is a maximum of 112,600 Dth/day of marketer-provided upstream capacity		
*PSC-24-07-00012-P	exempt	Gas Efficiency Program by the City of New York	To consider rehearing a decision establishing a Gas Efficiency Program		
*PSC-39-07-00017-P	exempt	Gas bill issuance charge by New York State Electric & Gas Corporation	To create a gas bill issuance charge unbundled from delivery rates		
*PSC-41-07-00009-P	exempt	Submetering of electricity rehearing	To seek reversal		
*PSC-42-07-00012-P	exempt	Energy efficiency program by Orange and Rockland Utilities, Inc.	To consider any energy efficiency program for Orange and Rockland Utilities, Inc.'s electric service		
*PSC-42-07-00013-P	exempt	Revenue decoupling by Orange and Rockland Utilities, Inc.	To consider a revenue decoupling mechanism for Orange and Rockland Utilities, Inc.		
*PSC-45-07-00005-P	exempt	Customer incentive programs by Orange and Rockland Utilities, Inc.	To establish a tariff provision		
*PSC-02-08-00006-P	exempt	Additional central office codes in the 315 area code region	To consider options for making additional codes		
*PSC-03-08-00006-P	exempt	Rehearing of the accounting determinations	To grant or deny a petition for rehearing of the accounting determinations		
*PSC-04-08-00010-P	exempt	Granting of easement rights on utility property by Central Hudson Gas & Electric Corporation	To grant easement rights to Millennium Pipeline Company, L.L.C.		
*PSC-04-08-00012-P	exempt	Marketing practices of energy service companies by the Consumer Protection Board and New York City Department of Consumer Affairs	To consider modifying the commission's regulation over marketing practices of energy service companies		
*PSC-08-08-00016-P	exempt	Transfer of ownership by Entergy Nuclear Fitzpatrick LLC, et al.	To consider the transfer		
*PSC-12-08-00019-P	exempt	Extend the provisions of the existing electric rate plan by Rochester Gas and Electric Corporation	To consider the request		
*PSC-12-08-00021-P	exempt	Extend the provisions of the existing gas rate plan by Rochester Gas and Electric Corporation	To consider the request		
*PSC-13-08-00011-P	exempt	Waiver of commission policy and NYSEG tariff by Turner Engineering, PC	To grant or deny Turner's petition		
*PSC-13-08-00012-P	exempt	Voltage drops by New York State Electric & Gas Corporation	To grant or deny the petition		

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-23-08-00008-P	exempt	Petition requesting rehearing and clarification of the commission's April 25, 2008 order denying petition of public utility law project	To consider whether to grant or deny, in whole or in part, the May 7, 2008 Public Utility Law Project (PULP) petition for rehearing and clarification of the commission's April 25, 2008 order denying petition of Public Utility Law Project
*PSC-25-08-00007-P	exempt	Policies and procedures regarding the selection of regulatory proposals to meet reliability needs	To establish policies and procedures regarding the selection of regulatory proposals to meet reliability needs
*PSC-25-08-00008-P	exempt	Report on Callable Load Opportunities	Rider U report assessing callable load opportunities in New York City and Westchester County during the next 10 years
*PSC-28-08-00004-P	exempt	Con Edison's procedure for providing customers access to their account information	To consider Con Edison's implementation plan and timetable for providing customers access to their account information
*PSC-31-08-00025-P	exempt	Recovery of reasonable DRS costs from the cost mitigation reserve (CMR)	To authorize recovery of the DRS costs from the CMR
*PSC-32-08-00009-P	exempt	The ESCO referral program for KEDNY to be implemented by October 1, 2008	To approve, reject or modify, in whole or in part, KEDNY's recommended ESCO referral program
*PSC-33-08-00008-P	exempt	Noble Allegany's request for lightened regulation	To consider Noble Allegany's request for lightened regulation as an electric corporation
*PSC-36-08-00019-P	exempt	Land Transfer in the Borough of Manhattan, New York	To consider petition for transfer of real property to NYPH
*PSC-39-08-00010-P	exempt	RG&E's economic development plan and tariffs	Consideration of the approval of RG&E's economic development plan and tariffs
*PSC-40-08-00010-P	exempt	Loans from regulated company to its parent	To determine if the cash management program resulting in loans to the parent should be approved
*PSC-41-08-00009-P	exempt	Transfer of control of cable TV franchise	To determine if the transfer of control of Margaretville's cable TV subsidiary should be approved
*PSC-43-08-00014-P	exempt	Annual Reconcilliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-46-08-00008-P	exempt	Property transfer in the Village of Avon, New York	To consider a petition for the transfer of street lighting and attached equipment to the Village of Avon, New York
*PSC-46-08-00010-P	exempt	A transfer of indirect ownership interests in nuclear generation facilities	Consideration of approval of a transfer of indirect ownership interests in nuclear generation facilities
*PSC-46-08-00014-P	exempt	The attachment of cellular antennae to an electric transmission tower	To approve, reject or modify the request for permission to attach cellular antennae to an electric transmission tower
*PSC-48-08-00005-P	exempt	A National Grid high efficiency gas heating equipment rebate program	To expand eligibility to customers converting from oil to natural gas

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-48-08-00008-P	exempt	Petition for the master metering and submetering of electricity	To consider the request of Bay City Metering, to master meter & submeter electricity at 345 E. 81st St., New York, New York
*PSC-48-08-00009-P	exempt	Petition for the submetering of electricity	To consider the request of PCV/ST to submeter electricity at Peter Cooper Village & Stuyvesant Town, New York, New York
*PSC-50-08-00018-P	exempt	Market Supply Charge	A study on the implementation of a revised Market Supply Charge
*PSC-51-08-00006-P	exempt	Commission's October 27, 2008 Order on Future of Retail Access Programs in Case 07-M-0458	To consider a Petition for rehearing of the Commission's October 27, 2008 Order in Case 07-M-0458
*PSC-51-08-00007-P	exempt	Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078	To consider Petitions for rehearing of the Commission's October 27, 2008 Order in Cases 98-M-1343, 07-M-1514 and 08-G-0078
*PSC-53-08-00011-P	exempt	Use of deferred Rural Telephone Bank funds	To determine if the purchase of a softswitch by Hancock is an appropriate use of deferred Rural Telephone Bank funds
*PSC-53-08-00012-P	exempt	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY	Transfer of permanent and temporary easements at 549-555 North Little Tor Road, New City, NY
*PSC-53-08-00013-P	exempt	To transfer common stock and ownership	To consider transfer of common stock and ownership
*PSC-01-09-00015-P	exempt	FCC decision to redefine service area of Citizens/Frontier	Review and consider FCC proposed redefinition of Citizens/Frontier service area
*PSC-02-09-00010-P	exempt	Competitive classification of independent local exchange company, and regulatory relief appropriate thereto	To determine if Chazy & Westport Telephone Corporation more appropriately belongs in scenario 1 rather than scenario 2
*PSC-05-09-00008-P	exempt	Revenue allocation, rate design, performance metrics, and other non-revenue requirement issues	To consider any remaining non-revenue requirement issues related to the Company's May 9, 2008 tariff filing
*PSC-05-09-00009-P	exempt	Numerous decisions involving the steam system including cost allocation, energy efficiency and capital projects	To consider the long term impacts on steam rates and on public policy of various options concerning the steam system
*PSC-06-09-00007-P	exempt	Interconnection of the networks between Frontier Comm. and WVT Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier Comm. and WVT Comm.
*PSC-07-09-00015-P	exempt	Transfer certain utility assets located in the Town of Montgomery from plant held for future use to non-utility property	To consider the request to transfer certain utility assets located in the Town of Montgomery to non-utility assets
*PSC-07-09-00017-P	exempt	Request for authorization to defer the incremental costs incurred in the restoration work resulting from the ice storm	To allow the company to defer the incremental costs incurred in the restoration work resulting from the ice storm
*PSC-07-09-00018-P	exempt	Whether to permit the submetering of natural gas service to an industrial and commercial customer at Cooper Union, New York, NY	To consider the request of Cooper Union, to submeter natural gas at 41 Cooper Square, New York, New York

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-12-09-00010-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-12-09-00012-P	exempt	Charges for commodity	To charge customers for commodity costs
*PSC-13-09-00008-P	exempt	Options for making additional central office codes available in the 718/347 numbering plan area	To consider options for making additional central office codes available in the 718/347 numbering plan area
*PSC-14-09-00014-P	exempt	The regulation of revenue requirements for municipal utilities by the Public Service Commission	To determine whether the regulation of revenue requirements for municipal utilities should be modified
*PSC-16-09-00010-P	exempt	Petition for the submetering of electricity	To consider the request of AMPS on behalf of Park Imperial to submeter electricity at 230 W. 56th Street, in New York, New York
*PSC-16-09-00020-P	exempt	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity	Whether SUNY's core accounts should be exempt from the mandatory assignment of local distribution company (LDC) capacity
*PSC-17-09-00010-P	exempt	Whether to permit the use of Elster REX2 solid state electric meter for use in residential and commerical accounts	To permit electric utilities in New York State to use the Elster REX2
*PSC-17-09-00011-P	exempt	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes	Whether Brooklyn Navy Yard Cogeneration Partners, L.P. should be reimbursed by Con Edison for past and future use taxes
*PSC-17-09-00012-P	exempt	Petition for the submetering of gas at commercial property	To consider the request of Turner Construction, to submeter natural gas at 550 Short Ave., & 10 South St., Governors Island, NY
*PSC-17-09-00014-P	exempt	Benefit-cost framework for evaluating AMI programs prepared by the DPS Staff	To consider a benefit-cost framework for evaluating AMI programs prepared by the DPS Staff
*PSC-17-09-00015-P	exempt	The construction of a tower for wireless antennas on land owned by National Grid	To approve, reject or modify the petition to build a tower for wireless antennas in the Town of Onondaga
*PSC-18-09-00012-P	exempt	Petition for rehearing of Order approving the submetering of electricity	To consider the request of Frank Signore to rehear petition to submeter electricity at One City Place in White Plains, New York
*PSC-18-09-00013-P	exempt	Petition for the submetering of electricity	To consider the request of Living Opportunities of DePaul to submeter electricity at E. Main St. located in Batavia, New York
*PSC-18-09-00017-P	exempt	Approval of an arrangement for attachment of wireless antennas to the utility's transmission facilities in the City of Yonkers	To approve, reject or modify the petition for the existing wireless antenna attachment to the utility's transmission tower
*PSC-20-09-00016-P	exempt	The recovery of, and accounting for, costs associated with the Companies' advanced metering infrastructure (AMI) pilots etc	To consider a filing of the Companies as to the recovery of, and accounting for, costs associated with it's AMI pilots etc
*PSC-20-09-00017-P	exempt	The recovery of, and accounting for, costs associated with CHG&E's AMI pilot program	To consider a filing of CHG&E as to the recovery of, and accounting for, costs associated with it's AMI pilot program

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-22-09-00011-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-25-09-00005-P	exempt	Whether to grant, deny, or modify, in whole or in part, the petition	Whether to grant, deny, or modify, in whole or in part, the petition
*PSC-25-09-00006-P	exempt	Electric utility implementation plans for proposed web based SIR application process and project status database	To determine if the proposed web based SIR systems are adequate and meet requirements needed for implementation
*PSC-25-09-00007-P	exempt	Electric rates for Consolidated Edison Company of New York, Inc	Consider a Petition for Rehearing filed by Consolidated Edison Company of New York, Inc
*PSC-27-09-00011-P	exempt	Interconnection of the networks between Vernon and tw telecom of new york I.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Vernon and TW Telecom of New York L.P.
*PSC-27-09-00014-P	exempt	Billing and payment for energy efficiency measures through utility bill	To promote energy conservation
*PSC-27-09-00015-P	exempt	Interconnection of the networks between Oriskany and tw telecom of new york l.p. for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Oriskany and TW Telecom of New York L.P.
*PSC-29-09-00011-P	exempt	Consideration of utility compliance filings	Consideration of utility compliance filings
*PSC-32-09-00009-P	exempt	Cost allocation for Consolidated Edison's East River Repowering Project	To determine whether any changes are warranted in the cost allocation of Consolidated Edison's East River Repowering Project
*PSC-34-09-00016-P	exempt	Recommendations made in the Management Audit Final Report	To consider whether to take action or recommendations contained in the Management Audit Final Report
*PSC-34-09-00017-P	exempt	To consider the transfer of control of Plattsburgh Cablevision, Inc. d/b/a Charter Communications to CH Communications, LLC	To allow the Plattsburgh Cablevision, Inc. to distribute its equity interest in CH Communications, LLC
*PSC-36-09-00008-P	exempt	The increase in the non-bypassable charge implemented by RG&E on June 1, 2009	Considering exemptions from the increase in the non-bypassable charge implemented by RG&E on June 1, 2009
*PSC-37-09-00015-P	exempt	Sale of customer-generated steam to the Con Edison steam system	To establish a mechanism for sale of customer- generated steam to the Con Edison steam system
*PSC-37-09-00016-P	exempt	Applicability of electronic signatures to Deferred Payment Agreements	To determine whether electronic signatures can be accepted for Deferred Payment Agreements
*PSC-39-09-00015-P	exempt	Modifications to the \$5 Bill Credit Program	Consideration of petition of National Grid to modify the Low Income \$5 Bill Credit Program
*PSC-39-09-00018-P	exempt	The offset of deferral balances with Positive Benefit Adjustments	To consider a petition to offset deferral balances with Positive Benefit Adjustments
*PSC-40-09-00013-P	exempt	Uniform System of Accounts - request for deferral and amortization of costs	To consider a petition to defer and amortize costs

Purpose of Action

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Agency I.D. No.	Ехрігез	oubject Matter	1 dipose of Action		
PUBLIC SERVICE COMMISSION					
*PSC-51-09-00029-P	exempt	Rules and guidelines for the exchange of retail access data between jurisdictional utilities and eligible ESCOs	To revise the uniform Electronic Data Interchange Standards and business practices to incorporate a contest period		
*PSC-51-09-00030-P	exempt	Waiver or modification of Capital Expenditure condition of merger	To allow the companies to expend less funds for capital improvement than required by the merger		
*PSC-52-09-00006-P	exempt	ACE's petition for rehearing for an order regarding generator-specific energy deliverability study methodology	To consider whether to change the Order Prescribing Study Methodology		
*PSC-52-09-00008-P	exempt	Approval for the New York Independent System Operator, Inc. to incur indebtedness and borrow up to \$50,000,000	To finance the renovation and construction of the New York Independent System Operator, Inc.'s power control center facilities		
*PSC-05-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of University Residences - Rochester, LLC to submeter electricity at 220 John Street, Henrietta, NY		
*PSC-05-10-00015-P	exempt	Petition for the submetering of electricity	To consider the request of 243 West End Avenue Owners Corp. to submeter electricity at 243 West End Avenue, New York, NY		
*PSC-06-10-00022-P	exempt	The Commission's Order of December 17, 2009 related to redevelopment of Consolidated Edison's Hudson Avenue generating facility	To reconsider the Commission's Order of December 17, 2009 related to redevelopment of the Hudson Avenue generating facility		
*PSC-07-10-00009-P	exempt	Petition to revise the Uniform Business Practices	To consider the RESA petition to allow rescission of a customer request to return to full utility service		
*PSC-08-10-00007-P	exempt	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847	Whether to grant, deny, or modify , in whole or in part, the rehearing petition filed in Case 06-E-0847		
*PSC-08-10-00009-P	exempt	Consolidated Edison of New York, Inc. energy efficiency programs	To modify approved energy efficiency programs		
*PSC-12-10-00015-P	exempt	Recommendations made by Staff intended to enhance the safety of Con Edison's gas operations	To require that Con Edison implement the Staff recommendations intended to enhance the safety of Con Edison's gas operations		
*PSC-14-10-00010-P	exempt	Petition for the submetering of electricity	To consider the request of 61 Jane Street Owners Corporation to submeter Electricity at 61 Jane Street, Manhattan, NY		
*PSC-16-10-00005-P	exempt	To consider adopting and expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements		
*PSC-16-10-00007-P	exempt	Interconnection of the networks between TDS Telecom and PAETEC Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between TDS Telecom and PAETEC Communications		
*PSC-16-10-00015-P	exempt	Interconnection of the networks between Frontier and Choice One Communications for local exchange service and exchange access	To review the terms and conditions of the negotiated agreement between Frontier and Choice One Communications		
*PSC-18-10-00009-P	exempt	Electric utility transmission right-of-way management practices	To consider electric utility transmission right-of- way management practices		

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action	
PUBLIC SERVICE	COMMISSION			
*PSC-19-10-00022-P	exempt	Whether National Grid should be permitted to transfer a parcel of property located at 1 Eddy Street, Fort Edward, New York	To decide whether to approve National Grid's request to transfer a parcel of vacant property in Fort Edward, New York	
*PSC-22-10-00006-P	exempt	Requirement that Noble demonstrate that its affiliated electric corporations operating in New York are providing safe service	Consider requiring that Noble demonstrate that its affiliated electric corporations in New York are providing safe service	
*PSC-22-10-00008-P	exempt	Petition for the submetering of electricity	To consider the request of 48-52 Franklin Street to submeter electricity at 50 Franklin Street, New York, New York	
*PSC-24-10-00009-P	exempt	Verizon New York Inc. tariff regulations relating to voice messaging service	To remove tariff regulations relating to retail voice messaging service from Verizon New York Inc.'s tariff	
*PSC-25-10-00012-P	exempt	Reassignment of the 2-1-1 abbreviated dialing code	Consideration of petition to reassign the 2-1-1 abbreviated dialing code	
*PSC-27-10-00016-P	exempt	Petition for the submetering of electricity	To consider the request of 9271 Group, LLC to submeter electricity at 960 Busti Avenue, Buffalo, New York	
*PSC-34-10-00003-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	
*PSC-34-10-00005-P	exempt	Approval of a contract for \$250,000 in tank repairs that may be a financing	To decide whether to approve a contract between the parties that may be a financing of \$250,000 for tank repairs	
*PSC-34-10-00006-P	exempt	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	The modification of Central Hudson Gas & Electric Corporation's Enhanced Powerful Opportunities Program	
*PSC-36-10-00010-P	exempt	Central Hudson's procedures, terms and conditions for an economic development plan	Consideration of Central Hudson's procedures, terms and conditions for an economic development plan	
*PSC-40-10-00014-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by National Grid	
*PSC-40-10-00021-P	exempt	Whether to permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	To permit the submetering of natural gas service to a commercial customer at Quaker Crossing Mall	
*PSC-41-10-00018-P	exempt	Amount of hourly interval data provided to Hourly Pricing customers who have not installed a phone line to read meter	Allow Central Hudson to provide less than a years worth of interval data and charge for manual meter reading for some customers	
*PSC-41-10-00022-P	exempt	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	Request for waiver of the individual living unit metering requirements at 5742 Route 5, Vernon, NY	
*PSC-42-10-00011-P	exempt	Petition for the submetering of electricity	To consider the request of 4858 Group, LLC to submeter electricity at 456 Main Street, Buffalo, New York	
*PSC-43-10-00016-P	exempt	Utility Access to Ducts, Conduit Facilities and Utility Poles	To review the complaint from Optical Communications Group	

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-44-10-00003-P	exempt	Third and fourth stage gas rate increase by Corning Natural Gas Corporation	To consider Corning Natural Gas Corporation's request for a third and fourth stage gas rate increase
*PSC-51-10-00018-P	exempt	Commission proceeding concerning three- phase electric service by all major electric utilities	Investigate the consistency of the tariff provisions for three-phase electric service for all major electric utilities
*PSC-11-11-00003-P	exempt	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service	The proposed transfer of 55.42 acres of land and \$1.4 million of revenues derived from the rendition of public service
*PSC-13-11-00005-P	exempt	Exclude the minimum monthly bill component from the earnings test calculation	Exclude the minimum monthly bill component from the earnings test calculation
*PSC-14-11-00009-P	exempt	Petition for the submetering of electricity	To consider the request of 83-30 118th Street to submeter electricity at 83-30 118th Street, Kew Gardens, New York
*PSC-19-11-00007-P	exempt	Utility price reporting requirements related to the Commission's "Power to Choose" website	Modify the Commission's utility electric commodity price reporting requirements related to the "Power to Choose" website
*PSC-20-11-00012-P	exempt	Petition for the submetering of electricity	To consider the request of KMW Group LLC to submeter electricity at 122 West Street, Brooklyn, New York
*PSC-20-11-00013-P	exempt	Determining the reasonableness of Niagara Mohawk Power Corporation d/b/a National Grid 's make ready charges	To determine if the make ready charges of Niagara Mohawk Power Corporation d/b/a National Grid are reasonable
*PSC-22-11-00004-P	exempt	Whether to permit the use of the Sensus accWAVE for use in residential gas meter applications	To permit gas utilities in New York State to use the Sensus accWAVE diaphragm gas meter
*PSC-26-11-00007-P	exempt	Water rates and charges	To approve an increase in annual revenues by about \$25,266 or 50%
*PSC-26-11-00009-P	exempt	Petition for the submetering of electricity at commercial property	To consider the request of by Hoosick River Hardwoods, LLC to submeter electricity at 28 Taylor Avenue, in Berlin, New York
*PSC-26-11-00012-P	exempt	Waiver of generation retirement notice requirements	Consideration of waiver of generation retirement notice requirements
*PSC-29-11-00011-P	exempt	Petition requesting the Commssion reconsider its May 19, 2011 Order and conduct a hearing, and petition to stay said Order	To consider whether to grant or deny, in whole or in part, Windstream New York's Petition For Reconsideration and Rehearing
*PSC-35-11-00011-P	exempt	Whether to permit Consolidated Edison a waiver to commission regulations Part 226.8	Permit Consolidated Edison to conduct a inspection program in lieu of testing the accuracy of Category C meters
*PSC-36-11-00006-P	exempt	To consider expanding mobile stray voltage testing requirements	Adopt additional mobile stray voltage testing requirements
*PSC-38-11-00002-P	exempt	Operation and maintenance procedures pertaining to steam trap caps	Adopt modified steam operation and maintenance procedures
*PSC-38-11-00003-P	exempt	Waiver of certain provisions of the electric service tariffs of Con Edison	Consideration of waiver of certain provisions of the electric service tariffs of Con Edison

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-40-11-00010-P	exempt	Participation of regulated local exchange carriers in the New York Data Exchange, Inc. (NYDE)	Whether to partially modify its order requiring regulated local exchange carriers' participation NYDE
*PSC-40-11-00012-P	exempt	Granting of transfer of plant in-service to a regulatory asset	To approve transfer and recovery of unamortized plant investment
*PSC-42-11-00018-P	exempt	Availability of telecommunications services in New York State at just and reasonable rates	Providing funding support to help ensure availability of affordable telecommunications service throughout New York
*PSC-43-11-00012-P	exempt	Transfer of outstanding shares of stock	Transfer the issued outstanding shares of stock of The Meadows at Hyde Park Water-Works Corporation to HPWS, LLC
*PSC-47-11-00007-P	exempt	Remedying miscalculations of delivered gas as between two customer classes	Consideration of Con Edison's proposal to address inter-class delivery imbalances resulting from past Company miscalculations
*PSC-48-11-00007-P	exempt	Transfer of controlling interests in generation facilities from Dynegy to PSEG	Consideration of the transfer of controlling interests in electric generation facilities from Dynegy to PSEG
*PSC-48-11-00008-P	exempt	Petition for the submetering of electricity	To consider the request of To Better Days, LLC to submeter electricity at 37 East 4th Street, New York, New York
*PSC-01-12-00007-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-01-12-00008-P	exempt	Transfer of real property and easements from NMPNS to NMP3	Consideration of the transfer of real property and easements from NMPNS to NMP3
*PSC-01-12-00009-P	exempt	Recovery of expenses related to the expansion of Con Edison's ESCO referral program, PowerMove	To determine how and to what extent expenses related to the Expansion of Con Edison's ESCO referral program should be recovered
*PSC-11-12-00002-P	exempt	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff	Whether to grant, deny or modify, in whole or part, Hegeman's petition for a waiver of Commission policy and Con Edison tariff
*PSC-11-12-00005-P	exempt	Transfer of land and water supply assets	Transfer the land and associated water supply assets of Groman Shores, LLC to Robert Groman
*PSC-13-12-00005-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-19-12-00023-P	exempt	Petition for approval pursuant to Section 70 for the sale of goods with an original cost of less than \$100,000	To consider whether to grant, deny or modify, in whole or in part, the petition filed by Orange and Rockland Utilities, Inc.
*PSC-21-12-00006-P	exempt	Tariff filing requirements and refunds	To determine if certain agreements should be filed pursuant to the Public Service Law and if refunds are warranted
*PSC-21-12-00011-P	exempt	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47	Whether to grant, deny or modify, in whole or part, the petition for waiver of tariff Rules 8.6 and 47

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-23-12-00007-P	exempt	The approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility	To consider the approval of a financing upon a transfer to Alliance of upstream ownership interests in a generation facility
*PSC-23-12-00009-P	exempt	Over earnings sharing between rate payers and shareholders	To establish an Earnings Sharing Mechanism to be applied following the conclusion of Corning's rate plan
*PSC-27-12-00012-P	exempt	Implementation of recommendations made in a Management Audit Report	To consider implementation of recommendations made in a Management Audit Report
*PSC-28-12-00013-P	exempt	Exemption of reliability reporting statistics for the purpose of the 2012 Reliability Performance Mechanism	Consideration of Orange and Rockland Utilities request for exemption of the 2012 reliability reporting statistics
*PSC-29-12-00019-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Hamden to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-30-12-00010-P	exempt	Waiver of 16 NYCRR 894.1 through 894.4	To allow the Town of Andes to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-33-12-00009-P	exempt	Telecommunications companies ability to attach to utility company poles	Consideration of Tech Valley's ability to attach to Central Hudson poles
*PSC-37-12-00009-P	exempt	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers	Proposed modification by Con Edison of its procedures to calculate estimated bills to its customers
*PSC-42-12-00009-P	exempt	Regulation of Gipsy Trail Club, Inc.'s long- term financing agreements	To exempt Gipsy Trail Club, Inc. from Commission regulation of its financing agreements
*PSC-45-12-00008-P	exempt	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff	Whether to grant, deny or modify, in whole or part, ESHG's petition for a waiver of Commission policy and RG&E tariff
*PSC-45-12-00010-P	exempt	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District	Whether to grant, deny or modify, in whole or in part the petition of Con Edison to grant easements to Millwood Fire District
*PSC-50-12-00003-P	exempt	Affiliate standards for Corning Natural Gas Corporation	To resolve issues raised by Corning Natural Gas Corporation in its petition for rehearing
*PSC-04-13-00006-P	exempt	Expansion of mandatory day ahead hourly pricing for customers of Orange and Rockland Utilities with demands above 100 kW	To consider the expansion of mandatory day ahead hourly pricing for customers with demands above 100 kW
*PSC-04-13-00007-P	exempt	Authorization to transfer certain real property	To decide whether to approve the transfer of certain real property
*PSC-06-13-00008-P	exempt	Verizon New York Inc.'s retail service quality	To investigate Verizon New York Inc.'s retail service quality
*PSC-08-13-00012-P	exempt	Filing requirements for certain Article VII electric facilities	To ensure that applications for certain electric transmission facilities contain pertinent information

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-08-13-00014-P	exempt	Uniform System of Accounts - Request for Accounting Authorization	To allow the company to defer an item of expense or capital beyond the end of the year in which it was incurred
*PSC-12-13-00007-P	exempt	Protecting company water mains	To allow the company to require certain customers to make changes to the electrical grounding system at their homes
*PSC-13-13-00008-P	exempt	The potential waiver of 16 NYCRR 255.9221(d) completion of integrity assessments for certain gas transmission lines	To determine whether a waiver of the timely completion of certain gas transmission line integrity assessments should be granted
*PSC-18-13-00007-P	exempt	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes	Whether Demand Energy Networks energy storage systems should be designated technologies for standby rate eligibility purposes
*PSC-21-13-00003-P	exempt	To consider policies that may impact consumer acceptance and use of electric vehicles	To consider and further develop policies that may impact consumer acceptance and use of electric vehicles
*PSC-21-13-00005-P	exempt	To implement an abandonment of Windover's water system	To approve the implementation of abandonment of Windover's water system
*PSC-21-13-00008-P	exempt	Rates of National Fuel Gas Distribution Corporation	To make the rates of National Fuel Gas Distribution Corporation temporary, subject to refund, if they are found to be excessive
*PSC-21-13-00009-P	exempt	Reporting requirements for natural gas local distribution companies	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-22-13-00009-P	exempt	On remand from New York State court litigation, determine the recovery of certain deferred amounts owed NFG by ratepayers	On remand, to determine the recovery of certain deferral amounts owed NFG from ratepayers
*PSC-23-13-00005-P	exempt	Waiver of partial payment, directory database distribution, service quality reporting, and service termination regulations	Equalize regulatory treatment based on level or competition and practical considerations
*PSC-25-13-00008-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-25-13-00009-P	exempt	Provision by utilities of natural gas main and service lines	To help ensure efficient and economic expansion of the natural gas system as appropriate
*PSC-25-13-00012-P	exempt	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request	To deny, grant or modify, in whole or in part, Central Hudson's rehearing request
*PSC-27-13-00014-P	exempt	Columbia Gas Transmission Corporation Cost Refund	For approval for temporary waiver of tariff provisions regarding its Columbia Gas Transmission Corporation cost refund
*PSC-28-13-00014-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-28-13-00016-P	exempt	The request of NGT for lightened regulation as a gas corporation	To consider whether to approve, reject, or modify the request of Niagara gas transport of Lockport, NY LLC

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
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PUBLIC SERVICE	COMMISSION		
*PSC-28-13-00017-P	exempt	The request by TE for waiver of regulations requiring that natural gas be odorized in certain gathering line segments	Consider the request by TE for waiver of regulations that gas be odorized in certain lines
*PSC-32-13-00009-P	exempt	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices	To consider the definition of "misleading or deceptive conduct" in the Commission's Uniform Business Practices
*PSC-32-13-00012-P	exempt	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion	To consider whether NYSEG should be required to undertake actions to protect its name and to minimize customer confusion
*PSC-33-13-00027-P	exempt	Waive underground facility requirements for new construction in residential subdivisions to allow for overhead electric lines	Determine whether Chapin Lumberland, LLC subdivision will be allowed overhead electric distribution and service lines
*PSC-33-13-00029-P	exempt	Deferral of incremental costs associated with the restoration of steam service following Superstorm Sandy	To consider a petition by Con Edison to defer certain incremental steam system restoration costs relating to Superstorm Sandy
*PSC-34-13-00004-P	exempt	Escrow account and surcharge to fund extraordinary repairs	To approve the establishment of an escrow account and surcharge
*PSC-42-13-00013-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-42-13-00015-P	exempt	Failure to Provide Escrow Information	The closure of the Escrow Account
*PSC-43-13-00015-P	exempt	Petition for submetering of electricity	To consider the request of 2701 Kingsbridge Terrace L.P. to submeter electricity at 2701 Kingsbridge Terrace, Bronx, N.Y.
*PSC-45-13-00021-P	exempt	Investigation into effect of bifurcation of gas and electric utility service on Long Island	To consider a Petition for an investigation into effect of bifurcation of gas and electric utility service on Long Island
*PSC-45-13-00022-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00023-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00024-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4); waiver of filing deadlines	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-45-13-00025-P	exempt	Waiver of PSC regulations, 16 NYCRR section 88.4(a)(4)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-47-13-00009-P	exempt	Petition for submetering of electricity	To consider the request of Hegeman Avenue Housing L.P. to submeter electricity at 39 Hegeman Avenue, Brooklyn, N.Y
*PSC-47-13-00012-P	exempt	Conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates	Consideration of conditioning,restricting or prohibiting the purchase of services by NYSEG and RG&E from certain affiliates
*PSC-49-13-00008-P	exempt	Authorization to transfer all of Crystal Water Supply Company, Inc. stocks to Essel Infra West Inc.	To allow Crystal Water Supply Company, Inc to transfer all of its issued and outstanding stocks to Essel Infra West Inc.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-51-13-00009-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-51-13-00010-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-51-13-00011-P	exempt	Consolidated Edison proposing to use data from a test period ending September 30, 2013 to support its next rate filing	To ensure there is a reasonable basis for data submitted in support of a request for a change in rates
*PSC-52-13-00012-P	exempt	The development of reliability contingency plan(s) to address the potential retirement of Indian Point Energy Center (IPEC)	To address the petition for rehearing and reconsideration/motion for clarification of the IPEC reliability contingency plan(s)
*PSC-52-13-00015-P	exempt	To enter into a loan agreement with the banks for up to an amount of \$94,000	To consider allowing Knolls Water Company to enter into a long-term loan agreement
*PSC-05-14-00010-P	exempt	The New York State Reliability Council's revisions to its rules and measurements	To adopt revisions to various rules and measurements of the New York State Reliability Council
*PSC-07-14-00008-P	exempt	Petition for submetering of electricity	To consider the request of Greater Centennial Homes HDFC, Inc. to submeter electricity at 102, 103 and 106 W 5th Street, et al.
*PSC-07-14-00012-P	exempt	Water rates and charges	Implementation of Long-Term Water Supply Surcharge to recover costs associated with the Haverstraw Water Supply Project
*PSC-08-14-00015-P	exempt	Verizon New York Inc.'s service quality and Customer Trouble Report Rate (CTRR) levels at certain central office entities	To improve Verizon New York Inc.'s service quality andthe Customer Trouble Report Rate levels at certain central office entities
*PSC-10-14-00006-P	exempt	Actions to facilitate the availability of ESCO value-added offerings, ESCO eligibility and ESCO compliance	To facilitate ESCO value-added offerings and to make changes to ESCO eligibility and to ensure ESCO compliance
*PSC-11-14-00003-P	exempt	Provision for the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces	To consider the recovery and allocation of costs of transmission projects that reduce congestion on certain interfaces
*PSC-16-14-00014-P	exempt	Whether to order NYSEG to provide gas service to customers when an expanded CPCN is approved and impose PSL 25-a penalties	To order gas service to customers in the Town of Plattsburgh after approval of a town wide CPCN and to impose penalties
*PSC-16-14-00015-P	exempt	Whether Central Hudson should be permitted to defer obligations of the Order issued on October 18, 2013 in Case 13-G-0336	Consideration of the petition by Central Hudson to defer reporting obligations of the October 18, 2013 Order in Case 13-G-0336
*PSC-17-14-00003-P	exempt	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2013 performance under the Electric Service Reliability Performance Mechanism
*PSC-17-14-00004-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-17-14-00007-P	exempt	To consider petitions for rehearing, reconsideration and/or clarification	To consider petitions for rehearing, reconsideration and/or clarification

Purpose of Action

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PUBLIC SERVICE	COMMISSION		
*PSC-17-14-00008-P	exempt	To consider certain portions of petitions for rehearing, reconsideration and/or clarification	To consider certain portions of petitions for rehearing, reconsideration and/or clarification
*PSC-19-14-00014-P	exempt	Market Supply Charge	To make tariff revisions to the Market Supply Charge for capacity related costs
*PSC-19-14-00015-P	exempt	Whether to permit the use of the Sensus accuWAVE for use in residential and commercial gas meter applications	To permit gas utilities in New York State to use the Sensus accuWAVE 415TC gas meter
*PSC-22-14-00013-P	exempt	Petition to transfer and merge systems, franchises and assets	To consider the Comcast and Time Warner Cable merger and transfer of systems, franchises and assets
*PSC-23-14-00010-P	exempt	Whether to permit the use of the GE Dresser Series B3-HPC 11M-1480 rotary gas met for use in industrial gas meter applications	To permit gas utilities in New York State to use the GE Dresser Series B3-HPC 11M-1480 rotary gas meter
*PSC-23-14-00014-P	exempt	Waiver of the negative revenue adjustment associated with KEDLI's 2013 Customer Satisfaction Performance Metric	Consideration of KEDLI's waiver request pertaining to its 2013 performance under its Customer Satisfaction Metric
*PSC-24-14-00005-P	exempt	To examine LDC's performance and performance measures	To improve gas safety performance
*PSC-26-14-00013-P	exempt	Waiver of RG&E's tariffed definition of emergency generator	To consider waiver of RG&E's tariffed definition of emergency generator
*PSC-26-14-00020-P	exempt	New electric utility backup service tariffs and standards for interconnection may be adopted	To encourage development of microgrids that enhance the efficiency, safety, reliability and resiliency of the electric grid
*PSC-26-14-00021-P	exempt	Consumer protections, standards and protocols pertaining to access to customer data may be established	To balance the need for the information necessary to support a robust market with customer privacy concerns
*PSC-28-14-00014-P	exempt	Petition to transfer systems, franchises and assets	To consider the Comcast and Charter transfer of systems, franchise and assets
*PSC-30-14-00023-P	exempt	Whether to permit the use of the Sensus iPERL Fire Flow Meter	Pursuant to 16 NYCRR Part 500.3 , it is necessary to permit the use of the Sensus iPERL Fire Flow Meter
*PSC-30-14-00026-P	exempt	Petition for a waiver to master meter electricity	Considering the request of Renaissance Corporation of to master meter electricity at 100 Union Drive, Albany, NY
*PSC-31-14-00004-P	exempt	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross	To transfer 100% of the issued and outstanding stock from Vincent Cross to Bonnie and Michael Cross
*PSC-32-14-00012-P	exempt	Whether to grant or deny, in whole or in part, the Connect New York Coalition's petition	To consider the Connect New York Coalition's petition seeking a formal investigation and hearings
*PSC-35-14-00004-P	exempt	Regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY	To consider regulation of a proposed electricity generation facility located in the Town of Brookhaven, NY
*PSC-35-14-00005-P	exempt	Whether to permit the use of the Sensus iConA electric meter	Pursuant to 16 NYCRR Parts 92 and 93, Commission approval is necessary to permit the use of the Sensus iConA electric meter

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-36-14-00009-P	exempt	Modification to the Commission's Electric Safety Standards	To consider revisions to the Commission's Electric Safety Standards
*PSC-38-14-00003-P	exempt	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program	Whether to approve, reject or modify, in whole or in part a time-sensitive rate pilot program
*PSC-38-14-00004-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00005-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2
*PSC-38-14-00007-P	exempt	Whether to expand Con Edison's low income program to include Medicaid recipients	Whether to expand Con Edison's low income program to include Medicaid recipients
*PSC-38-14-00008-P	exempt	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn	The study and petition of Con Edison regarding use, accounting and ratemaking treatment for 11-23 and 2-28 Hudson Ave. Brooklyn
*PSC-38-14-00010-P	exempt	Inter-carrier telephone service quality standard and metrics and administrative changes	To review recommendations from the Carrier Working Group and incorporate appropriate modifications to the existing Guidelines
*PSC-38-14-00012-P	exempt	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2	Action on the report and petition of Con Edison regarding the Storm Hardening and Resiliency Collaborative, Phase 2
*PSC-39-14-00020-P	exempt	Whether to permit the use of the Mueller Systems 400 Series and 500 Series of water meters	Pursuant to 16 NYCRR section 500.3, whether to permit the use of the Mueller Systems 400, and 500 Series of water meters
*PSC-40-14-00008-P	exempt	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers	To consider granting authorization for Buy Energy Direct to resume marketing to residential customers
*PSC-40-14-00009-P	exempt	Whether to permit the use of the Itron Open Way Centron Meter with Hardware 3.1 for AMR and AMI functionality	Pursuant to 16 NYCRR Parts 93, is necessary to permit the use of the Itron Open Way Centron Meter with Hardware 3.1
*PSC-40-14-00011-P	exempt	Late Payment Charge	To modify Section 7.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
*PSC-40-14-00013-P	exempt	Regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY	To consider regulation of a proposed natural gas pipeline and related facilities located in the Town of Ticonderoga, NY
*PSC-40-14-00014-P	exempt	Waiver of 16 NYCRR Sections 894.1 through 894.4(b)(2)	To allow the Town of Goshen, NY, to waive certain preliminary franchising procedures to expedite the franchising process
*PSC-40-14-00015-P	exempt	Late Payment Charge	To modify Section 6.6 - Late Payment Charge to designate a specific time for when a late payment charge is due
*PSC-42-14-00003-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	The filings of various LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action	
PUBLIC SERVICE	COMMISSION			
*PSC-42-14-00004-P	exempt	Winter Bundled Sales Service Option	To modify SC-11 to remove language relating to fixed storage charges in the determination of the Winter Bundled Sales charge	
*PSC-48-14-00014-P	exempt	Considering the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line	To consider the recommendations contained in Staff's electric outage investigation report for MNRR, New Haven Line	
*PSC-52-14-00019-P	exempt	Petition for a waiver to master meter electricity	Considering the request of 614 South Crouse Avenue, LLC to master meter electricity at 614 South Crouse Avenue, Syracuse, NY	
*PSC-01-15-00014-P	exempt	State Universal Service Fund Disbursements	To consider Edwards Telephone Company's request for State Universal Service Fund disbursements	
*PSC-08-15-00010-P	exempt	Request pertaining to the lawfulness of National Grid USA continuing its summary billing program	To grant, deny, or modify URAC Rate Consultants' request that National Grid cease its summary billing program	
*PSC-10-15-00007-P	exempt	Notification concerning tax refunds	To consider Verizon New York Inc.'s partial rehearing or reconsideration request regarding retention of property tax refunds	
*PSC-10-15-00008-P	exempt	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	Whether to waive Policy on Test Periods in Major Rate Proceedings and provide authority to file tariff changes	
*PSC-13-15-00024-P	exempt	Whether Leatherstocking should be permitted to recover a shortfall in earnings	To decide whether to approve Leatherstocking's request to recover a shortfall in earnings	
*PSC-13-15-00026-P	exempt	Whether to permit the use of the Sensus Smart Point Gas AMR/AMI product	To permit the use of the Sensus Smart Point Gas AMR/AMI product	
*PSC-13-15-00027-P	exempt	Whether to permit the use of the Measurlogic DTS 310 electric submeter	To permit the use of the Measurlogic DTS 310 submeter	
*PSC-13-15-00028-P	exempt	Whether to permit the use of the SATEC EM920 electric meter	To permit necessary to permit the use of the SATEC EM920 electric meter	
*PSC-13-15-00029-P	exempt	Whether to permit the use the Triacta Power Technologies 6103, 6112, 6303, and 6312 electric submeters	To permit the use of the Triacta submeters	
*PSC-17-15-00007-P	exempt	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	To consider the petition of Leatherstocking Gas Company, LLC seeking authority to issue long-term debt of \$2.75 million	
*PSC-18-15-00005-P	exempt	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	Con Edison's Report on its 2014 performance under the Electric Service Reliability Performance Mechanism	
*PSC-19-15-00011-P	exempt	Gas Safety Performance Measures and associated negative revenue adjustments	To update the performance measures applicable to KeySpan Gas East Corporation d/b/a National Grid	
*PSC-22-15-00015-P	exempt	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	To consider the request for waiver of the individual residential unit meter requirements and 16 NYCRR 96.1(a)	

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-23-15-00005-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-23-15-00006-P	exempt	The modification of New York American Water's current rate plan	Whether to adopt the terms of the Joint Proposal submitted by NYAW and DPS Staff
*PSC-25-15-00008-P	exempt	Notice of Intent to Submeter electricity	To consider the request of 165 E 66 Residences, LLC to submeter electricity at 165 East 66th Street, New York, New York
*PSC-29-15-00025-P	exempt	Joint Petition for authority to transfer real property located at 624 West 132nd Street, New York, NY	Whether to authorize the proposed transfer of real property located at 624 West 132nd Street, New York, NY
*PSC-32-15-00006-P	exempt	Development of a Community Solar Demonstration Project	To approve the development of a Community Solar Demonstration Project
*PSC-33-15-00009-P	exempt	Remote net metering of a demonstration community net metering program	To consider approval of remote net metering of a demonstration community net metering program
*PSC-33-15-00012-P	exempt	Remote net metering of a Community Solar Demonstration Project	To consider approval of remote net metering of a Community Solar Demonstration Project
*PSC-34-15-00021-P	exempt	Petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs	To consider the petition by NYCOM requesting assistance with obtaining information on CLECs and ESCOs
*PSC-35-15-00014-P	exempt	Consideration of consequences against Light Power & Gas, LLC for violations of the UBP	To consider consequences against Light Power & Gas, LLC for violations of the UBP
*PSC-37-15-00007-P	exempt	Submetered electricity	To consider the request of 89 Murray Street Ass. LLC, for clarification of the submetering order issued December 20, 2007
*PSC-40-15-00014-P	exempt	Whether to permit the use of the Open Way 3.5 with cellular communications	To consider the use of the Open Way 3.5 electric meter, pursuant to 16 NYCRR Parts 92 and 93
*PSC-42-15-00006-P	exempt	Deferral of incremental expenses associated with NERC's new Bulk Electric System (BES) compliance requirements approved by FERC	Consideration of Central Hudson's request to defer incremental expenses associated with new BES compliance requirements
*PSC-44-15-00028-P	exempt	Deferral of incremental expenses associated with new compliance requirements	Consideration of Central Hudson's request to defer incremental expenses associated with new compliance requirements
*PSC-47-15-00013-P	exempt	Whitepaper on Implementing Lightened Ratemaking Regulation	Consider Whitepaper on Implementing Lightened Ratemaking Regulation
*PSC-48-15-00011-P	exempt	Proposal to retire Huntley Units 67 and 68 on March 1, 2016	Consider the proposed retirement of Huntley Units 67 and 68
*PSC-50-15-00006-P	exempt	The reduction of rates	To consider the reduction of rates charged by Independent Water Works, Inc.
*PSC-50-15-00009-P	exempt	Notice of Intent to submeter electricity	To consider the request to submeter electricity at 31-33 Lincoln Road and 510 Flatbush Avenue, Brooklyn, New York
*PSC-51-15-00010-P	exempt	Modification of the EDP	To consider modifying the EDP

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-01-16-00005-P	exempt	Proposed amendment to Section 5, Attachment 1.A of the Uniform Business Practices	To consider amendment to Section 5, Attachment 1.A of the Uniform Business Practices
*PSC-04-16-00007-P	exempt	Whether Hamilton Municipal Utilities should be permitted to construct and operate a municipal gas distribution facility	Consideration of the petition by Hamilton Municipal Utilities to construct and operate a municipal gas distribution facility
*PSC-04-16-00012-P	exempt	Proposal to mothball three gas turbines located at the Astoria Gas Turbine Generating Station	Consider the proposed mothball of three gas turbines located at the Astoria Gas Turbine Generating Station
*PSC-04-16-00013-P	exempt	Proposal to find that three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic	Consider whether three gas turbines located at the Astoria Gas Turbine Generating Station are uneconomic
*PSC-06-16-00013-P	exempt	Continued deferral of approximately \$16,000,000 in site investigation and remediation costs	To consider the continued deferral of approximately \$16,000,000 in site investigation and remediation costs
*PSC-06-16-00014-P	exempt	MEGA's proposed demonstration CCA program	To consider MEGA's proposed demonstration CCA program
*PSC-14-16-00008-P	exempt	Resetting retail markets for ESCO mass market customers	To ensure consumer protections with respect to residential and small non-residential ESCO customers
*PSC-18-16-00013-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00014-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00015-P	exempt	Petitions for rehearing of the Order Resetting Retail Energy Markets and Establishing Further Process	To ensure consumer protections for ESCO customers
*PSC-18-16-00016-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-18-16-00018-P	exempt	Amendments to the Uniform Business Practices of ESCOs	To ensure consumer protection for ESCO customers
*PSC-20-16-00008-P	exempt	Consideration of consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)	To consider consequences against Global Energy Group, LLC for violations of the Uniform Business Practices (UBP)
*PSC-20-16-00010-P	exempt	Deferral and recovery of incremental expense	To consider deferring costs of conducting leak survey and repairs for subsequent recovery
*PSC-20-16-00011-P	exempt	Enetics LD-1120 Non-Intrusive Load Monitoring Device in the Statewide Residential Appliance Metering Study	To consider the use of the Enetics LD-1120 Non-Intrusive Load Monitoring Device
*PSC-25-16-00009-P	exempt	To delay Companies' third-party assessments of customer personally identifiable information until 2018	To extend the time period between the Companies' third-party assessments of customer personally identifiable information
*PSC-25-16-00025-P	exempt	Acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel	To consider acquisition of all water supply assets of Woodbury Heights Estates Water Co., Inc. by the Village of Kiryas Joel

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action		
PUBLIC SERVICE COMMISSION					
*PSC-25-16-00026-P	exempt	Use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter, in residential fire service applications	To consider the use of the Badger E Series Ultrasonic Cold Water Stainless Steel Meter in fire service applications		
*PSC-28-16-00017-P	exempt	A petition for rehearing of the Order Adopting a Ratemaking and Utility Revenue Model Policy Framework	To determine appropriate rules for and calculation of the distributed generation reliability credit		
*PSC-29-16-00024-P	exempt	Participation of NYPA customers in surcharge-funded clean energy programs	To consider participation of NYPA customers in surcharge-funded clean energy programs		
*PSC-32-16-00012-P	exempt	Benefit-Cost Analysis Handbooks	To evaluate proposed methodologies of benefit- cost evaluation		
*PSC-33-16-00001-EP	exempt	Use of escrow funds for repairs	To authorize the use of escrow account funds for repairs		
*PSC-33-16-00005-P	exempt	Exemption from certain charges for delivery of electricity to its Niagara Falls, New York facility	Application of System Benefits Charges, Renewable Portfolio Standard charges and Clean Energy Fund surcharges		
*PSC-35-16-00015-P	exempt	NYSRC's revisions to its rules and measurements	To consider revisions to various rules and measurements of the NYSRC		
*PSC-36-16-00004-P	exempt	Recovery of costs for installation of electric service	To consider the recovery of costs for installation of electric service		
*PSC-40-16-00025-P	exempt	Consequences pursuant to the Commission's Uniform Business Practices (UBP)	To consider whether to impose consequences on Smart One for its apparent non-compliance with Commission requirements		
*PSC-47-16-00009-P	exempt	Petition to use commercial electric meters	To consider the petition of Itron, Inc. to use the Itron CP2SO and CP2SOA in commercial electric meter applications		
*PSC-47-16-00010-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein		
*PSC-47-16-00013-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein		
*PSC-47-16-00014-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein		
*PSC-47-16-00016-P	exempt	Standby Service rate design	To consider the report filed and the recommendations therein		
*PSC-02-17-00010-P	exempt	Implementation of the four EAMs	To consider the implementation of EAMs for RG&E		
*PSC-02-17-00012-P	exempt	Implementation of the four EAMs	To consider the implementation of EAMs for NYSEG		
*PSC-18-17-00024-P	exempt	A petition for rehearing or reconsideration of the Order Addressing Public Policy Transmission Need for AC Transmission Upgrades	To determine whether Public Policy Transmission Need/Public Policy Requirements continue to exist		
*PSC-18-17-00026-P	exempt	Revisions to the Dynamic Load Management surcharge	To consider revisions to the Dynamic Load Management surcharge		

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-20-17-00008-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles	To consider a report filed by National Grid NY regarding the potential for adoption of compressed natural gas as a motor fuel
*PSC-20-17-00010-P	exempt	Compressed natural gas as a motor fuel for diesel fueled vehicles	To consider a report filed by National Grid regarding the potential for adoption of compressed natural gas as a motor fuel
*PSC-21-17-00013-P	exempt	The establishment and implementation of Earnings Adjustment Mechanisms	To consider the establishment and implementation of Earnings Adjustment Mechanisms
*PSC-21-17-00018-P	exempt	Proposed agreement for the provision of water service by Saratoga Water Services, Inc.	To consider a waiver and approval of terms of a service agreement
*PSC-22-17-00004-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the proposed Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-24-17-00006-P	exempt	Development of the Utility Energy Registry	Improved data access
*PSC-26-17-00005-P	exempt	Notice of Intent to submeter electricity	To consider the Notice of Intent to submeter electricity at 125 Waverly Street, Yonkers, New York
*PSC-34-17-00011-P	exempt	Waiver to permit Energy Cooperative of America to serve low-income customers	To consider the petition for a waiver
*PSC-37-17-00005-P	exempt	Financial incentives to create customer savings and develop market-enabling tools, with a focus on outcomes and incentives	To consider the revised Interconnection Survey Process and Earnings Adjustment Mechanisms
*PSC-39-17-00011-P	exempt	Whether to direct New York State Electric & Gas to complete electric facility upgrades at no charge to Hanehan	To determine financial responsibility between NYSEG and Hanehan for the electric service upgrades to Hanehan
*PSC-42-17-00010-P	exempt	Petition for rehearing of negative revenue adjustment and contents of annual Performance Report	To consider NFGD's petition for rehearing
*PSC-48-17-00015-P	exempt	Low Income customer options for affordable water bills	To consider the Low Income Bill Discount and/or Energy Efficiency Rebate Programs
*PSC-50-17-00017-P	exempt	New Wave Energy Corp.'s petition for rehearing	To consider the petition for rehearing filed by New Wave Energy Corp.
*PSC-50-17-00018-P	exempt	Application of the Public Service Law to DER suppliers	To determine the appropriate regulatory framework for DER suppliers
*PSC-50-17-00019-P	exempt	Transfer of utility property	To consider the transfer of utility property
*PSC-50-17-00021-P	exempt	Disposition of tax refunds and other related matters	To consider the disposition of tax refunds and other related matters
*PSC-51-17-00011-P	exempt	Petition for recovery of certain costs related to the implementation of a Non-Wires Alternative Project	To consider Con Edison's petition for the recovery of costs for implementing the JFK Project

Agency I.D. No.	Expires	Subject Matter	Purpose of Action			
PUBLIC SERVICE	PUBLIC SERVICE COMMISSION					
*PSC-04-18-00005-P	exempt	Notice of intent to submeter electricity	To consider the notice of intent of Montante/ Morgan Gates Circle LLC to submeter electricity			
*PSC-05-18-00004-P	exempt	Lexington Power's ZEC compliance obligation	To promote and maintain renewable and zero- emission electric energy resources			
*PSC-06-18-00012-P	exempt	To consider further proposed amendments to the original criteria to grandfathering established in the Transition Plan	To modify grandfathering criteria			
*PSC-06-18-00017-P	exempt	Merger of NYAW and Whitlock Farms Water Corp.	To consider the merger of NYAW and Whitlock Farms Water Company into a single corporate entity			
*PSC-07-18-00015-P	exempt	The accuracy and reasonableness of National Grid's billing for certain interconnection upgrades	To consider AEC's petition requesting resolution of their billing dispute with National Grid			
*PSC-11-18-00004-P	exempt	New York State Lifeline Program	To consider TracFone's petition seeking approval to participate in Lifeline			
*PSC-13-18-00015-P	exempt	Eligibility of an ESCO to market to and enroll residential customers	To consider whether Astral should be allowed to market to and enroll residential customers following a suspension			
*PSC-13-18-00023-P	exempt	Reconciliation of property taxes	To consider NYAW's request to reconcile property taxes			
*PSC-14-18-00006-P	exempt	Petition for abandonment	To consider the abandonment of Willsboro Bay Water Company's water system			
*PSC-17-18-00010-P	exempt	Petition for use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage			
*PSC-18-18-00009-P	exempt	Transfer of control of Keene Valley Video Inc.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest			
*PSC-23-18-00006-P	exempt	Whether to impose consequences on Aspirity for its non-compliance with Commission requirements	To ensure the provision of safe and adequate energy service at just and reasonable rates			
*PSC-24-18-00013-P	exempt	Implementation of program rules for Renewable Energy Standard and ZEC requirements	To promote and maintain renewable and zero- emission electric energy resources			
*PSC-28-18-00011-P	exempt	Storm Hardening Collaborative Report	To ensure safe and adequate gas service			
*PSC-29-18-00008-P	exempt	Participation in Targeted Accessibility Fund	To encourage enhanced services for low-income consumers			
*PSC-29-18-00009-P	exempt	Overvaluing real property tax expense recovery in water rates	To prevent unjust and unreasonable water rates			
*PSC-34-18-00015-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and energy efficiency protections are in place			
*PSC-34-18-00016-P	exempt	Deferral of pre-staging and mobilization storm costs	To ensure just and reasonable rates for ratepayers and utility recovery of unexpected, prudently incurred costs			

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-35-18-00003-P	exempt	Con Edison's 2018 DSIP and BCA Handbook Update	To continue Con Edison's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00005-P	exempt	NYSEG and RG&E's 2018 DSIP and BCA Handbook Update	To continue NYSEG and RG&E's transition to modern utilities acting as Distributed System Platform Providers
*PSC-35-18-00006-P	exempt	National Grid's 2018 DSIP and BCA Handbook Update	To continue National Grid's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00008-P	exempt	Central Hudson's 2018 DSIP and BCA Handbook Update	To continue Central Hudson's transition to a modern utility serving as a Distributed System Platform Provider
*PSC-35-18-00010-P	exempt	O&R's 2018 DSIP and BCA Handbook Update	To continue O&R's transition to a modern utility acting as a Distributed System Platform Provider
*PSC-39-18-00005-P	exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers
*PSC-40-18-00014-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To review the gas utilities' reconciliation of Gas Expenses and Gas Cost Recoveries for 2018
*PSC-42-18-00011-P	exempt	Voluntary residential beneficial electrification rate design	To provide efficient rate design for beneficial technologies in New York State that is equitable for all residential customers
*PSC-42-18-00013-P	exempt	Petition for clarification and rehearing of the Smart Solutions Program Order	To address the increased demand for natural gas in the Con Edison's service territory and the limited pipeline capacity
*PSC-44-18-00016-P	exempt	Petition for approval of gas metering equipment	To ensure that customer bills are based on accurate measurements of gas usage
*PSC-45-18-00005-P	exempt	Notice of intent to submeter electricity and waiver of energy audit	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place
*PSC-01-19-00013-P	exempt	Order of the Commission related to caller ID unblocking	To require telephone companies to unblock caller ID on calls placed to the 311 municipal call center in Suffolk County
*PSC-03-19-00002-P	exempt	DPS Staff White Paper for who must be trained in 16 NYCRR Part 753 requirements and how the Commission will approve trainings	To reduce damage to underground utility facilities by requiring certain training and approving training curricula
*PSC-04-19-00004-P	exempt	Con Edison's petition for the Gas Innovation Program and associated budget	To pursue programs that continue service reliability and meet customer energy needs while aiding greenhouse gas reduction goals
*PSC-04-19-00011-P	exempt	Update of revenue targets	To ensure NYAW's rates are just and reasonable and accurately reflect the needed revenues
*PSC-06-19-00005-P	exempt	Consideration of the Joint Utilities' proposed BDP Program	To to expand opportunities for low-income households to participate in Community Distributed Generation (CDG) projects

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-07-19-00009-P	exempt	Whether to impose consequences on AAA for its non-compliance with Commission requirements	To insure the provision of safe and adequate energy service at just and reasonable rates
*PSC-07-19-00016-P	exempt	Participation in New York State Lifeline Program	To encourage enhanced services for low-income customers
*PSC-09-19-00010-P	exempt	Non-pipeline alternatives report recommendations	To consider the terms and conditions applicable to gas service
*PSC-13-19-00010-P	exempt	New Commission requirements for gas company operator qualification programs	To make pipelines safer with improved training of workers who perform construction and repairs on natural gas facilities
*PSC-19-19-00013-P	exempt	Proposed merger of three water utilities into one corporation	To determine if the proposed merger is in the public interest
*PSC-20-19-00008-P	exempt	Reporting on energy sources	To ensure accurate reporting and encourage clean energy purchases
*PSC-20-19-00010-P	exempt	Compensation policies for certain CHP projects	To consider appropriate rules for compensation of certain CHP resources
*PSC-31-19-00013-P	exempt	Implementation of Statewide Energy Benchmarking	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-32-19-00012-P	exempt	Standby Service Rates and Buyback Service Rates	To ensure just and reasonable rates, including compensation, for distributed energy resources
*PSC-38-19-00002-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-39-19-00018-P	exempt	Petition to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-41-19-00003-P	exempt	A voluntary residential three-part rate that would include fixed, usage and demand charges	To provide qualifying residential customers with an optional three-part rate
*PSC-46-19-00008-P	exempt	Wappingers Falls Hydroelectric LLC's facility located in Wappingers Falls, New York	To promote and maintain renewable electric energy resources
*PSC-08-20-00003-P	exempt	PSC regulation 16 NYCRR § § 86.3(a)(2) and 86.3(b)(2)	To consider a waiver of certain regulations relating to the content of an application for transmission line siting
*PSC-10-20-00003-P	exempt	The Commission's statewide low-income discount policy	To consider modifications to certain conditions regarding utility low-income discount programs
*PSC-12-20-00008-P	exempt	Delivery rates of Corning Natural Gas Corporation	Whether to postpone the implementation of a change in rates that would otherwise become effective on June 1, 2020
*PSC-15-20-00011-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators	To provide clarity and uniformity to the provision of gas service to electric generators
*PSC-16-20-00004-P	exempt	Disposition of a state sales tax refund	To determine how much of a state sales tax refund should be retained by Central Hudson

Agency I.D. No. **Expires** Subject Matter Purpose of Action **PUBLIC SERVICE COMMISSION** \*PSC-18-20-00015-P Participation of Eligible Telecommunications Commission will consider each petition filed by exempt Carriers (ETCs) in New York State Lifeline an ETCs seeking approval to participate in the Program NYS Lifeline program Clarification of the Order Adopting Changes to To consider whether energy service companies \*PSC-19-20-00004-P .... exempt should be permitted to bank RECs to satisfy the Retail Access Energy Market and Establishing Further Process their renewable energy requirements Cost recovery associated with Day-Ahead-To provide cost recovery for new DLM \*PSC-19-20-00005-P ..... exempt DLM and Auto-DLM programs, and programs and prevent double compensation to elimination of double compensation participating customers Cost recovery associated with Day-Ahead-To consider revisions to P.S.C. No. 10 -\*PSC-19-20-00009-P .... exempt DLM and Auto-DLM programs, and Electricity, and P.S.C. No. 12 - Electricity elimination of double compensation Whitepaper regarding energy service To consider the form and amount of financial \*PSC-25-20-00010-P . . . . . . . . . . . . exempt company financial assurance requirements assurances to be included in the eligibility criteria for energy service companies Modifications to the Low-Income Affordability To address the economic impacts of the \*PSC-25-20-00016-P ..... exempt COVID-19 pandemic program To encourage consumer protections and safe \*PSC-27-20-00003-P ..... exempt To make the uniform statewide customer satisfaction survey permanent and adequate service \*PSC-28-20-00022-P Compensation of distributed energy resources To ensure just and reasonable rates, including ..... exempt compensation, for distributed energy resources Petition to implement Section 7(5) of the To develop the bulk transmission investments \*PSC-28-20-00034-P ..... exempt Accelerated Renewable Energy Growth and necessary to achieve the Climate Leadership Community Benefit Act and Community Protection Act goals \*PSC-34-20-00005-P ..... exempt Petition to provide a renewable, carbon-free To increase customer access to renewable energy in the Consolidated Edison Company of energy option to residential and small commercial full-service customers New York, Inc. service territory \*PSC-38-20-00004-P ..... exempt The annual Reconciliation of Gas Expenses To consider filings of LDCs and municipalities and Gas Cost Recoveries regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries Availability of gas leak information to the Facilitate availability of gas leak information to \*PSC-42-20-00008-P ..... exempt public safety officials by gas corporations public safety officials. \*PSC-45-20-00003-P .... exempt Petition to submeter electricity To ensure adequate submetering equipment and consumer protections are in place \*PSC-46-20-00005-P The recommendations of the DPS Staff report To determine if approving the DPS Staff's ..... exempt to improve Hudson Valley Water's service recommendations is in the public interest \*PSC-48-20-00005-P .... exempt Partial waiver of the Order Adopting Changes To consider whether Chief Energy Power, LLC to the Retail Access Energy Market and should be permitted to offer green gas products Establishing Further Process to mass market customers Tariff modifications to change National Fuel To ensure safe and adequate service at just \*PSC-48-20-00007-P ..... exempt Gas Distribution Corporation's Monthly Gas and reasonable rates charged to customers Supply Charge provisions without undue preferences Partial waiver of the Order Adopting Changes To consider whether petitioner should be \*PSC-51-20-00009-P ..... exempt to the Retail Access Energy Market and permitted to offer its "Energy Savings **Establishing Further Process** Program" to mass market customers

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-51-20-00014-P	exempt	Electric system needs and compensation for distributed energy resources	To ensure safe and adequate service and just and reasonable rates, including compensation, for distributed energy resources
*PSC-01-21-00004-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether petitioner should be permitted to offer its Home Warranty product to mass market customers
*PSC-04-21-00016-P	exempt	Request for a waiver	To consider whether good cause exists to support a waiver of the Commission's Test Period Policy Statement
*PSC-09-21-00005-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-13-21-00016-P	exempt	Revised distribution strategies and reallocation of remaining funding	To ensure the appropriate use of funding reserved for gas safety programs
*PSC-17-21-00005-P	exempt	Submetering equipment	To consider use of submetering equipment and if it is in the public interest
*PSC-17-21-00006-P	exempt	Community Choice Aggregation and Community Distributed Generation	To consider permitting opt-out Community Distributed Generation to be offered as the sole product in an aggregation
*PSC-17-21-00007-P	exempt	Utility studies of climate change vulnerabilities	To assess the need for utilities to conduct distinct studies of their climate change vulnerabilities
*PSC-18-21-00004-P	exempt	Community Choice Aggregation programs	To modify and improve Community Choice Aggregation programs in New York State
*PSC-18-21-00006-P	exempt	Community Choice Aggregation renewable products	To consider waiving the locational and delivery requirements for RECs purchased to support renewable CCA products
*PSC-18-21-00008-P	exempt	RG&E's Economic Development Programs and exemption from funding limits	To consider RG&E to grant up to \$5.25 million in ED funding to Project Block to the benefit of ratepayers
*PSC-19-21-00008-P	exempt	Community Choice Aggregation (CCA) and Community Distributed Generation (CDG)	To consider permitting Upstate Power, LLC to serve as a CCA administrator offering an optout CDG focused program
*PSC-20-21-00004-P	exempt	Regulatory approvals in connection with a 437 MW electric generating facility	To ensure appropriate regulatory review, oversight, and action, consistent with the public interest
*PSC-21-21-00012-P	exempt	Petition for the use of gas metering equipment	To ensure that consumer bills are based on accurate measurements of gas usage
*PSC-21-21-00019-P	exempt	Utility capital expenditure proposal	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
*PSC-26-21-00011-P	exempt	Notice of intent to submeter electricity	To ensure adequate submetering equipment and consumer protections are in place
*PSC-28-21-00012-P	exempt	Transfer of ownership interests in a 55 megawatt natural gas-fired cogeneration facility located in North Tonawanda, NY	To address the proposed transfer and any matters within the public interest

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-28-21-00013-P	exempt	Elimination of internal audits of wholesale performance metrics	To consider Verizon New York Inc.'s petition to eliminate requirements for certain internal audits
*PSC-29-21-00009-P	exempt	Proposed pilot program to use AMI to disconnect electric service to customers during gas system emergencies	To study the efficacy of using AMI to disconnect electric service during gas system emergencies
*PSC-30-21-00006-P	exempt	NYSERDA proposal regarding Clean Energy Standard backstop collection processes	To ensure that NYSERDA has sufficient funds to make timely payments to generators pursuant to the Clean Energy Standard
*PSC-32-21-00002-P	exempt	The prohibition on ESCO service to low-income customers	To consider whether Icon Energy, LLC d/b/a Source Power Company should be granted a waiver to serve low-income customers
*PSC-35-21-00009-P	exempt	To modify the terms and conditions under which gas utilities provide service to electric generators	To provide clarity and uniformity to the provision of gas service to electric generators in New York State
*PSC-36-21-00006-P	exempt	The Westchester Power Program	To consider integration of Opt-out Community Distributed Generation into the Westchester Power program
*PSC-37-21-00009-P	exempt	Procedures necessary to implement Tax Law Section 187-q	To establish procedures by which eligible utility- taxpayers can have the amounts of certain waived customer arrears certified
*PSC-37-21-00010-P	exempt	Zero emitting electric generating facilities that are not renewable energy systems	To consider modifications to the Clean Energy Standard
*PSC-37-21-00011-P	exempt	Green Button Connect implementation	To consider the proposed Green Button Connect User Agreement and Green Button Connect Onboarding Process document
*PSC-37-21-00012-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Catalyst should be permitted to offer its Community Distributed Generation product to mass market customers
*PSC-38-21-00006-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries
*PSC-38-21-00007-P	exempt	Electric metering equipment	To consider use of electric submeter and ensure that consumer bills will be based on accurate measurements of electric usage
*PSC-39-21-00007-P	exempt	The proposed alternative method of account identification	To facilitate secure customer data exchanges between the utility or provider and energy service entities
*PSC-46-21-00014-P	exempt	Waiver of tariff rules and a related Commission regulation	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest
*PSC-47-21-00003-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access
*PSC-47-21-00005-P	exempt	Utility processes for customers to consent to sharing data with third parties and how consent options will be communicated	To develop standardized consent requirements that will increase customer familiarity with appropriate data sharing and access

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
*PSC-48-21-00007-P	exempt	Verizon's Performance Assurance Plan	To consider whether to retire the Performance Assurance Plan
*PSC-50-21-00006-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-50-21-00008-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-50-21-00011-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-50-21-00012-P	exempt	Implementation of the Host Community Benefit Program	To consider the proposed administration and implementation related to disbursement of customer bill credits
*PSC-03-22-00004-P	exempt	Proposal by electric utilities on a coordinated electric grid planning process	To support distribution and local transmission investments necessary to achieve the the State's clean energy and climate goals
PSC-05-22-00001-P	exempt	Green gas products	To consider an extension of the waiver permitting energy service companies to serve existing customers on green gas products
PSC-05-22-00004-P	exempt	Initial Tariff Schedule	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-06-22-00009-P	exempt	Waiver of tariff rules and a related Commission regulation	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest
PSC-12-22-00010-P	exempt	Proposed major rate increase in Liberty SLG's gas revenues	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-13-22-00006-P	exempt	Proposed major rate increase in Con Edison's delivery revenues of approximately \$500 million (or 18.2% in total revenues)	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-13-22-00009-P	exempt	Proposed major rate increase in Con Edison's delivery revenues of approximately \$1.2 billion (or 11.2% in total revenues)	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-13-22-00011-P	exempt	Positive revenue adjustments associated with emergency response, damage prevention and leak management for 2020	To consider a rehearing petition
PSC-13-22-00014-P	exempt	Petition to develop and construct local transmission projects and to allocate and defer associated costs	To ensure safe and adequate service at just and reasonable rates and to support the State's clean energy and climate goals
PSC-14-22-00008-P	exempt	An opt-out community distributed generation program	To establish the program rules for offering community distributed generation on and optout basis in New York State
PSC-18-22-00002-P	exempt	NYSEG and RG&E's petition for a waiver of its 2021 customer service quality performance	To determine if NYSEG and RG&E's petition for waiver is in the public interest

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-18-22-00007-P	exempt	Extension of deadline	Whether it is in the public interest to extend the deadline to allow the developer more time to energize residential units
PSC-19-22-00021-P	exempt	Brooklyn Clean Energy Hub and cost recovery	To meet the Climate Leadership and Community Protection Act's goal of 9,000 megawatts of offshore wind generation
PSC-19-22-00022-P	exempt	Modification of Con Edison's electric tariff	To either eliminate or waive a provision of the Standby Service Offset Tariff
PSC-20-22-00009-P	exempt	Modify lease of utility property	To determine whether to authorize the extension and amendment of the lease of the Volney-Marcy transmission line
PSC-20-22-00011-P	exempt	Establishment of the regulatory regime applicable to a wind electric generating facility	To ensure appropriate regulation of a new electric corporation
PSC-21-22-00005-P	exempt	To implement the non-pipe alternative factor to recover the costs of approved alternative infrastructure projects	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences
PSC-21-22-00007-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its LED Lighting product to mass market customers
PSC-21-22-00008-P	exempt	Cybersecurity requirements	Modify the framework to ensure the protection of utility systems and customer data from cyber events
PSC-21-22-00011-P	exempt	Partial waiver of the Order Adopting Changes to the Retail Access Energy Market and Establishing Further Process	To consider whether Atlantic Energy, LLC should be permitted to offer its Smart Home Program product to mass market customers
PSC-22-22-00014-P	exempt	Amendments to the Standardized Interconnection Requirements	To consider changes to accommodate the interconnection of distributed energy resources by governmental entities
PSC-24-22-00004-P	exempt	Waiver of tariff rules and a related Commission regulation	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest
PSC-24-22-00007-P	exempt	St. Lawrence Gas' petition for a waiver of its 2021 service quality performance	To determine if St. Lawrence Gas' petition for waiver is in the public interest
PSC-24-22-00008-P	exempt	Waiver of tariff rules and a related Commission regulation	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest
PSC-25-22-00006-P	exempt	The financial impacts of the COVID-19 pandemic	To consider measures to provide relief to those financially impacted by the COVID-19 pandemic
PSC-26-22-00008-P	exempt	Compensation under the Value of Distributed Energy Resources tariff	To consider compensation mechanisms for legacy baseline hydroelectric and other renewable energy resources
PSC-29-22-00005-P	exempt	Notice of intent to submeter electricity and request for waiver.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	E COMMISSION		
PSC-29-22-00006-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-30-22-00008-P	exempt	Green gas products.	To consider whether the proposed green gas products should be offered to mass-market customers by ESCOs.
PSC-30-22-00009-P	exempt	Establishment of the regulatory regime applicable to a battery storage project.	To ensure appropriate regulation of an electric corporation.
PSC-31-22-00003-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-31-22-00005-P	exempt	Proposed major rate increase in NYSEG's electric delivery revenues of approximately \$274 million (or 16.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-22-00006-P	exempt	Proposed major rate increase in NYSEG's gas delivery revenues of approximately \$43.4 million (or 9.8% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-22-00007-P	exempt	Proposed major rate increase in RG&E's gas delivery revenues of approximately \$37.7 million (or 9.7% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-31-22-00009-P	exempt	Proposed major rate increase in RG&E's electric delivery revenues of approximately \$93.8 million (or 11.3% in total revenues).	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-32-22-00022-P	exempt	Establishment of the regulatory regime applicable to a wind electric generating facility.	To ensure appropriate regulation of a new electric corporation.
PSC-32-22-00023-P	exempt	Bioenergy generation in New York.	To consider compensation for bioenergy generation.
PSC-33-22-00006-P	exempt	Use of gas metering equipment.	To consider use of volume corrector and ensure that consumer bills will be based on accurate measurements of gas usage.
PSC-33-22-00008-P	exempt	Gas moratorium consumer protections.	To consider protections for existing and prospective customers should a utility institutes a moratorium on new gas service.
PSC-33-22-00009-P	exempt	Use of electric metering equipment.	To consider use of electric metering equipment and ensure consumer bills are based on accurate measurements of electric usage.
PSC-34-22-00005-P	exempt	Transfer of a Certificate of Environmental Compatibility and Public Need.	Consideration of whether the proposed transfer is in the public interest.
PSC-36-22-00004-P	exempt	A petition for the transfer of utility property, granting of a CPCN, and lightened regulation.	To determine if it is in the public interest.
PSC-36-22-00005-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-37-22-00005-P	exempt	Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.	To consider filings of LDCs and municipalities regarding their Annual Reconciliation of Gas Expenses and Gas Cost Recoveries.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-37-22-00006-P	exempt	Assessment of the need of the project for the provision of safe and adequate service at just and reasonable rates.	To determine whether the project is necessary and whether the utility can begin cost recovery through a surcharge mechanism.
PSC-37-22-00007-P	09/14/23	Implementation of enhanced emergency contingency plans by telephone and cable companies, including storm response and credits.	To implement enhanced storm readiness and response by telephone and cable companies.
PSC-38-22-00002-P	exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-38-22-00004-P	exempt	Establishment of the regulatory regime applicable to a battery storage project.	To ensure appropriate regulation of an electric corporation.
PSC-38-22-00005-P	exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-38-22-00006-P	exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-38-22-00007-P	exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-38-22-00008-P	exempt	Consideration of a Long Island Offshore Wind Export PPTN under the NYISO's planning process.	To determine whether the NYISO should proceed to select a solution to the identified Long Island Offshore Wind Export PPTN.
PSC-38-22-00009-P	exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-38-22-00010-P	exempt	Standby Service Rates, Buyback Service Rates, and optional mass market demand rates.	To establish updated Standby Service and Buyback Service Rates, and establish new optional mass market demand rates.
PSC-39-22-00006-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-39-22-00007-P	exempt	Transfer of indirect ownership of cable television facilities and 27 municipal franchises.	To ensure performance in accordance with applicable cable laws, regulations and standards and the public interest.
PSC-39-22-00008-P	exempt	Proposed service territory extension, waiver, and tariff revisions.	To determine if proposed territory extension, waiver, and tariff revisions are in the public interest.
PSC-40-22-00003-P	exempt	Minor rate filing.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-40-22-00004-P	exempt	Sale of real property and granting of a permanent easement.	To determine whether to authorize the proposed sale and grant the permanent easement as well as the proper accounting treatment.
PSC-40-22-00005-P	exempt	Transfer of street light facilities.	To consider the transfer of street lighting facilities and the proper accounting treatment.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-40-22-00007-P	exempt	Solutions to reduce the impact of traditional demand charges on commercial customers with significant EV charging demand.	To request that the Public Service Commission direct electric utilities to implement the enumerated EV charging solutions.
PSC-41-22-00019-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-42-22-00010-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00011-P	exempt	Gas system planning.	To consider cost recovery procedures and an incentive mechanism for non-pipeline alternatives.
PSC-42-22-00012-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00013-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00014-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00015-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00016-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00017-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00018-P	exempt	Demand side management programs.	To consider proposed demand side management programs and cost recovery.
PSC-42-22-00019-P	exempt	Gas system planning.	To consider screening and suitability criteria for non-pipeline alternatives.
PSC-42-22-00020-P	10/19/23	Technical amendments of state regulations and administrative corrections.	To align 16 NYCRR Part 753 with recent changes in state laws and clarify the responsibilities of excavators.
PSC-43-22-00006-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-43-22-00007-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-43-22-00008-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-43-22-00009-P	exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-44-22-00002-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-44-22-00003-P	exempt	Proposed draft tariff amendments.	To document and refine moratorium management procedures that seek to minimize hardships in the event a future moratorium occurs.
PSC-45-22-00018-P	exempt	Transfer of a half interest in utility poles.	To determine if the transfer of the ownership interest is in the public interest.
PSC-45-22-00019-P	exempt	Waiver of certain Commission requirements related to the distribution of telephone directories.	To ensure performance in accordance with applicable telecommunications laws, regulations and standards, and public interest.
PSC-46-22-00006-P	exempt	PSC Regulations 16 NYCRR 86.3(a)(1), 86.3(a)(2), 863(b)(2), 86.4(b).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-46-22-00007-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-46-22-00008-P	exempt	Agreement for the provision of water service and waivers.	To consider whether the terms of a service agreement and requested waivers are in the public interest.
PSC-46-22-00009-P	exempt	PSC Regulations 16 NYCRR 86.3(a)(2) and 86.3(b)(2).	To consider a waiver of certain regulations relating to the content of an application for transmission line siting.
PSC-46-22-00010-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-47-22-00006-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-47-22-00007-P	exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-47-22-00008-P	exempt	Proposed revisions related to the participation of Distributed Energy Resources.	To align utility retail tariffs with wholesale tariffs.
PSC-48-22-00002-P	exempt	The Performance Factor used in Distribution Load Relief and Commercial System Relief Programs for the 2023 capability period.	To effect more efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-48-22-00003-P	exempt	Gas moratorium customer protections.	To consider protections to minimize customer hardships in the unlikely event of a future gas moratorium.
PSC-48-22-00004-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-22-00005-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-22-00006-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-48-22-00007-P	exempt	The level of incentives and use of demand response under utility EV managed charging programs.	To consider adequate incentive levels and eliminating participation of demand response under EV managed charging programs.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-49-22-00017-P	exempt	Stock ownership interest and associated financial transactions.	To consider the transfer of controling interest and associated financial transactions.
PSC-49-22-00018-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment andconsumer protections are in place.
PSC-49-22-00019-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-49-22-00020-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-49-22-00021-P	exempt	Clean Energy Standard Tier 1 load serving entity obligations.	To transition the Tier 1 load serving entity obligation from a percentage based obligation to a load share obligation approach.
PSC-49-22-00022-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-49-22-00023-P	exempt	Waiver of tariff rules and a related Commission regulation.	To consider whether a waiver of tariff rules and a Commission regulation are just and reasonable and in the public interest.
PSC-50-22-00007-P	exempt	Pole attachment charges.	To provide just and reasonable pole attachment charges.
PSC-51-22-00001-P	exempt	Proposed Public Policy Transmission Needs/ Public Policy Requirements, as defined under the NYISO tariff.	To identify any proposed Public Policy Transmission Needs/Public Policy Requirements for referral to the NYISO.
PSC-51-22-00002-P	exempt	Competitive solicitations to procure 350MW of energy storage systems.	To modify energy storage solicitations and improve procurement results.
PSC-51-22-00003-P	exempt	Petition to submeter electricity.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-51-22-00004-P	exempt	Waiver of a pipeline safety regulation.	Whether the waiver of the regulation is in the public interest.
PSC-52-22-00010-P	exempt	System improvement tracker implementation.	To determine whether to implement the system improvement tracker.
PSC-52-22-00011-P	exempt	The Integrated Energy Data Resource platform.	To consider customer consent and utility liability issues related to the Integrated Energy Data Resource.
PSC-52-22-00012-P	exempt	A financing arrangement with respect to a proposed wind generating facility.	To consider the requested financing arrangement, and if approved, what regulatory conditions should apply.
PSC-52-22-00013-P	exempt	Minor electric rate filing to increase annual electric revenues.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-52-22-00014-P	exempt	Extension of regulatory deadline.	Whether it is in the public interest to extend the regulatory deadline for Keystone Homes, Inc.'s housing development.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-01-23-00007-P	exempt	Distribution-level demand response programs.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-23-00008-P	exempt	Distribution-level demand response programs.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-23-00009-P	exempt	Transfer of direct ownership of cable television facilities and 5 municipal franchises.	To ensure performance in accordance with applicable cable laws, regulations and standards in the public interest.
PSC-01-23-00010-P	exempt	Proposed tariff revisions for the summer 2023 capability period in order to improve flexibility and customer participation.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-23-00011-P	exempt	Distribution-level demand response programs.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-23-00012-P	exempt	The New York State Reliability Council's establishment of an Installed Reserve Margin of 20.0%	To ensure adequate levels of Installed Capacity.
PSC-01-23-00013-P	exempt	Distribution-level demand response programs.	More efficient demand response programs to gain operational efficiency and shave peak demand.
PSC-01-23-00014-P	exempt	Interconnection costs.	To consider a petition requesting relief from interconnection costs assigned by NYSEG.
PSC-01-23-00015-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-01-23-00016-P	exempt	Brooklyn Clean Energy Hub alternative and cost recovery.	To meet the Climate Leadership and Community Protection Act's goal of 9,000 megawatts of offshore wind generation.
PSC-01-23-00017-P	exempt	Interconnection costs.	To consider a petition requesting relief from interconnection costs assigned by NYSEG.
PSC-01-23-00018-P	exempt	Lease of certain real property.	To determine whether to provide written consent for the proposed transfer of certain real property.
PSC-01-23-00019-P	exempt	Energy efficiency and building electrification programs.	To identify and implement potential changes to the energy efficiency and building electrification programs .
PSC-02-23-00021-P	exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-02-23-00022-P	exempt	Compensation of and incentives for distributed energy resources.	To encourage the development of and ensure just and reasonable rates for distributed energy resources.
PSC-02-23-00023-P	exempt	Long-term gas system planning.	To consider and review long-term gas system planning.

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Agency I.D. No.	Expires	Subject Matter	Purpose of Action
PUBLIC SERVICE	COMMISSION		
PSC-02-23-00024-P	exempt	Notice of intent to submeter electricity and waiver request.	To ensure adequate submetering equipment, consumer protections and energy efficiency protections are in place.
PSC-02-23-00025-P	exempt	Proposed major rate increase in Con Edison's annual revenues by \$137 million.	To ensure safe and adequate service at just and reasonable rates charged to customers without undue preferences.
PSC-02-23-00026-P	exempt	Notice of intent to submeter electricity.	To ensure adequate submetering equipment and consumer protections are in place.
PSC-03-23-00004-P	exempt	Updated recommendations for the soliciation, procurement, and/or installation of qualified energy storage systems.	To encourage energy storage deployment and establish an updated 2030 target and deployment program.
PSC-04-23-00008-P	exempt	Updates to guidance for electric utility Distributed System Implementation Plans (DSIPs).	Development of updated guidance and directives for utility DSIPs for improving utility planning and operations functions.
PSC-04-23-00009-P	exempt	Gas metering equipment.	To consider use of volume corrector and ensure that consumer bills will be based on accurate measurements of gas usage.
PSC-04-23-00010-P	exempt	The New York State Standardized Interconnection Requirements.	To clarify and improve the process for distributed generation and energy storage projects interconnecting to utility systems.
PSC-04-23-00011-P	exempt	Proposal by electric utilities for a coordinated grid planning process.	To idenitfy local transmission investments necessary to achieve the the State's clean energy and climate goals.
PSC-04-23-00012-P	exempt	Transfer of electric facilities.	To determine whether to authorize the transfer electric facilities and the proper accounting for the transaction.
PSC-04-23-00013-P	exempt	Application of the Public Service Law to owners of a proposed project connecting Sunrise Wind Farm to New York's electric grid.	To determine whether to apply a lightened regulatory regime to the owners of the proposed multi-part project.
PSC-04-23-00014-P	exempt	Waiver of certain Commission requirements related to the publishing and distribution of telephone directories.	To ensure performance in accordance with applicable telecommunications laws, regulations and standards and the public interest.
PSC-05-23-00001-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00002-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00003-P	exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.
PSC-05-23-00004-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00005-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.
PSC-05-23-00006-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.

Agency I.D. No.	Expires	Subject Matter	Purpose of Action			
PUBLIC SERVICE COMMISSION						
PSC-05-23-00007-P	exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.			
PSC-05-23-00008-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.			
PSC-05-23-00009-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.			
PSC-05-23-00010-P	exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.			
PSC-05-23-00011-P	exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.			
PSC-05-23-00012-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.			
PSC-05-23-00013-P	exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.			
PSC-05-23-00014-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.			
PSC-05-23-00015-P	exempt	Thermal Energy Network Pilot Proposal	To consider authorizing utilities to construct and operate thermal energy network pilot projects.			
PSC-05-23-00016-P	exempt	The electric utilities' 2023 Electric Emergency Response Plans.	To consider the adequacy of the proposed 2023 Electric Emergency Response Plans.			
STATE, DEPARTM	ENT OF					
DOS-47-22-00004-P	11/23/23	Creation of a cease and desist zone within Kings County.	To adopt a cease and desist zone for a designated area within Kings County and remove reference to expired zones.			
DOS-03-23-00003-P	03/21/24	New York State Uniform Fire Prevention and Building Code (Uniform Code)	To amend the existing Uniform Code to amend provisions relating to hot tubs and spas			
DOS-05-23-00018-P	04/04/24	Certification and training of code enforcement personnel	To amend the existing certification and training regulations to comply with recent amendments to Executive Law section 376-a			
STATEN ISLAND RAPID TRANSIT OPERATING AUTHORITY						
SIR-50-22-00003-EP	12/14/23	Aligning the rule of conduct re: carrying firearms and other weapons in public transit with New York Law	Safeguard public safety by amending a rule to comply with NY Law re: the carrying of firearms and weapons in public transit			
TAXATION AND FINANCE, DEPARTMENT OF						
*TAF-46-20-00003-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith	To set the sales tax component and the composite rate per gallon for the period January 1, 2021 through March 31, 2021			
TAF-46-22-00011-P	exempt	Fuel use tax on motor fuel and diesel motor fuel and the art. 13-A carrier tax jointly administered therewith.	To set the sales tax component and the composite rate per gallon for the period January 1, 2023 through March 31, 2023.			

Agency I.D. No.	Expires	Subject Matter	Purpose of Action			
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF						
TDA-43-22-00004-P	10/26/23	Repeal of liens against real property as conditions of eligibility for Public Assistance	To update State regulations consistent with the repeal of SSL § 106 pursuant to Part MM of Chapter 56 of the Laws of 2022			
TDA-50-22-00006-P	12/14/23	Elimination of 45-day waiting period relative to determination of Safety Net Assistance (SNA) applications	To update State regulations relative to waiting period for SNA consistent with Part U of of Chapter 56 of the Laws of 2022			
TDA-05-23-00017-P	02/01/24	Public Assistance (PA) earned income and work expense disregards and income tests for PA eligibility	To update State regulations pertaining to the above-referenced consistent with Part U of Chapter 56 of the Laws of 2022			
THRUWAY AUTHO	ORITY, NEW YORK	STATE				
THR-01-23-00001-P	01/04/24	Toll rate adjustments on the New York State Thruway system.	To provide for toll rate adjustments necessary to support the Authority's financial obligations.			
URBAN DEVELOR	PMENT CORPORAT	ION				
UDC-44-22-00004-P	11/02/23	Biodefense Commercialization Fund	To clarify certain aspects of the administration of the Fund, and to ensure consistency with recently updated guidelines			
VICTIM SERVICES	S, OFFICE OF					
OVS-49-22-00003-P	12/07/23	Limits on administrative expenses and executive compensation pursuant to Executive Order (EO) 38.	As EO 38 has been discontinued, the purpose of this rule is to repeal regulations implementing EO 38.			
WORKERS' COMPENSATION BOARD						
WCB-09-22-00002-P	03/02/23	Intraoperative Neurophysiological Monitoring	To define IOM and clarify that remote IOM is prohibited except in very limited circumstances			
WCB-41-22-00002-P	10/12/23	Disability benefits	To update and clarify DB claims process, and conform to statute			
WCB-01-23-00005-P	01/04/24	Special Services	To correct typographical error in naming the prior authorization request in the text of the regulation.			

# SECURITIES OFFERINGS

# STATE NOTICES

DEALERS; BROKERS

2604 Hub Apartments, LLC 2712 Sylvan Way, Mckinney, TX 75070 State or country in which incorporated — Texas

AG CSF2A (Annex) Dislocation Fund, L.P. c/o Angelo, Gordon & Co., L.P., 245 Park Ave., New York, NY 10167 Partnership — AG CSF2A (Annex) Dislocation Fund GP, LLC

AG Twinbrook Origination Fund I, L.P. c/o Angelo, Gordon & Co., L.P., 245 Park Ave., New York, NY 10167 Partnership — AG Twinbrook Origination Fund I GP, LLC

AG Twinbrook Origination Fund II, L.P. c/o Angelo, Gordon & Co., L.P., 245 Park Ave., New York, NY 10167 *Partnership* — AG Twinbrook Origination Fund II GP, LLC

AG UK Co-Investment Fund A, L.P. c/o Angelo, Gordon & Co., L.P., 245 Park Ave., New York, NY 10167 Partnership — AGR Europe III, LLC

Alders as Prosper CE, LLC 101 W. Haning St., Suite 15, Howe, TX 75459 State or country in which incorporated — Texas

Constitution Capital Access Fund, LLC 235 W. Galena St., Milwaukee, WI 53212 State or country in which incorporated — Delaware

CX Mode at Hyattsville, DST 4890 W. Kennedy Blvd., Suite 200, Tampa, FL 33609 State or country in which incorporated — Delaware

Cybele Microbiome, Inc. 3210 Merryfield Row, San Diego, CA 92121 State or country in which incorporated — Delaware

First Trust Private Assets Fund 235 W. Galena St., Milwaukee, WI 53212 State or country in which incorporated — Delaware

Investment Managers Series Trust 235 W. Galena St., Milwaukee, WI 53212 State or country in which incorporated — Delaware

Locale Investor, LLC 5728 Lyndon B. Johnson Fwy., Suite 400, Dallas, TX 75240 State or country in which incorporated — Texas

Oakhurst Fields Land, LLC 633 E. Fernhurst Dr., Katy, TX 77450 State or country in which incorporated — Texas Pershing Square, L.P. 787 Eleventh Ave., 9th Fl., New York, NY 10019 Partnership — Pershing Square GP, LLC

Pershing Square International, Ltd.
Cayman Corporate Centre, 27 Hospital Rd., George Town, Grand Cayman, Cayman Islands, KY1-9008
State or country in which incorporated — Cayman Islands

Riverside Living, LLC 1718 Capitol Ave., Cheyenne, WY 82001 State or country in which incorporated — Mississippi

Schoox Holding Corporation 3112 Windsor Rd., #A108, Austin, TX 78703 State or country in which incorporated — Delaware

YSMD, LLC 745 5th Ave., Suite 500, New York, NY 10151 State or country in which incorporated — Delaware

# ADVERTISEMENTS FOR BIDDERS/CONTRACTORS

# **SEALED BIDS**

Replace Roof Troop E Headquarters Canandaigua, Ontario County

Sealed bids for Project Nos. 47140-C, 47140-H and 47140-E, comprising separate contracts for Construction Work, HVAC Work, and Electrical Work, Replace Roof, Troop E Headquarters, 1569 State Route 332, Canandaigua (Ontario County), NY, will be received by the Office of General Services (OGS), Design & Construction Group (D&C), Division of Contract Management, 35th Fl., Corning Tower, Empire State Plaza, Albany, NY 12242, on behalf of the New York State Police, until 2:00 p.m. on Wednesday, February 15, 2023, when they will be publicly opened and read. Each bid must be prepared and submitted in accordance with the Instructions to Bidders and must be accompanied by a bid security (i.e. certified check, bank check, or bid bond in the amount of \$101,000 for C, \$25,000 for H and \$15,100 for E).

All successful bidders will be required to furnish a Performance Bond and a Labor and Material Bond pursuant to Sections 136 and 137 of the State Finance Law, each for 100% of the amount of the Contract estimated to be between \$4,000,000 and \$5,000,000 for C, between \$250,000 and \$500,000 for H and between \$100,000 and \$250,000 for E.

Pursuant to State Finance Law §§ 139-j and 139-k, this solicitation includes and imposes certain restrictions on communications between OGS D&C and a bidder during the procurement process. A bidder is restricted from making contacts from the earliest posting on the OGS website, in a newspaper of general circulation, or in the Contract Reporter, of written notice, advertisement or solicitation of offers, through final award and approval of the contract by OGS D&C and the Office of the State Comptroller ("Restricted Period") to other than designated staff, unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law § 139-j(3)(a). Designated staff are Jessica Cook, Jessica Hoffman, and Pierre Alric in the Division of Contract Management, telephone (518) 474-0203, fax (518) 473-7862. OGS D&C employees are also required to obtain certain information when contacted during the restricted period and to make a determination of the responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a four-year period, the bidder is debarred from obtaining governmental Procurement Contracts. Bidders responding to this Advertisement must familiarize themselves with the State Finance Law requirements and will be expected to affirm that they understand and agree to comply on the bid form. Further information about these requirements can be found within the project manual or at: https://ogs.ny.gov/

Pursuant to Public Buildings Law § 8(6), effective January 11, 2020, for any projects where the project design commenced on or after January 1, 2020 and for any contracts over \$5,000 for the work of construction, reconstruction, alteration, repair, or improvement of any State building, a responsible and reliable NYS-certified Minority or Women-Owned Business Enterprise that submits a bid within ten percent of the lowest bid will be deemed the apparent low bidder

provided that the bid is \$1,400,000 or less, as adjusted annually for inflation beginning January 1, 2020. If more than one responsible and reliable MWBE firm meets these requirements, the MWBE firm with the lowest bid will be deemed the apparent low bidder.

\_\_ Project commenced design before January 1, 2020. Not subject to provision.

XX Project commenced design on or after January 1, 2020. Subject to provision.

The substantial completion date for this project is 582 days after the Agreement is approved by the Comptroller.

The only time prospective bidders will be allowed to visit the job site to take field measurements and examine existing conditions of the project area will be at 10:00 a.m. on February 2, 2023, at Troop E Headquarters, 1569 State Route 332, Canandaigua, NY. Prospective bidders are urged, but not mandated, to visit the site at this time. Prospective bidders or their representatives attending the pre-bid site visit will not be admitted on facility grounds without proper photo identification. Note that parking restrictions and security provisions will apply, and all vehicles will be subject to search. Refer to Document 002218 for any additional requirements for attendance at the pre-bid site visit.

Phone the office of Brad Young, (607) 425-3366 a minimum of 48 hours in advance of the date to provide the names of those who will attend the pre-bid site visit. Only contractors that schedule a visit at least 48 hours in advance will be allowed to participate in the pre-bid site visit.

Pursuant to New York State Executive Law Article 15-A and the rules and regulations promulgated thereunder, OGS is required to promote opportunities for the maximum feasible participation of New York State-certified Minority and Women-owned Business Enterprises ("MWBEs") and the employment of minority group members and women in the performance of OGS contracts. All bidders are expected to cooperate in implementing this policy. OGS hereby establishes an overall goal of 30% for MWBE participation, 15% for Minority-Owned Business Enterprises ("MBE") participation and 15% for Women-Owned Business Enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). The total contract goal can be obtained by utilizing any combination of MBE and/or WBE participation for subcontracting and supplies acquired under this Contract. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of MWBEs on the Contract for the provision of services and materials.

Article 17-B of the New York State Executive Law provides for more meaningful participation in public procurement by certified Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Bidders are expected to consider SDVOBs in the fulfillment of the requirements of the Contract. Such participation may be as subcontractors or suppliers, as protégés, or in other partnering or supporting roles. OGS hereby establishes overall goals for SDVOBs' participation under this contract as follows: 6% for the C trade contractor, 3% for the E trade contractor and 3% for the H trade contractor, based on the currenavailability of qualified SDVOBs. Trades with 0% goals are encouraged to make "good faith efforts" to promote and assist in the participation of SDVOBs on the Contract for the provision of services and materials.

The Office of General Services reserves the right to reject any or all bids.

The Bidding and Contract Documents for this Project are available for viewing and downloading from OGS Design & Construction's plan room hosting service, Bid Express. Vendors wishing to view and/or download bid documents must complete a one-time registration for the Bid Express service. There is no cost to register for Bid Express. Registration along with viewing and downloading of documents can be accessed at the following link: http://www.bidexpress.com

For questions about downloading of bid documents, please send an e-mail to support@bidexpress.com, or call the Bid Express toll-free number at (888) 352-2439.

For all other questions, please send an email to DCPlans@ogs.ny.gov, or call (518) 474-0203.

For additional information on this project, please use the link below and then click on the project number: https://online.ogs.ny.gov/dnc/contractorConsultant/esb/ESBPlansAvailableIndex.asp

By OGS - Design & Construction Group

# NOTICE OF AVAILABILITY OF STATE AND FEDERAL FUNDS

Housing Trust Fund Corporation Office of Community Renewal 38-40 State St., 4th Fl. S Albany, NY 12207

# NOT-FOR-PROFIT CORPORATIONS

## NYS Small Rental Housing Development Initiative (NYS SRDI)

The 2022-2023 New York State budget made funding available for services and expenses of a Small Rental Housing Development Initiative (NYS SRDI). The Housing Trust Fund Corporation ("HTFC") as part of New York State Homes and Community Renewal ("HCR") announces the availability of up to \$7 million for the NYS SRDI program.

# APPLICATION FOR FUNDING

Application materials and full Request for Applications will be available on the NYS Homes and Community Renewal website, https://hcr.ny.gov/SRDI by Tuesday January 17, 2023. Applications will be due no later than 4:00 pm on Monday April 17, 2023. Applications must be submitted using the forms provided by email (OCRinfo@hcr.ny.gov) or by HCR approved file transfer tool.

The above-state application deadline is firm as to date and hour. In the interest of fairness to all competing applicants, applications received after the specified date and time will be deemed ineligible and will not be considered for funding. Early submission of applications is recommended to avoid risks of ineligibility resulting from unanticipated delays.

# PROGRAM DESCRIPTION

NYS SRDI funds are intended to support small rental development projects in New York State. The funding can support developments of new construction, substantial or moderate rehabilitation of multifamily rental projects of 5-20 units at identified project sites.

# ELIGIBLE APPLICANTS

Not-for-profit corporations or wholly owned subsidiary of such corporations are eligible to apply to serve as developer owners. Joint partnerships with experienced developers are encouraged to bolster capacity, however, lead applicant must be identified and must be a not-for-profit corporation.

# ELIGIBLE ACTIVITIES

- New construction or rehabilitation of residential buildings. Mixed-use buildings are eligible for assistance if the NYS SRDI funding is limited to the residential portion of the project.
- Ancillary demolition, site preparation and site infrastructure necessary for the proposed capital project.
- Soft costs including legal fees, filing fees, permitting, inspections, construction management, architecture and engineering are eligible uses of funds in conjunction with a capital project. NYS SRDI funds will not reimburse pre-award costs.
- Acquisition is an eligible use of funds if the acquisition and rehabilitation can realistically be completed within a two-year term. Prior acquisition cost can be included in the development budget only if purchase occurred within prior 24 months.

### FUNDING LIMITS

Applicants may request between \$500,000 and \$2,000,000 in NYS SRDI funds to support new construction, substantial or moderate rehabilitation of multi-family rental projects of 5-20 units at identified project sites.

# GENERAL REQUIREMENTS

The Office of Community Renewal (OCR) reserves the right to reject any and all proposals, to negotiate with applicants, recommend funding in an amount less than requested, conduct site visits, interview the applicant and development team, extend the submission deadline, and request additional information. OCR reserves the right to waive or modify any requirement contained in the Request for Application and applications received subject to the applicable statutes and the Program Regulations.

# MINORITY- AND WOMEN-OWNED BUSINESS ENTER-PRISES (M/WBE) COMPLIANCE

Recipients of awards are subject to Article 15A and 17-B of the New York State Executive Law. These requirements include equal employment opportunities for minority group members and women ("EEO") and contracting opportunities for certified minority and women-owned business enterprises ("MWBEs") and Service-Disabled Veteran-Owned Businesses ("SDVOBs"). Recipient's demonstration of "good faith efforts" pursuant to 5 NYCRR § 142.8 shall be a part of these requirements.

# CONTACT INFORMATION

For inquiries or technical assistance regarding this program please contact: Home and Community Renewal, Office of Community Renewal, 38-40 State St., 4th Fl. S, Albany, NY 12207, (518) 474-2057, e-mail: OCRinfo@hcr.ny.gov

# MISCELLANEOUS NOTICES/HEARINGS

# Notice of Abandoned Property Received by the State Comptroller

Pursuant to provisions of the Abandoned Property Law and related laws, the Office of the State Comptroller receives unclaimed monies and other property deemed abandoned. A list of the names and last known addresses of the entitled owners of this abandoned property is maintained by the office in accordance with Section 1401 of the Abandoned Property Law. Interested parties may inquire if they appear on the Abandoned Property Listing by contacting the Office of Unclaimed Funds, Monday through Friday from 8:00 a.m. to 4:30 p.m., at:

1-800-221-9311 or visit our web site at: www.osc.state.ny.us

Claims for abandoned property must be filed with the New York State Comptroller's Office of Unclaimed Funds as provided in Section 1406 of the Abandoned Property Law. For further information contact: Office of the State Comptroller, Office of Unclaimed Funds, 110 State St., Albany, NY 12236.

# PUBLIC NOTICE

Office of Parks, Recreation and Historic Preservation

Pursuant to section 14.07 of the Parks, Recreation and Historic Preservation Law, the Office of Parks, Recreation and Historic Preservation hereby gives notice of the following:

In accordance with subdivision (c) of section 427.4 of title 9 NYCRR notice is hereby given that the New York State Board for Historic Preservation will be considering nomination proposals for listing of properties in the State and National Register of Historic Places at a meeting to be held on Thursday, March 9, 2023 at 625 Broadway, First Floor, Albany, NY 12207.

The following properties will be considered:

- 1. Lustron House Westchester Model #MO2 01310, Eden, Erie County
- 2. York Hall, Nissequogue River State Park, Kings Park, Suffolk County
- 3. Todd Union (University of Rochester), Rochester, Monroe County
  - 4. Oak Hill Country Club, Pittsford vic., Monroe County
  - 5. First Church of Christ, Scientist, Utica, Oneida County
  - 6. The Beeches Historic District, Rome, Oneida County
- 7. Bates Christian Church and Cemetery, Bates, Town of Broome, Schoharie County
- 8. Temple Israel of the City of New York, Manhattan, New York County
- 9. Westminster Presbyterian Church, Yonkers, Westchester County
- 10. English Evangelical Lutheran Church of the Reformation, Brooklyn, Kings County
- 11. Columbia Turnpike East Tollhouse, Move Request, Hillsdale, Columbia County
  - 12. Prospect Lefferts Gardens Historic District, Kings County
- 13. Tioronda Estate-Craig House Historic District, Beacon vic., Dutchess County

- 14. Stewart Park, Ithaca, Tompkins County
- 15. Prattsburgh Commercial Historic District, Prattsburgh, Steuben County

To be considered by the board, comments may be submitted to Daniel Mackay, Deputy Commissioner for Historic Preservation and Deputy State Historic Preservation Officer, Division for Historic Preservation, Peebles Island, P.O. Box 189, Waterford, New York 12188-0189, no later than Wednesday, March 8 or may be submitted in person at the meeting by contacting Daniel Mackay at the same address no later than March 8.

For further information, contact: Daniel Mackay, Deputy Commissioner for Historic Preservation and Deputy State Historic Preservation Officer, Division for Historic Preservation, Peebles Island, P.O. Box 189, Waterford, NY 12188-0189, (518) 268-2171

# PUBLIC NOTICE

Department of State F-2022-0940

Date of Issuance – February 1, 2023

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2022-0940, Southampton RE Parcel, LLC-proposes to construct a 4' x 12' ramp up, a 4' x 81' fixed pier catwalk (4.5 feet above grade at wetlands), a 4' x 14' ramp, a 4' x 45' fixed pier catwalk elevated 26" over MHW to top of decking, a 4' x 6' drop platform with ladder, and a 4' x 16' kayak rack. The dock will extend 100 ft. from mean high water, will be light penetrating and constructed using non-treated wood at 85 Down East Lane in the Town of Southampton, Suffolk County on Heady Creek.

The stated purpose of the proposed action is "To provide pedestrian access to a residential dock providing access to riparian water for recreational purposes."

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2023/02/f-2022-0940parcelconsistcert.pdf or at https://dos.ny.gov/public-notices

The proposed activity would be located within or has the potential to affect the following Special Management or Regulated Area(s): Shinnecock Bay Significant Coastal Fish and Wildlife Habitat: https://dos.ny.gov/system/files/documents/2020/03/shinnecock\_bay.pdf

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or March 3, 2023.

Comments should be addressed to: Department of State, Office of

Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

# PUBLIC NOTICE

Department of State F-2022-0946

Date of Issuance - February 1, 2023

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2022-0946, Sebastian Lattuga proposes to construct a 6' x 74.9' fixed catwalk, 3' x 12' hinged aluminum ramp and a 6' x 20' floating "L" dock. The proposed activity would be located at 11 Roberts Lane in the Village of North Haven, Suffolk County on Noyack Bay.

The stated purpose of the proposed action is "To provide the homeowner with reasonable access to adjacent surface waters (Noyack Bay), by way of a docking facility wide enough to accommodate safe access for the property owner's elderly relatives."

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2023/02/f-2022-0946lattugaconsistcert.pdf or at https://dos.ny.gov/public-notices

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or March 3, 2023.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

# PUBLIC NOTICE

Department of State F-2022-0994

Date of Issuance - February 1, 2023

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act (CZMA) of 1972, as amended.

The applicant has certified that the proposed activities comply with and will be conducted in a manner consistent with the federally approved New York State Coastal Management Program (NYSCMP). The applicant's consistency certification and accompanying public information and data are available for inspection at the New York State Department of State offices located at One Commerce Plaza, 99 Washington Avenue, in Albany, New York.

In F-2022-0994, Jacob Hubbard is proposing to replace in-place 40' of existing bulkhead and returns. Also, proposed is the construction of a 4'  $\times$  28' fixed pier, 3'  $\times$  15' aluminum ramp and 6'  $\times$  20' float. The

site is located on Grass Hassock Channel, at 69-32 Bayfield Avenue, Arverne, NY, 11692.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2023/02/f-2022-0994jacobhubbard.pdf or at https://dos.ny.gov/public-notices

Any interested parties and/or agencies desiring to express their views concerning any of the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 30 days from the date of publication of this notice or March 3, 2023.

Comments should be addressed to: Department of State, Office of Planning and Development and Community Infrastructure, Consistency Review Unit, One Commerce Plaza, Suite 1010, 99 Washington Ave., Albany, NY 12231, (518) 474-6000. Electronic submissions can be made by email at: CR@dos.ny.gov

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

# **PUBLIC NOTICE**

Department of State F-2023-0032

Date of Issuance – February 1, 2023

The New York State Department of State (DOS) is required by Federal regulations to provide timely public notice for the activities described below, which are subject to the consistency provisions of the Federal Coastal Zone Management Act of 1972, as amended.

The U.S. Army Corps of Engineers, New York District proposes to perform maintenance dredging of an area within the New York and New Jersey Harbor – Arthur Kill 50 ft. Reach Federal Navigation Project; with placement of the dredged material as beneficial use at a suitable, state permitted upland placement site. The maintenance dredging would reach a depth of -50 feet plus 1 foot over- depth Mean Lower Low Water (MLLW) and would require dredging approximately 464,540 cubic yards of dredge material; mostly sand, silt and clay by volume with placement at a suitable, contractor furnished, state permitted upland placement site. Dredging of the New York and New Jersey Harbor - Arthur Kill 50 ft. Reach, which includes the area of the proposed activity was last performed in 2015 under the Harbor Deepening Program with the removal and placement of approximately 232,550 cubic yards of material at the HARS.

The applicant's consistency certification and supporting information are available for review at: https://dos.ny.gov/system/files/documents/2023/02/f-2023-0032.pdf

Any interested parties and/or agencies desiring to express their views concerning the above proposed activities may do so by filing their comments, in writing, no later than 4:30 p.m., 15 from the date of publication of this notice, or February 16, 2023.

Comments should be addressed to: Department of State, Office of Coastal, Local Government and Community Sustainability, One Commerce Plaza, 99 Washington Ave., Suite 1010, Albany, NY 12231, (518) 474-6000, Fax (518) 474-6572

This notice is promulgated in accordance with Title 15, Code of Federal Regulations, Part 930.

# **PUBLIC NOTICE**

Department of State

Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2023-0013 in the Matter of Mohammed Saddam, 79 Alexander

Street, Yonkers, NY 10701, for a variance concerning safety requirements, including ceiling height. Involved is a one family dwelling located at 13 Ripley Road, Town of Patterson, NY 12563, County of Putnam, State of New York.

2023-0022 in the Matter of Samuel F. Vieira, Architect PC, Samuel F. Vieira, One Central Avenue, Tarrytown, NY 10591, for a variance concerning safety requirements, including windows and soffits. Involved is a one family dwelling located at 45 Lincoln Avenue, Village of Tarrytown, NY 10591, County of Westchester, State of New York.

# PUBLIC NOTICE

# Department of State Uniform Code Variance/Appeal Petitions

Pursuant to 19 NYCRR Part 1205, the variance and appeal petitions below have been received by the Department of State. Unless otherwise indicated, they involve requests for relief from provisions of the New York State Uniform Fire Prevention and Building Code. Persons wishing to review any petitions, provide comments, or receive actual notices of any subsequent proceeding may contact Brian Tollisen or Neil Collier, Building Standards and Codes, Department of State, One Commerce Plaza, 99 Washington Ave., Albany, NY 12231, (518) 474-4073 to make appropriate arrangements.

2023-0021 In the matter of John Armitage, 115 Floyd Avenue, Utica, New York 13502 for a variance concerning New York State Multiple Residence Law, Article 3, multiple sections to a 3-story wood framed 3-unit apartment building located at 2220 Highland Ave., Village of Yorkville, County of Oneida, State of New York.

2023-0024 In the matter of Pamela Johnson of JP Apartments, Nine Maplewood Point, Ithaca, NY, for a variance concerning handrail and guardrail requirements located at 202 Williams Street, City of Ithaca, County of Tompkins, State of New York.